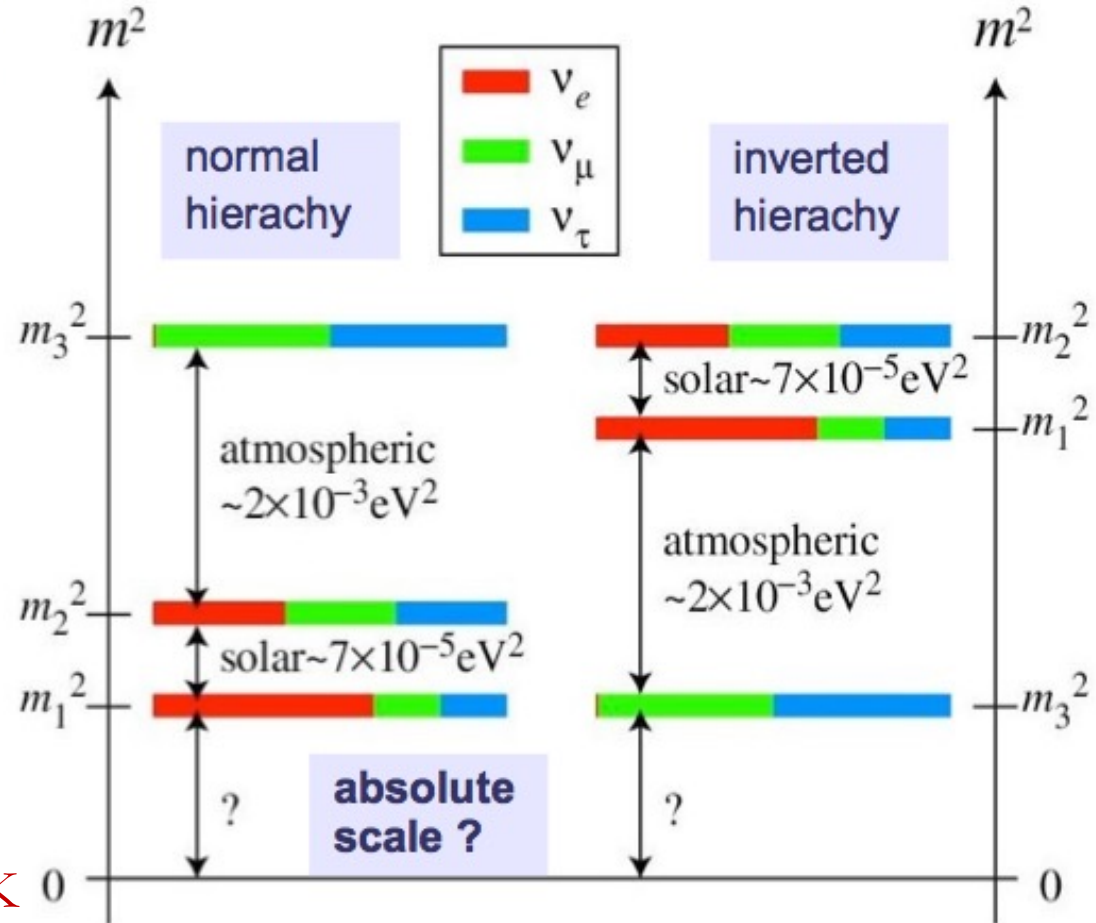


## What we know (from $\nu$ osc.):

- Neutrino flavour eigenstates differ from their mass eigenstates
- Neutrinos oscillate, hence they must have mass
- Mixing angles and  $\Delta m^2$  values known (with varying accuracies)

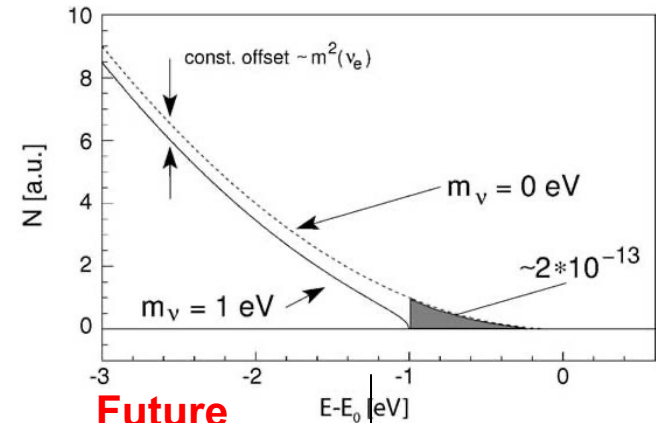
## What we don't know:

- Normal or inverted hierarchy?
- Absolute mass scale? (only upper limits)
- Dirac or Majorana particle?
- CP violating phase in mixing matrix? Now first hints from T2K
- Existence of sterile neutrinos?



# How “to weight” neutrinos?

- Neutrino Oscillations
- Cosmology
- Direct Beta Decay Endpoint
- Double Beta Decay



## Tools

Present  
sensitivity

Future  
sensitivity  
(a few year scale)

Cosmology (CMB + LSS)

120 meV

50 meV

Neutrinoless Double Beta Decay

100 meV

20-50 meV

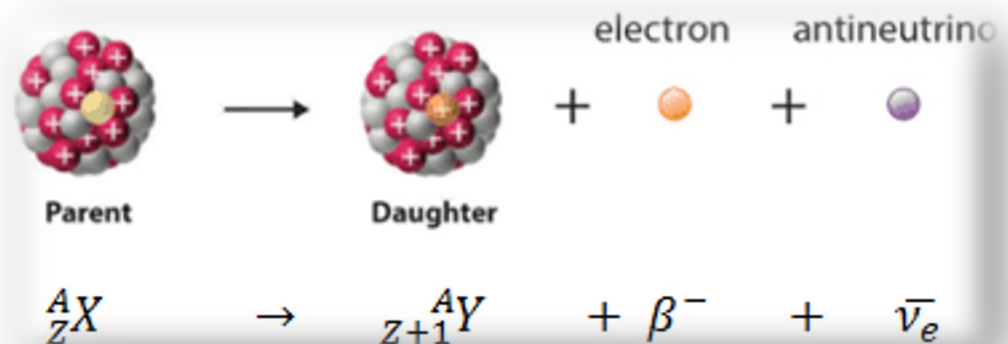
Single Beta Decay

1.1 eV

0.2 eV

# $\beta$ decay

- Event:  $(A, Z) \rightarrow (A, Z + 1) + e^- + \bar{\nu}_e$
- Observable:  $m_\beta = \sqrt{\sum_k |U_{ek}|^2 m_k^2}$
- Model-dependent: NO
- Dirac or Majorana: both
- Present stage:  $< 2.2$  eV (MAINZ - TROITSK)  
 $< 1.1$  eV (KATRIN)
- Sensitivity expts next generation: 0.2 eV



# Direct Neutrino Mass Experiments

- Techniques

- Electron neutrino:

- Study  $E_e$  end point for  ${}^3\text{H} \rightarrow {}^3\text{He} + \nu_e + e^-$

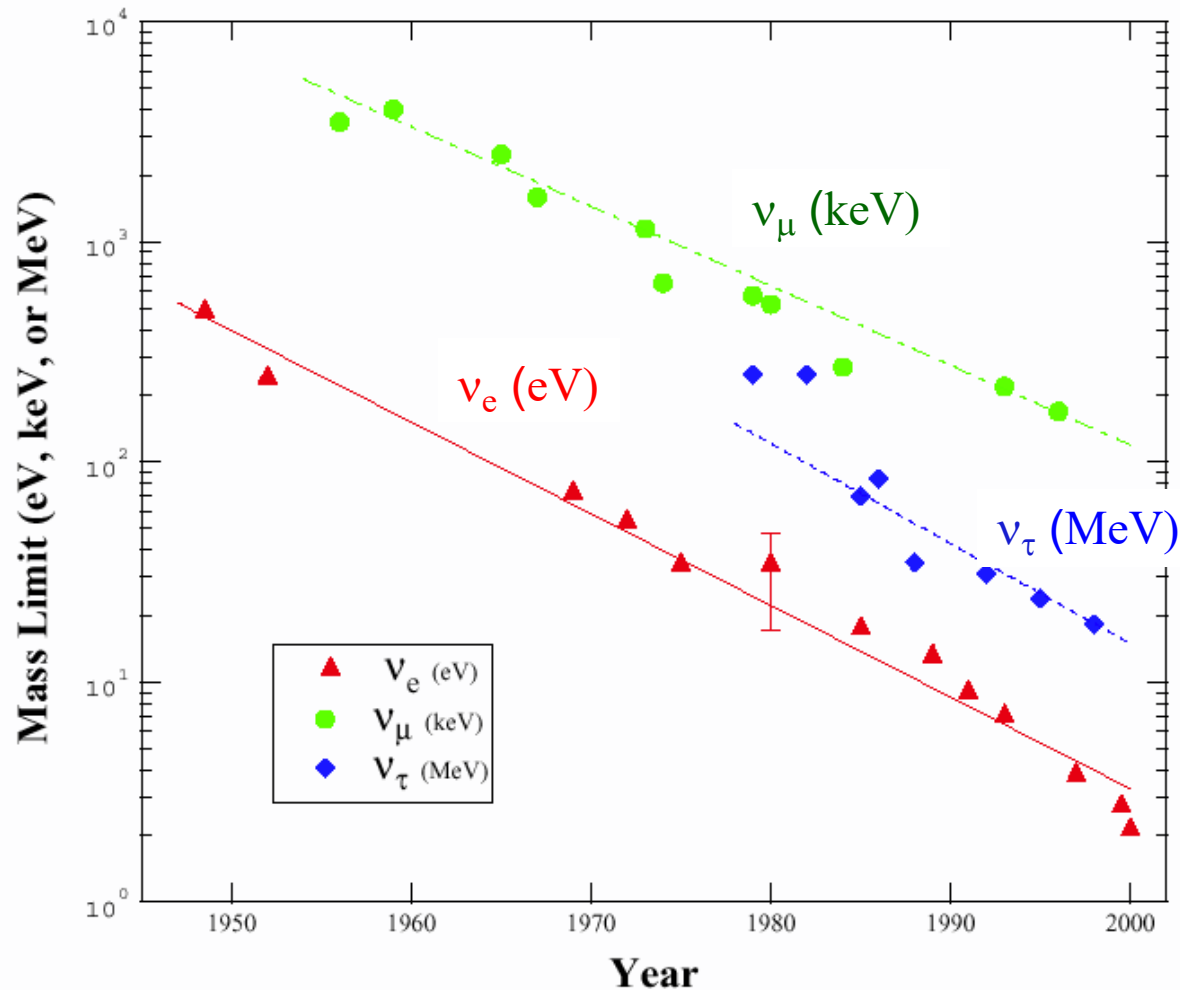
- Muon neutrino:

- Measure  $P_\mu$  in  $\pi \rightarrow \mu \nu_\mu$  decays

- Tau neutrino:

- Study  $n\pi$  mass in  $\tau \rightarrow (n\pi) \nu_\tau$  decays

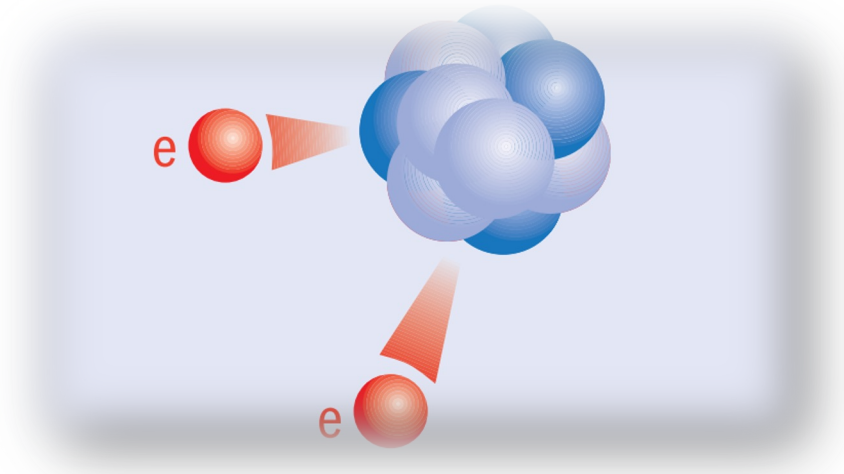
(Also, information from  
Supernova time-of-flight)



# $0\nu\beta\beta$ decay

- Event:  $(A, Z) \rightarrow (A, Z + 2) + 2e^-$
- Observable:  $m_{\beta\beta} = \left| \sum_k U_{ek}^2 m_k \right|$  (\*)
- Model-dependent: YES
- Dirac or Majorana: Majorana
- Present stage:  $< 100$  meV
- Sensitivity expts  
next generation: 20-50 meV

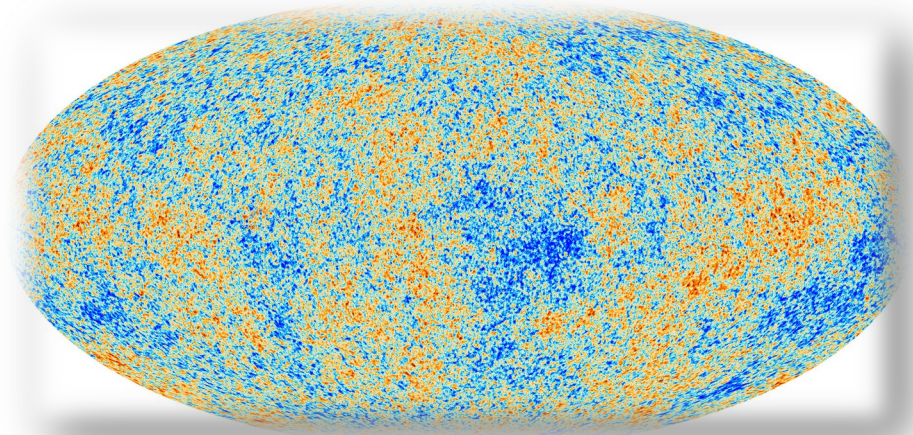
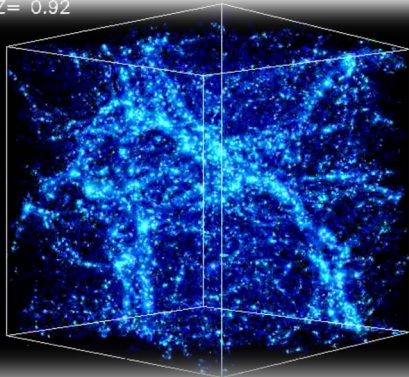
(\*) Possible cancellations due to the presence of Majorana phases



# Cosmology

- Event: CMB anisotropies, LSS
- Observable:  $m_{cosmo} = \sum_k m_k$
- Model-dependent: YES, strongly
- Dirac or Majorana: both
- Present stage: <120 meV (CMB+LSS)
- Sensitivity expts  
next generation: 50 meV

z= 0.92



# Complementarity of cosmology, single and double $\beta$ decay

Cosmology, single and double  $\beta$  decay measure different combinations of the neutrino mass eigenvalues, constraining the neutrino mass scale

In a standard three active neutrino scenario:

$$m_{\text{cosmo}} = \sum_{k=1}^3 m_k$$

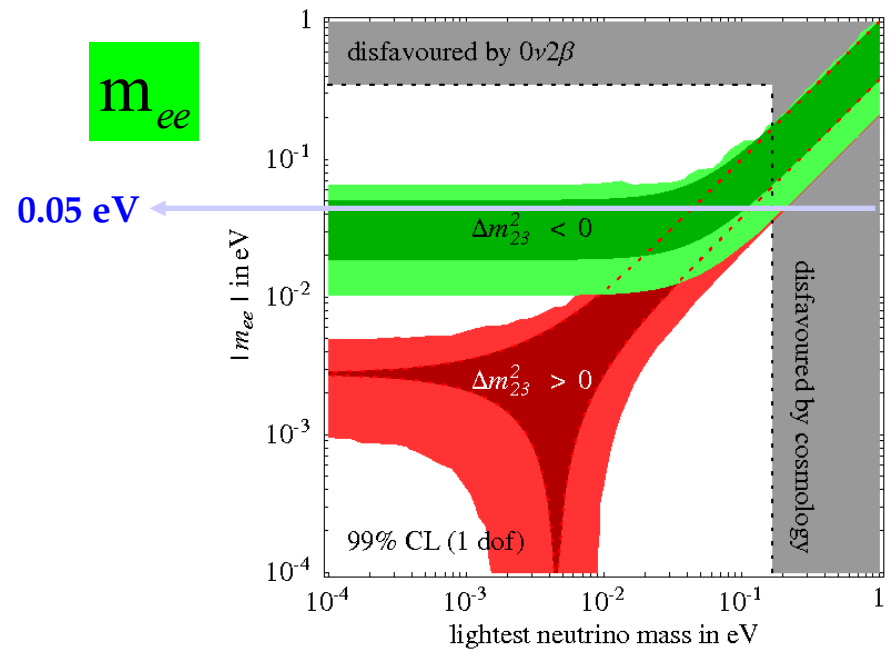
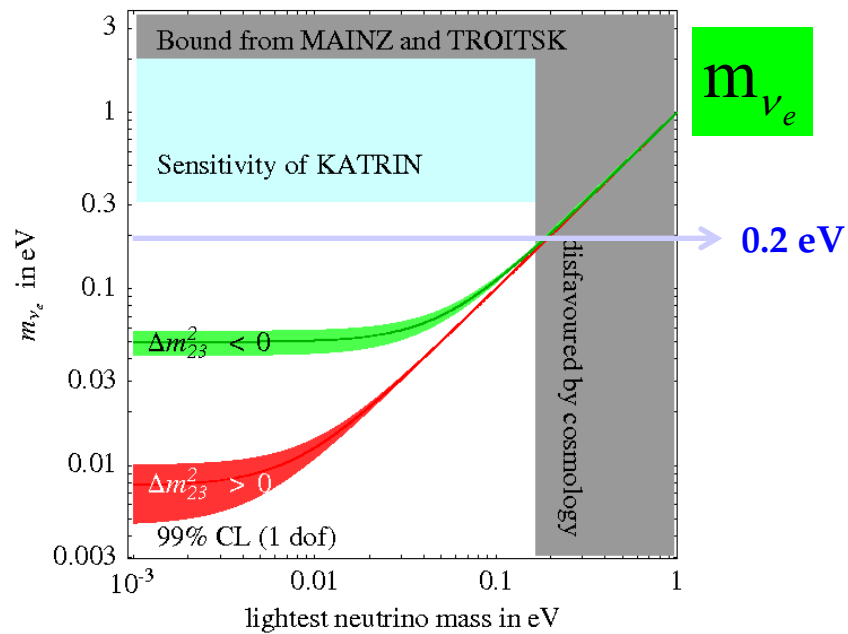
cosmology  
simple sum  
pure kinematical effect

$$m_{\beta} = \sqrt{\sum_{k=1}^3 |U_{ek}|^2 m_k^2}$$

beta decay  
incoherent sum of  
real neutrino

$$m_{\beta\beta} = \left| \sum_{k=1}^3 U_{ek}^2 m_k \right| = \left| \sum_{k=1}^3 |U_{ek}|^2 e^{i\alpha_k} m_k \right|$$

double beta decay  
coherent sum  
virtual neutrino  
Majorana phases

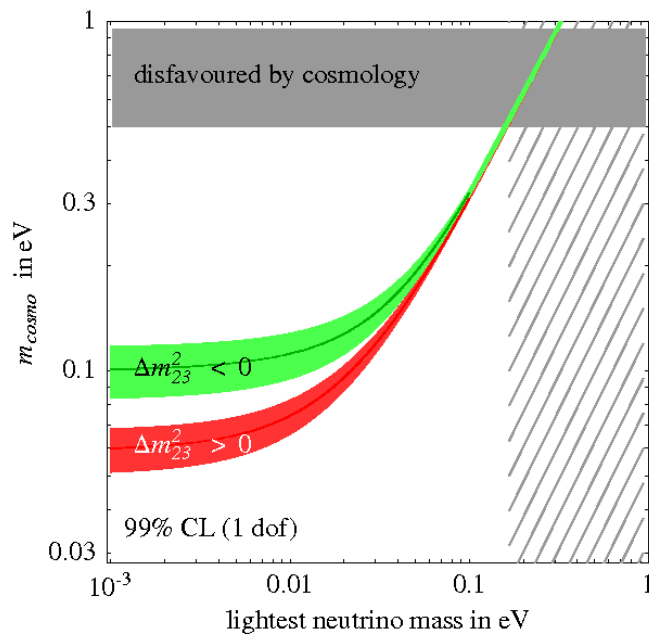


$$\Delta m_{23}^2 < 0$$

Inverted hierarchy

$$\Delta m_{23}^2 > 0$$

Normal hierarchy



## Neutrino mass determination from:

- cosmology
- $\beta$  decay
- $0\nu\beta\beta$  decay

# Early Universe – Neutrino Decoupling

In the early Universe the particles were in thermal equilibrium

The equilibrium number density of Fermi (Bose) particles of the type  $i$

$$n_i = \frac{g}{2\pi^2} \int_0^\infty \frac{\sqrt{E^2 - m_i^2} E dE}{e^{\frac{E-\mu_i}{kT_i}} \pm 1}$$

$$d^3p = 4\pi p^2 dp = 4\pi p E dE$$

The equilibrium energy density

$$\rho_i = \frac{g}{2\pi^2} \int_0^\infty \frac{\sqrt{E^2 - m_i^2} E^2 dE}{e^{\frac{E-\mu_i}{kT_i}} \pm 1}$$

In the ultra-relativistic case  $kT_i \gg m_i, \mu_i$

$\zeta(3) = 1.202$  ( $\zeta(n)$  is the Riemann zeta function)

$$n_i = \frac{\zeta(3)}{\pi^2} g_i (kT_i)^3 \text{ (Bose)}, \quad n_i = \left(\frac{3}{4}\right) \frac{\zeta(3)}{\pi^2} g_i (kT_i)^3 \text{ (Fermi)}$$

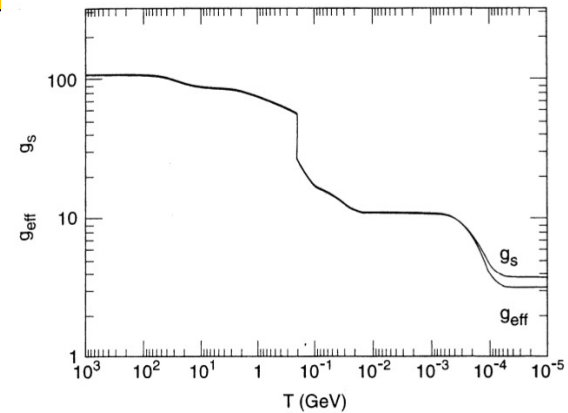
$$\rho_i = \frac{\pi^2}{30} g_i (kT_i)^4 \text{ (Bose)}, \quad \rho_i = \left(\frac{7}{8}\right) \frac{\pi^2}{30} g_i (kT_i)^4 \text{ (Fermi)}$$

$$g_* = \sum_{\text{bosons}} g_i \left(\frac{T_i}{T}\right)^4 + \left(\frac{7}{8}\right) \sum_{\text{fermions}} g_i \left(\frac{T_i}{T}\right)^4$$

Effective number of degrees of freedom of ultra-relativistic particles

The total energy density  $\rho = \sum_i \rho_i = \frac{\pi^2}{30} g_*(kT)^4$

Neutrino decouples from plasma at  $T \approx 1$  MeV. When the temperature drops,  $e^\pm$  begin to annihilate. The released energy heats up only  $\gamma$ 's because neutrinos are decoupled. Thus, after the decoupling of photons their temperature will be higher than the neutrino temperature.



$$T_\nu = \left(\frac{4}{11}\right)^{1/3} T$$

$$n_\gamma = \frac{\zeta(3)}{\pi^2} g_\gamma (kT)^3, \quad g_\gamma = 2.$$

$$n_\nu = \frac{3}{4} \frac{\zeta(3)}{\pi^2} g_\nu (kT_\nu)^3, \quad g_\nu = 6. \quad \longrightarrow \quad n_\nu = \frac{9}{11} n_\gamma$$

$$n_\gamma = 410.5 \text{ cm}^{-3}$$

$$n_\nu = 336 \text{ cm}^{-3}$$

$$n_B = 2.5 \cdot 10^{-7} \text{ cm}^{-3}$$

photons and neutrinos are the most abundant particles in the Universe

# Cosmological (Massless) Neutrinos

Neutrinos are in equilibrium with the primeval plasma through weak interaction reactions. They decouple from the plasma at a temperature

$$T_{dec} \approx 1MeV$$

We then have today a Cosmological Neutrino Background at a temperature:

$$T_\nu = \left(\frac{4}{11}\right)^{1/3} T_\gamma \approx 1.945K \rightarrow kT_\nu \approx 1.68 \cdot 10^{-4} eV \quad T_\gamma = 2.725 K$$

With a density of:

$$n_f = \frac{3 \zeta(3)}{4 \pi^2} g_f T_f^3 \rightarrow n_{\nu_k, \bar{\nu}_k} \approx 0.1827 \cdot T_\nu^3 \approx 112 cm^{-3}$$

for a relativistic neutrino translates in a extra radiation component of:

$$\frac{\rho_\nu}{\rho_\gamma} = \frac{7 g_\nu}{8 g_\gamma} \left(\frac{T_\nu}{T_\gamma}\right)^4 \rightarrow \Omega_\nu h^2 = \frac{7}{4} \left(\frac{4}{11}\right)^{4/3} N_{eff}^\nu \Omega_\gamma h^2 \quad \text{Standard Model predicts:}$$

$$N_{eff}^\nu = 3.046$$

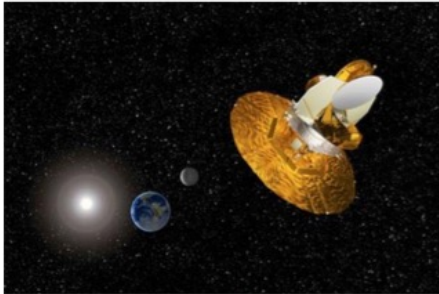
$$\Omega_\nu = \frac{\rho_\nu^0}{\rho_{crit}^0} = \frac{\sum m_\nu}{93.14 h^2 eV}$$

Measurements on  $\Omega_\nu$  give info on  $m_{cosmo} = \sum m_\nu$

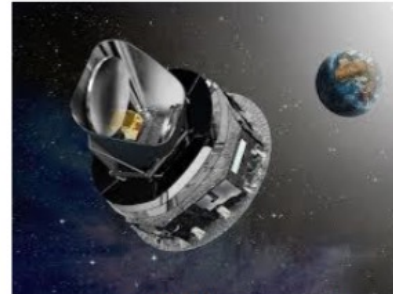
# Precision cosmology

## approaches to estimate the absolute neutrino mass scale

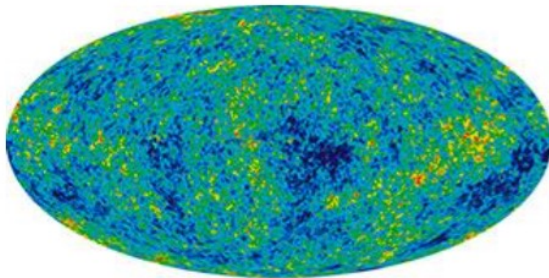
Cosmology: fluctuations of the early universe density from cosmic microwave background



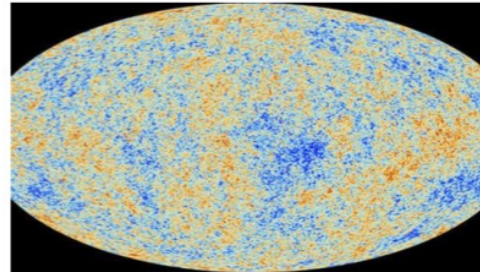
WMAP spacecraft



PLANCK spacecraft



WMAP's maps the afterglow of the hot, young universe when it was only 375,000 years old



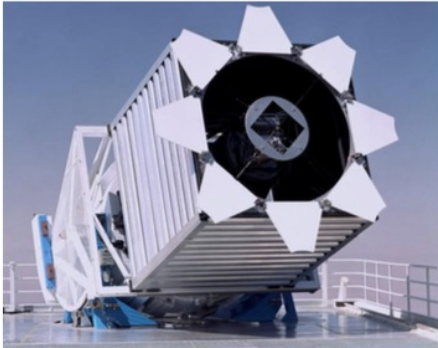
Planck's map of the young universe

- The visible structure of the universe has been formed out by fluctuations at the very early stage. Due to the large abundance of relic neutrinos and their low masses they acted as hot dark matter: neutrinos have smeared out fluctuations at small scales.

- Measurements of differences in the temperature of the cosmic microwave background (CMB) – the radiant heat remaining from the Big Bang – across the sky
- The early fluctuations of universe density imprinted on the cosmic microwave background measured with the WMAP satellite
- The Planck apparatus shows agreement with the WMAP results on the density and distribution of matter in the Universe.

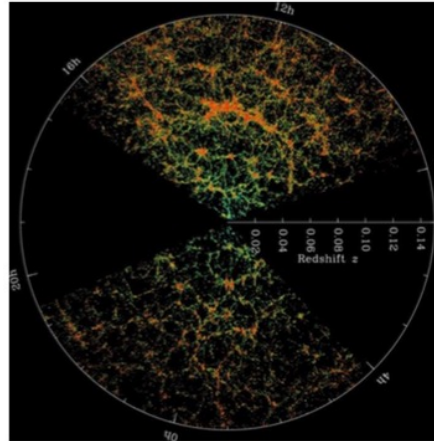
# Precision cosmology

Cosmology: mapping out today's structure of the universe by large galaxy surveys



The Sloan Foundation 2.5m Telescope at Apache Point Observatory

The SDSS map of the Universe. Each dot is a galaxy; the color bar shows the local density

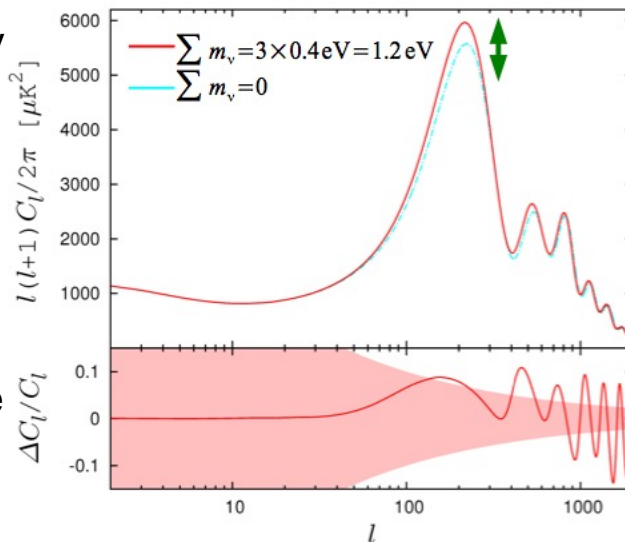


- Approaches to estimate the absolute neutrino mass scale
- SDSS is a multi-filter imaging and spectroscopic redshift survey using a dedicated 2.5-m wide-angle optical telescope at Apache Point Observatory in New Mexico, United States.

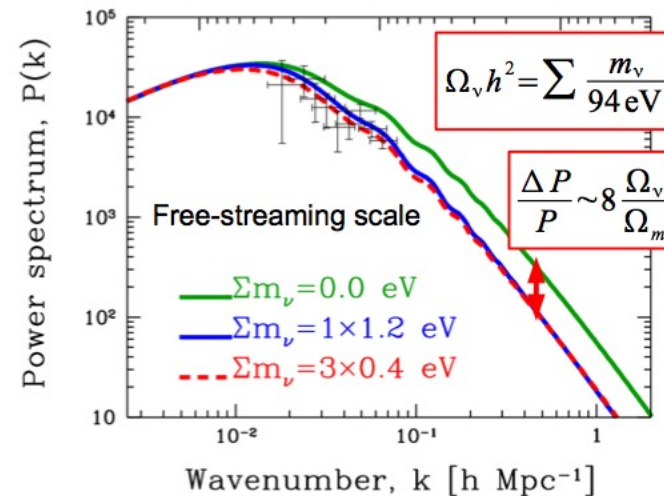
• SDSS map of the **large-scale structure** of the universe

• The Sloan Digital Sky Survey has been working for more than 15 years to make **the most detailed three-dimensional maps of the Universe**, with deep multi-color images of one third of the sky, and spectra for more than three million astronomical objects.

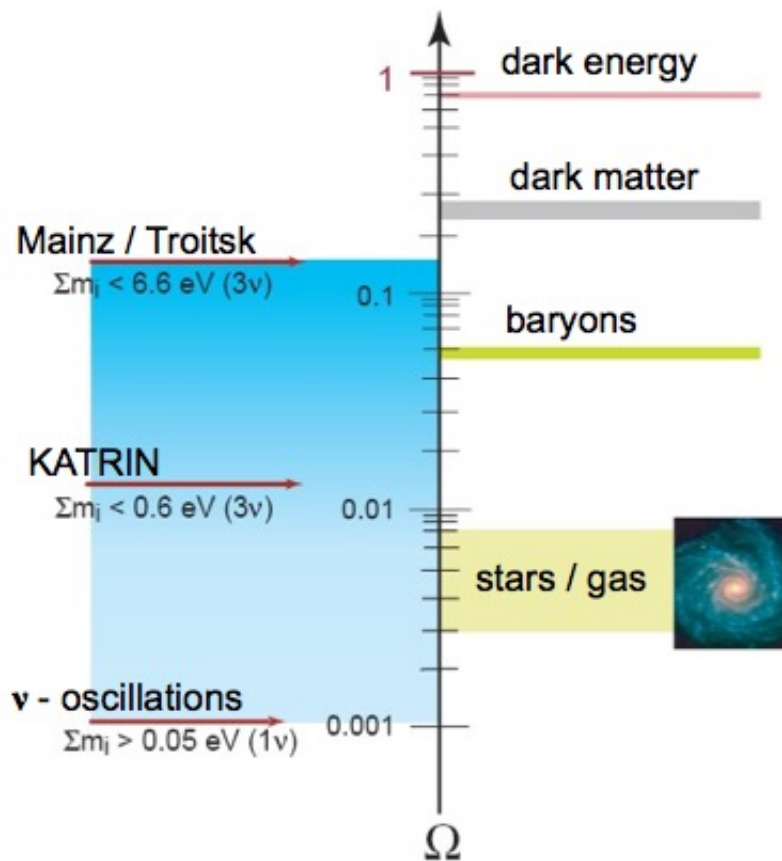
- **CMB** probes the relativistic to non-relativistic transition of neutrinos via the **early ISW effect**.



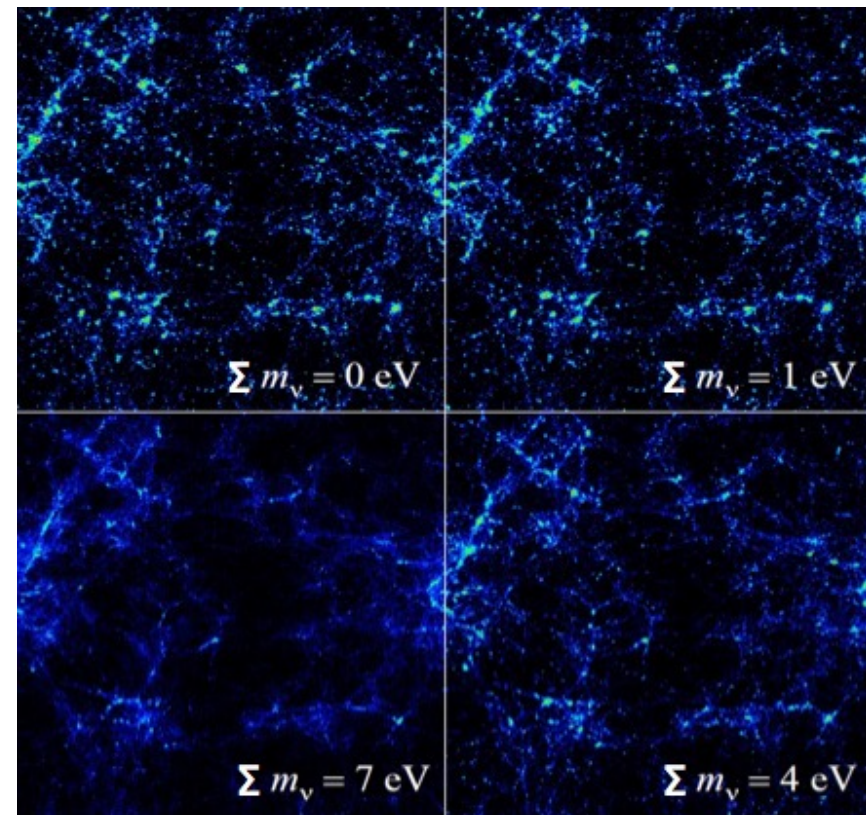
- **LSS** measures **suppression of power** on small scales due to non-clustering neutrinos.



# Large Scale Structures (LSS)

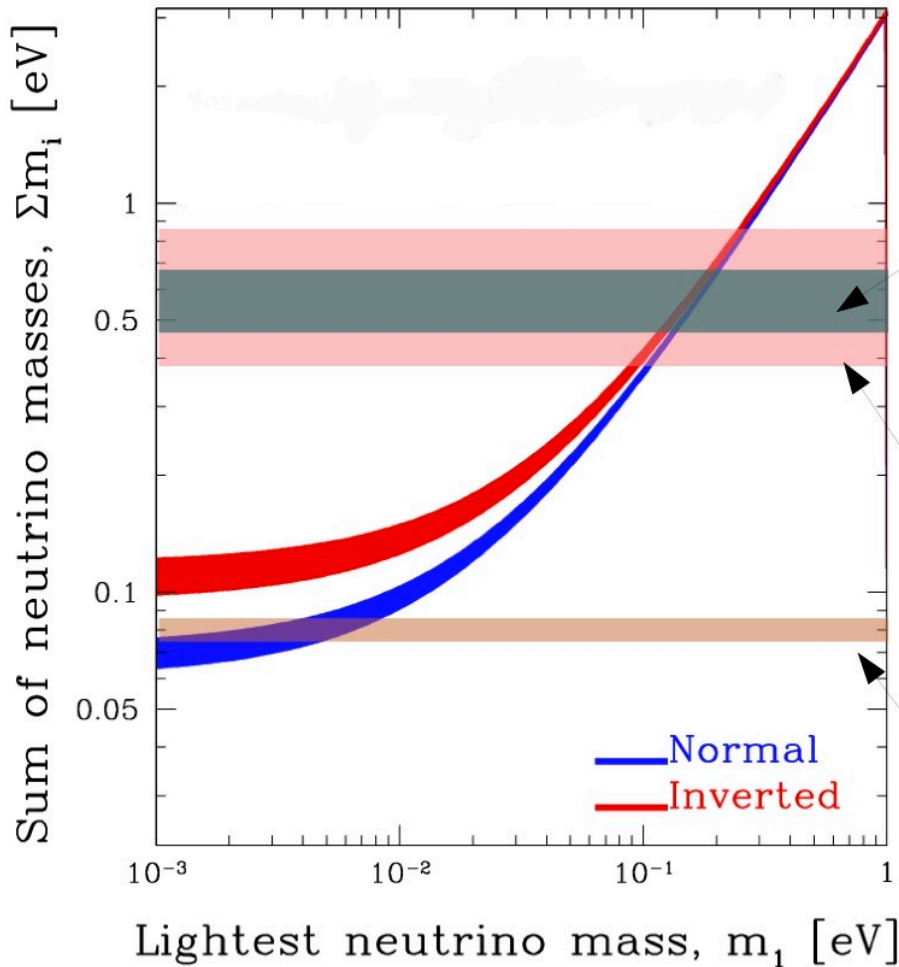


- Neutrinos are (after  $\gamma$ 's) the second most abundant particle species in the universe
- As part of the hot dark matter, neutrinos have a significant influence on structure formation



For large  $\Sigma m_\nu$  values fine grained structures are washed out by the free streaming neutrinos

# Present constraints and future sensitivities...



CMB (WMAP7+ACBAR+BICEP+QuaD)  
+ LSS (SDSS-HPS)  
+ HST+SNIa

$$\sum m_\nu < 0.44 \rightarrow 0.76 \text{ eV (95\% CI)}$$

depending on the model complexity

Hannestad, Mirizzi, Raffelt & Y<sup>3</sup>W 2010  
Gonzalez-Garcia et al. 2010, etc.

Planck alone (1 year)

$$\sum m_\nu < 0.38 \rightarrow 0.84 \text{ eV (95\% CI)}$$

Perotto et al. 2006

Planck+Weak lensing (LSST)

$$\sum m_\nu < 0.074 \rightarrow 0.086 \text{ eV (95\% CI)}$$

Hannestad, Tu & Y<sup>3</sup>W 2006

# Summary of $N_{\text{eff}}$ constraints.

	Model	95%CL
<b>CMB alone</b>		
P18[TT,TE,EE+lowE]	$\Lambda\text{CDM}+N_{\text{eff}}$	$2.92^{+0.36}_{-0.37}$
<b>CMB + background evolution + LSS</b>		
P18[TT,TE,EE+lowE+lensing] + BAO	$\Lambda\text{CDM}+N_{\text{eff}}$	$2.99^{+0.34}_{-0.33}$
” + BAO + R18	$\Lambda\text{CDM}+N_{\text{eff}}$	$3.27 \pm 0.15$ (68%CL)
”	” +5-params.	$2.85 \pm 0.23$ (68%CL)

$N_{\text{eff}} = 3.045$  is the Standard Model reference value

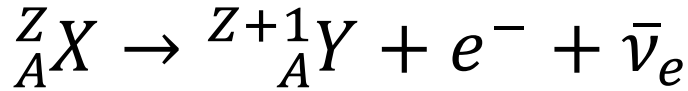
- **P18** = Planck 2018 data
- high- $l$  temperature + polarization likelihood (**TT,TE,EE**)
- low- $l$  polarization (**low E**)
- CMB lensing spectrum likelihood (**lensing**) based on lensing extraction from quadratic estimators
- **BAO** refers to measurements of the BAO scale (and hence of the angular diameter distance)
- **R18** refers to the distance ladder local measurement of the Hubble scale from cepheids and supernovae
- **Pantheon** refers to the supernovae Type Ia compilation

# Summary of $\sum m_\nu$ constraints.

	Model	95% CL (eV)
<b>CMB alone</b>		
P18[TT+lowE]	$\Lambda\text{CDM}+\sum m_\nu$	$< 0.54$
P18[TT,TE,EE+lowE]	$\Lambda\text{CDM}+\sum m_\nu$	$< 0.26$
<b>CMB + probes of background evolution</b>		
P18[TT+lowE] + BAO	$\Lambda\text{CDM}+\sum m_\nu$	$< 0.16$
P18[TT,TE,EE+lowE] + BAO	$\Lambda\text{CDM}+\sum m_\nu$	$< 0.13$
P18[TT,TE,EE+lowE]+BAO	$\Lambda\text{CDM}+\sum m_\nu+5$ params.	$< 0.515$
<b>CMB + LSS</b>		
P18[TT+lowE+lensing]	$\Lambda\text{CDM}+\sum m_\nu$	$< 0.44$
P18[TT,TE,EE+lowE+lensing]	$\Lambda\text{CDM}+\sum m_\nu$	$< 0.24$
<b>CMB + probes of background evolution + LSS</b>		
P18[TT+lowE+lensing] + BAO	$\Lambda\text{CDM}+\sum m_\nu$	$< 0.13$
P18[TT,TE,EE+lowE+lensing] + BAO	$\Lambda\text{CDM}+\sum m_\nu$	$< 0.12$
P18[TT,TE,EE+lowE+lensing] + BAO+Pantheon	$\Lambda\text{CDM}+\sum m_\nu$	$< 0.11$

- Conclusions:
- $N_{\text{eff}}=0$  is excluded at high CL. We need a neutrino background to explain CMB observation
  - No evidence for extra radiation from CMB only measurements
  - $N_{\text{eff}}=4$  begins to be inconsistent

# Neutrino mass determination from $\beta$ decay

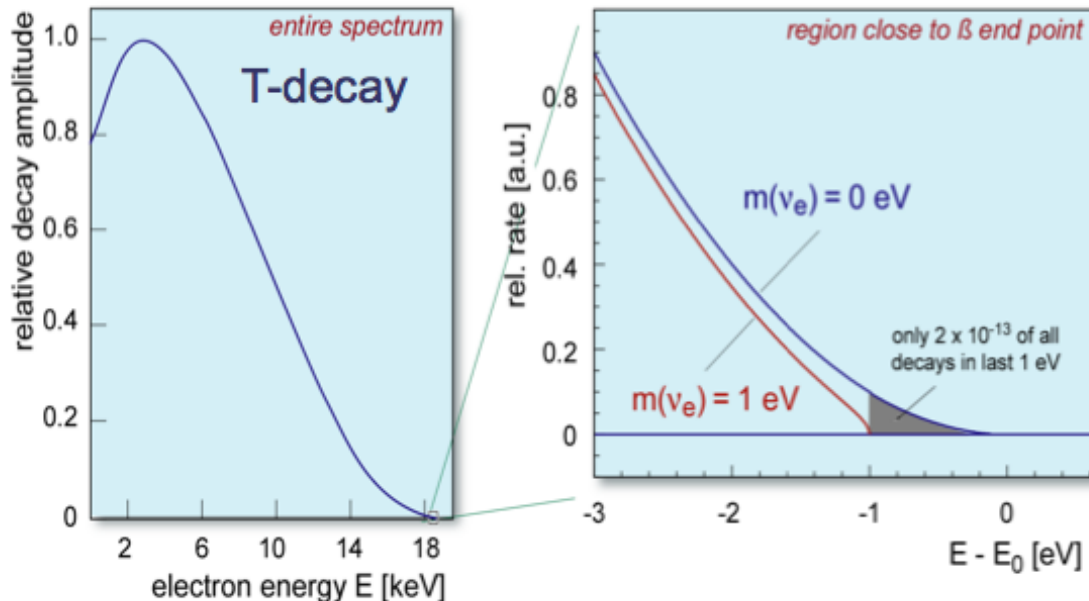


$$\frac{d\Gamma}{dE} = C p(E+m_e)(E_0-E) \sqrt{(E_0-E)^2 - m_{\nu_e}^2} F(Z+1, E) \Theta(E_0-E-m_{\nu_e}) S(E)$$

$$C = \frac{G_F^2}{2\pi^3} \cos^2 \theta_C |M|^2$$

(modified by final states, recoil corrections, radiative corrections, ...)

$$m_{\nu_e} = \sqrt{\sum_{i=1}^3 |U_{ei}|^2 m_i^2}$$



Suitable  $\beta$  emitters:

## Tritium

- $E_0 = 18.6$  keV,  $T_{1/2} = 12.3$  a
- $S(E) = 1$  (super-allowed)

## Rhenium

- $E_0 = 2.47$  keV,  $T_{1/2} = 43.2$  Gy

alternative approach:

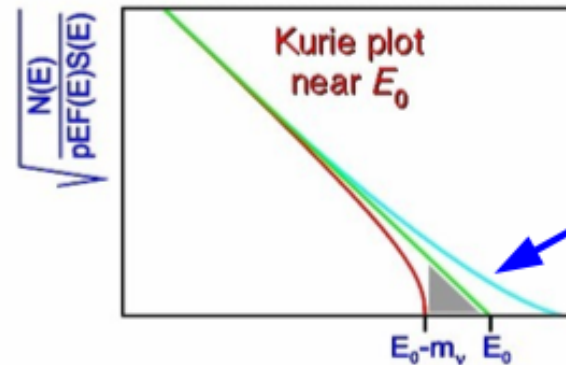
## Holmium (EC decay)

- $Q_{EC} \approx 2.5$  keV,  $T_{1/2} = 4570$  y

# Main experimental approaches

## Detector requirements:

- large solid angle
- high energy resolution
- low background
- low dead time / no pile up



	calorimeter approach (MARE)	spectrometer approach (KATRIN)
<b>source</b>	metallic Re / dielectric $\text{AgReO}_4$	high purity $\text{T}_2$
<b>activity</b>	low : $< 10^5 \beta/\text{s}$ , $\approx 1\text{Bq/mg Re}$	high: $\approx 10^5 \beta/\text{s}$ , 4.7 Ci/s injection
<b>technique</b>	single crystal bolometers	electrostatic spectrometer
<b>solid angle</b>	$4\pi$ (source = detector)	40% of $2\pi$ (max. forw. angle $51^\circ$ )
<b>response</b>	entire $\beta$ -decay energy	kinetic energy of $\beta$ -decay electrons
<b>interval</b>	entire spectrum	narrow interval close to $E_0$
<b>method</b>	differential energy spectrum	integrated energy spectrum
<b>setup</b>	modular size, scalable	integral design, size limits
<b>resolution</b>	$\Delta E \sim 11\text{-}25 \text{ eV (FWHM)}$	$\Delta E \sim 0.93 \text{ eV (100\%)}$

# Historical hints of Tritium measurements

ITEP  
 $T_2$  in complex molecule  
 magn. spectrometer (Tret'yakov)

Los Alamos  
 gaseous  $T_2$ - source  
 magn. spectrometer (Tret'yakov)

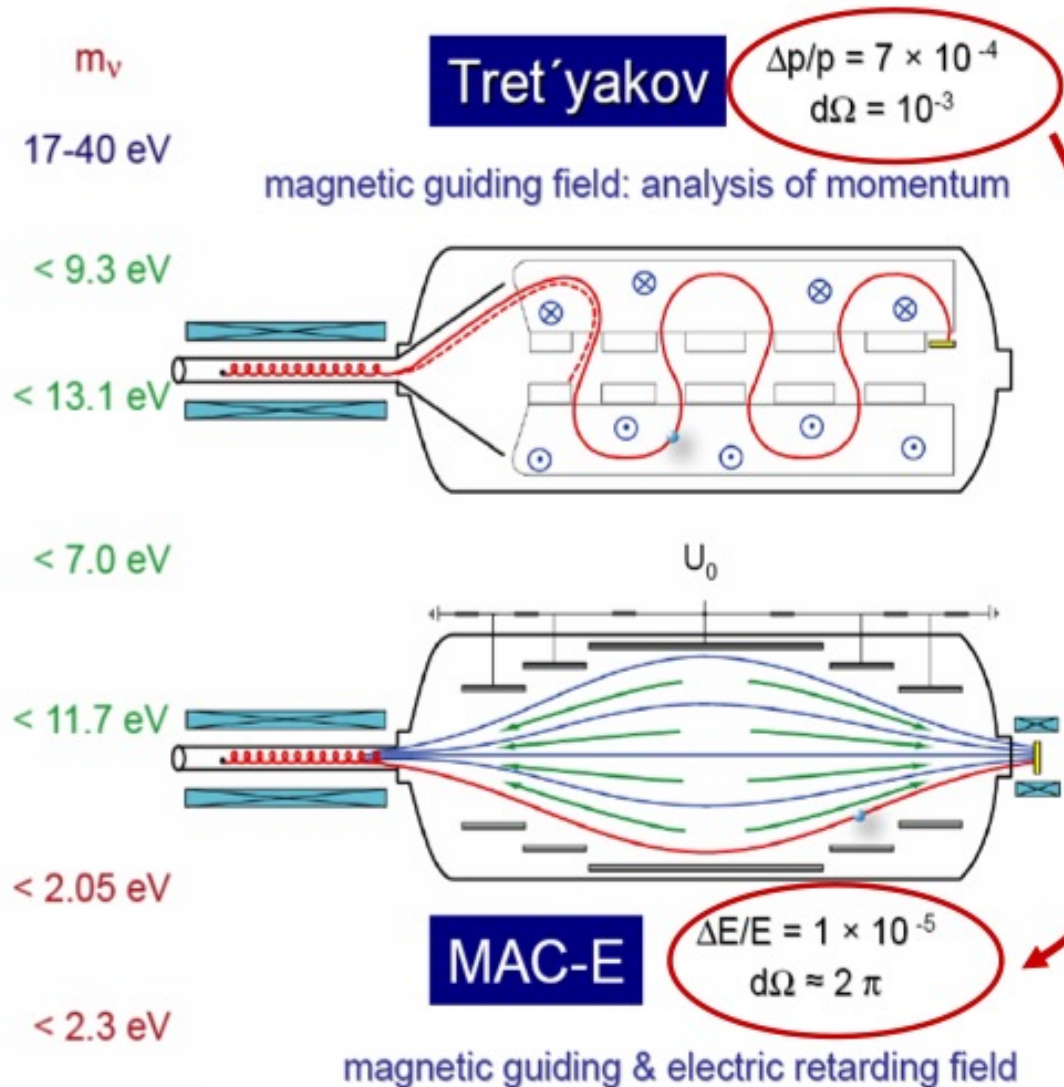
Tokio  
 $T$ - source  
 magn. spectrometer (Tret'yakov)

Livermore  
 gaseous  $T_2$ - source  
 magn. spectrometer (Tret'yakov)

Zürich  
 $T_2$ - source impl. on carrier  
 magn. spectrometer (Tret'yakov)

Troitsk (1994-today)  
 gaseous  $T_2$ - source  
 electrostat. spectrometer

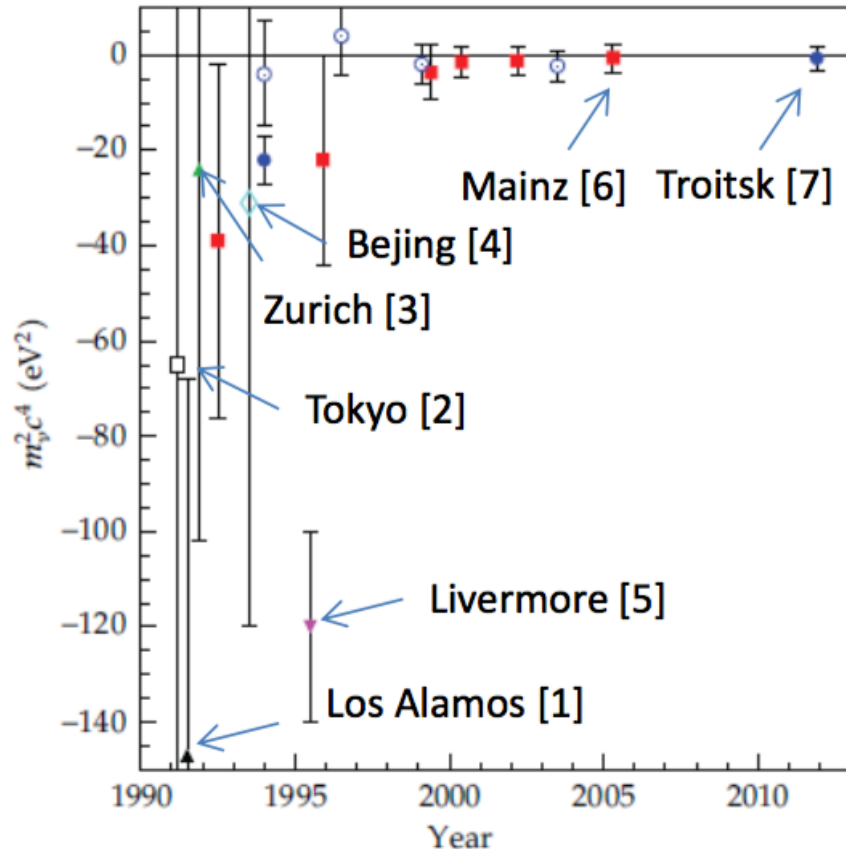
Mainz (1994-today)  
 frozen  $T_2$ - source  
 electrostat. spectrometer



# Neutrino mass

## Direct neutrino mass determination

- Neutrino mass from tritium  $\beta$ -decay: early experiments



- Results of tritium  $\beta$ -decay experiments on the **observable**  $m^2(\nu_e)$ . The experiments at Los Alamos, Zurich, Tokyo, Beijing, and Livermore used **magnetic spectrometers**; the tritium experiments at Mainz and Troitsk applied **electrostatic spectrometers of the MAC-E-Filter type**.

- The negative values of  $m^2(\nu_e)$  have **no physical meaning**. The main reasons:

- ✓ Some experiments (also Mainz) used as tritium source a **film of molecular tritium** quench condensed onto aluminum or graphite substrates. Although the film was prepared as a homogenous thin film with flat surface, detailed studies showed that the film **was not stable**: it underwent a temperature-activated roughening transition into an inhomogeneous film **by forming microcrystals**. Thus, unexpected large inelastic scattering probabilities were obtained, which were not taken into account in previous analyses. This extra energy losses were only significant when analyzing larger energy intervals below the endpoint.

- ✓ The **missing experimental broadening** with Gaussian width  $\sigma$ . One expects a shift of the result on  $m^2(\nu_e)$  of  $\Delta m^2(\nu_e) \approx -2\sigma^2$ , which gives rise to a negative value of  $m^2(\nu_e)$

[1] R.G.H. Robertson et al., Limit on anti- $\nu_e$  mass from the observation of the  $\beta$  decay of molecular tritium, *PRL*. 67 (1991) 957

[2] H. Kawakami et al., "New upper bound on the electron anti-neutrino mass," *PLB* 256 (1991) 105

[3] E. Holzschuh et al., Measurement of the electron neutrino mass from tritium  $\beta$ -decay, *PLB* 287 (1992) 381

[4] C. R.Ching et al., A possible explanation of the negative values of  $m^2\nu_e$  obtained from the  $\beta$  spectrum shape analyses, *Int. J Mod. Phys. A* 10 (1995) 2841

[5] W. Stoeffl and D.J. Decman, Anomalous structure in the  $\beta$  decay of gaseous molecular tritium, *PRL* 75 (1995) 3237

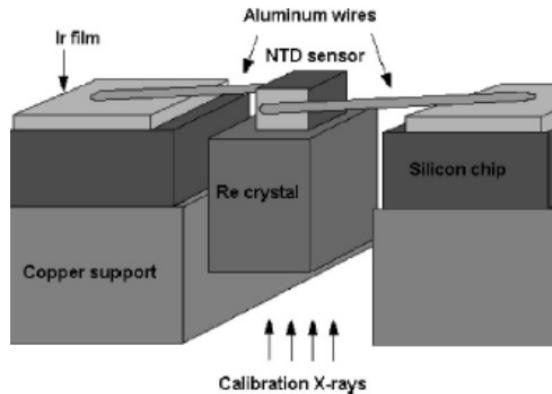
[6] C. Kraus et al., Final results from phase II of the Mainz neutrino mass search in tritium  $\beta$  decay, *Eur. Phys. J C* 40 (2005) 447.

[7] V. N. Aseev et al., Upper limit on the electron antineutrino mass from the Troitsk experiment, *Phys. Rev. D* 84 (2011) 112003

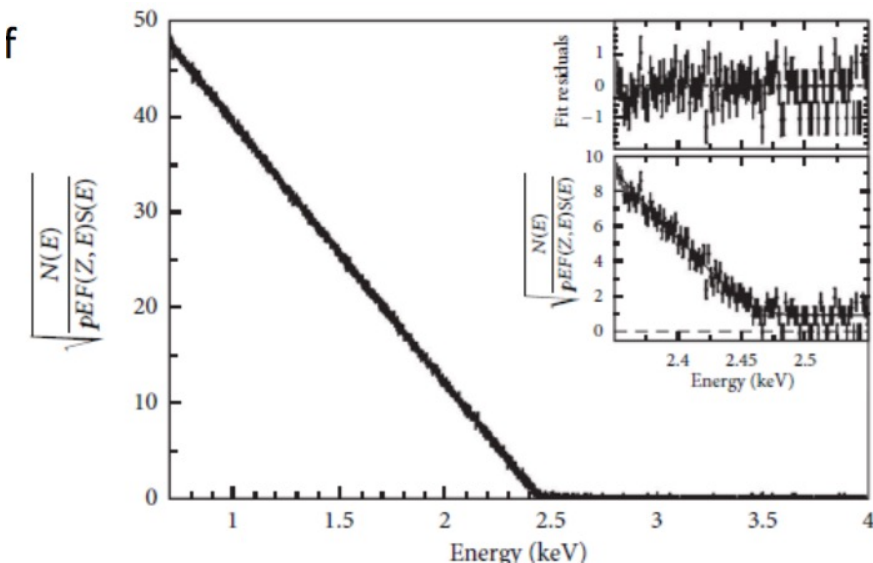
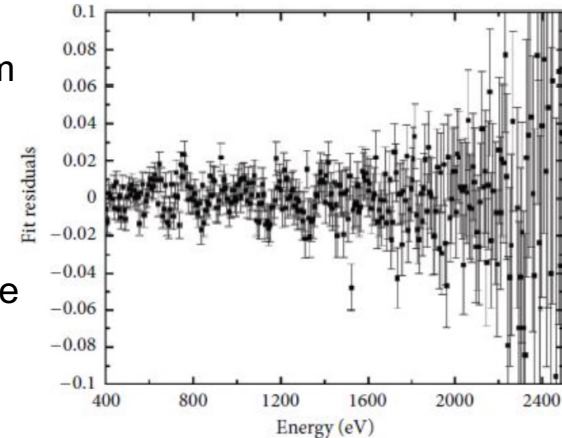
# Neutrino mass

## Direct neutrino mass determination

- Cryobolometers: measurements of  $^{187}\text{Re}$   $\beta$ -spectrum



- **MANU** expt. used a single metallic rhenium crystal of about 1.6 mg as absorber read out by a neutron transmutation doped (NTD) germanium thermistor
- The residuals of the theoretically expected  $^{187}\text{Re}$   $\beta$ -spectrum that has been fitted to the data exhibit effects of a  $\beta$ -environmental fine structure (BEFS) modulation most clearly visible at low electron energies



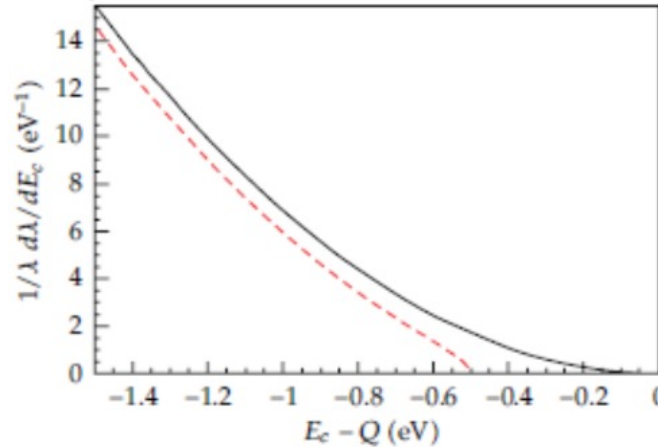
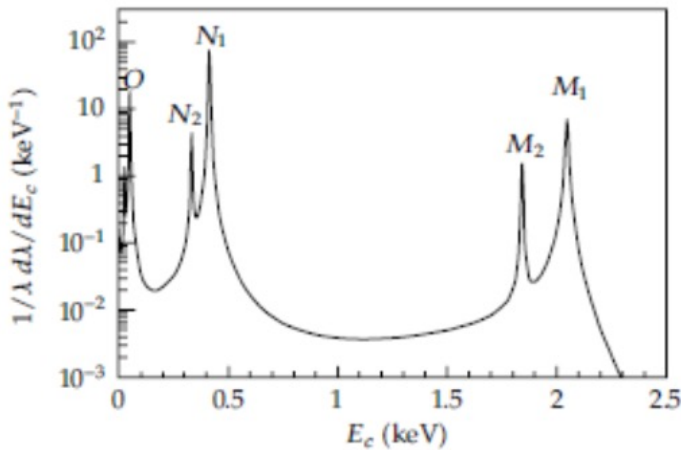
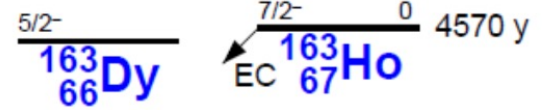
- Kurie plot of the experimental  $^{187}\text{Re}$   $\beta$ - spectrum obtained by the **MiBeta** collaboration

- **MiBeta**. The experiment has been carried out with an array of eight  $\text{AgReO}_4$  thermal detectors operating at  $T=100$  mK
- An average energy resolution  $\text{FWHM}= 28.5$  eV was achieved. The analysis of the spectrum near the endpoint resulted in an upper limit  $m(\nu_e) < 15$  eV at 90% CL.
- **MARE**.  $^{187}\text{Re}$  and  $^{163}\text{Ho}$  efforts towards sub-eV neutrino mass.

# Neutrino mass

## Direct neutrino mass determination

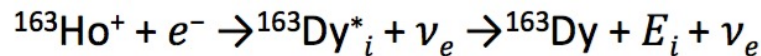
- Cryobolometers: electron capture (EC) decays of  $^{163}\text{Ho}$



$Q_{\text{EC}} 2.565$

- (a) Deexcitation spectrum of  $^{163}\text{Ho}$  for  $Q = 2.5$  keV.
- (b) zoom into the endpoint region of spectrum with effect of a 0.5 eV neutrino mass (red dashed line)

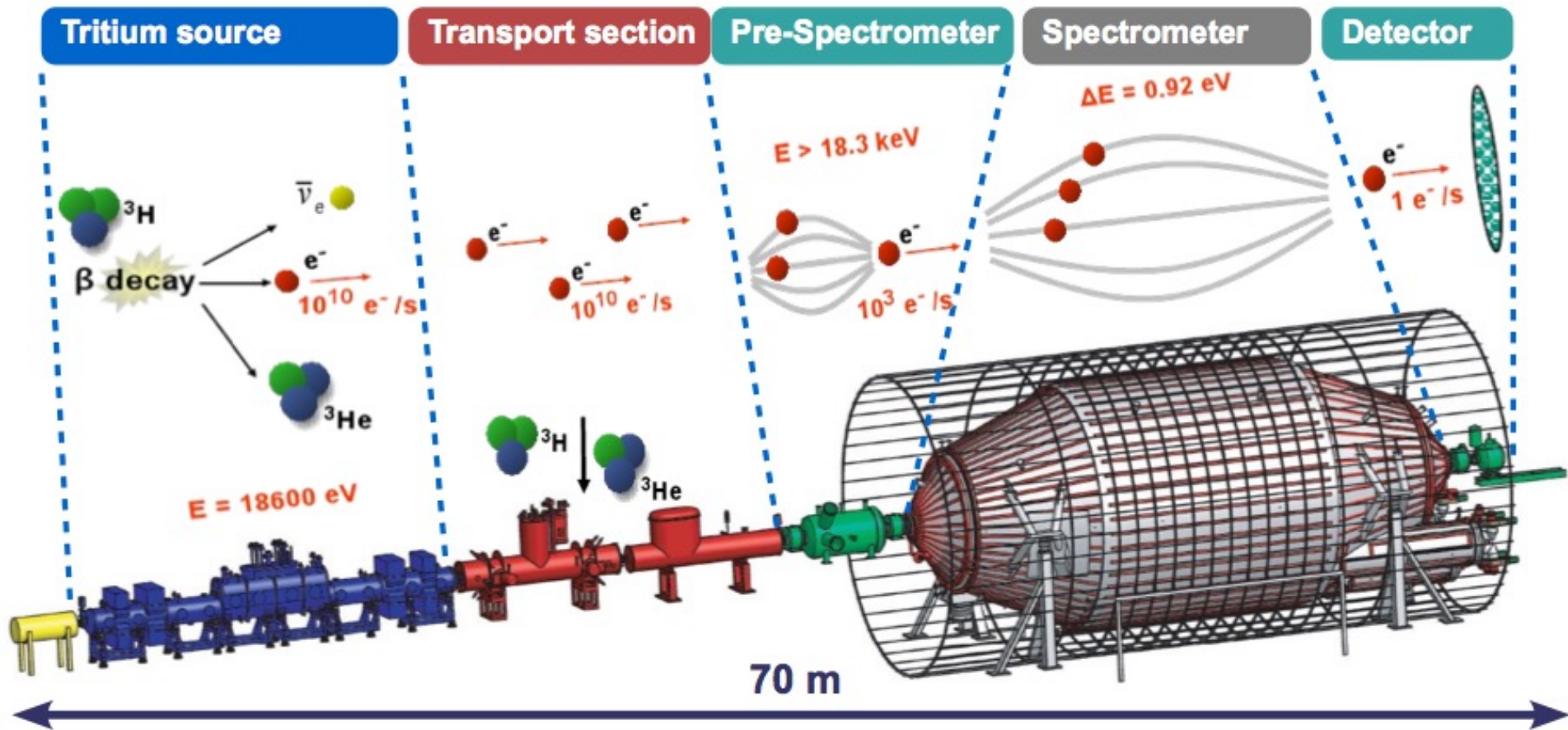
- A promising alternative to  $\beta$ -decay measurements is the study of electron capture (EC) decays of  $^{163}\text{Ho}$  to measure the neutrino mass. The considered decay process is



- The deexcitation spectrum of the intermediate state  $^{163}\text{Dy}^*_i$  is given by a series of lines at energies  $E_i$  which correspond to the dissipated binding energy of the electron hole in the final atom. The  $Q$ -value of the reaction is given by the mass difference of parent and daughter nucleus in the ground state. Like the electron energy spectrum in  $\beta$ -decays, this spectrum depends on the square of the neutrino mass.
- The use of  $^{163}\text{Ho}$  is favored due to its very low  $Q$ -Value in the range of 2.3 keV to 2.8 keV.
- By the investigation of the electron capture of  $^{163}\text{Ho}$  two upper limits on the average mass of the electron neutrino  $m(\nu_e) < 225$  eV at 95% C.L. and of  $m(\nu_e) < 490$  eV at 68% C.L. were set.

# KATRIN

- Experimental site at Karlsruhe
- Tritium beta decay
- Foreseen sensitivity for neutrino mass in 5 years (eff. 3 y of data): **0.2 eV**

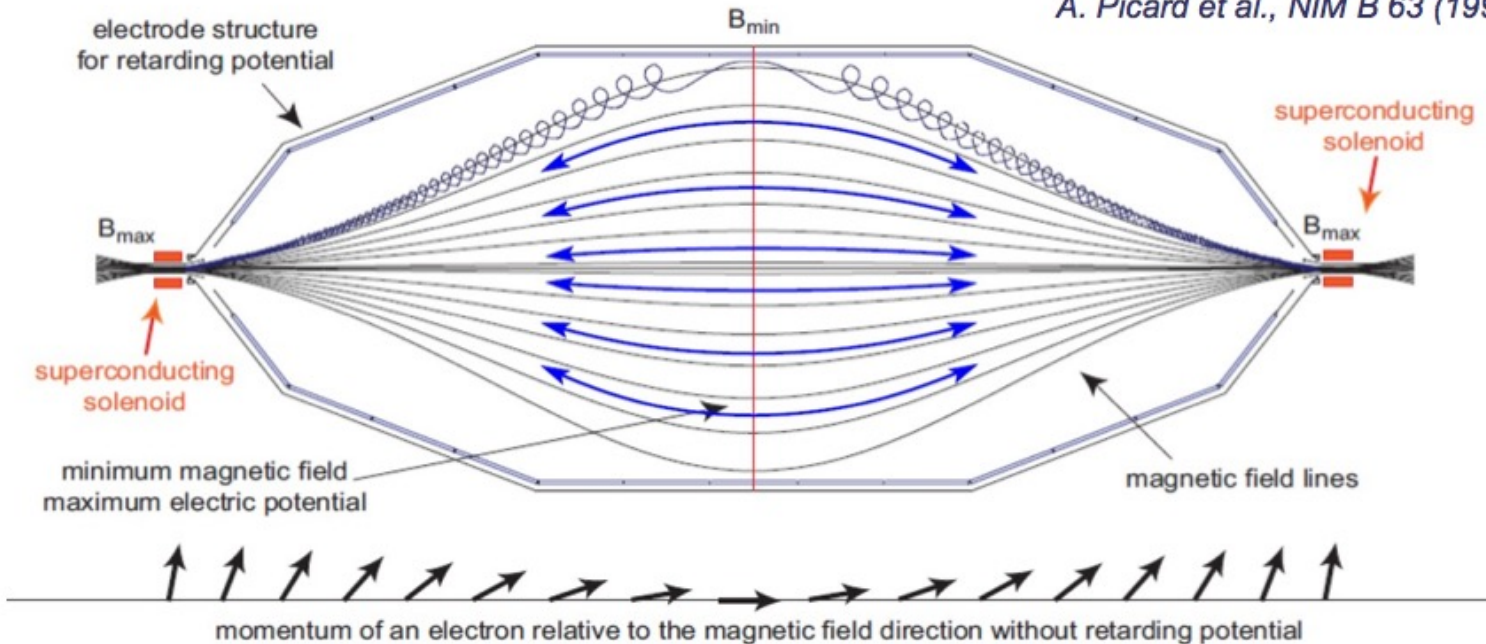


# KATRIN

## MAC-E filter concept

### Magnetic Adiabatic Collimation with Electrostatic Filter

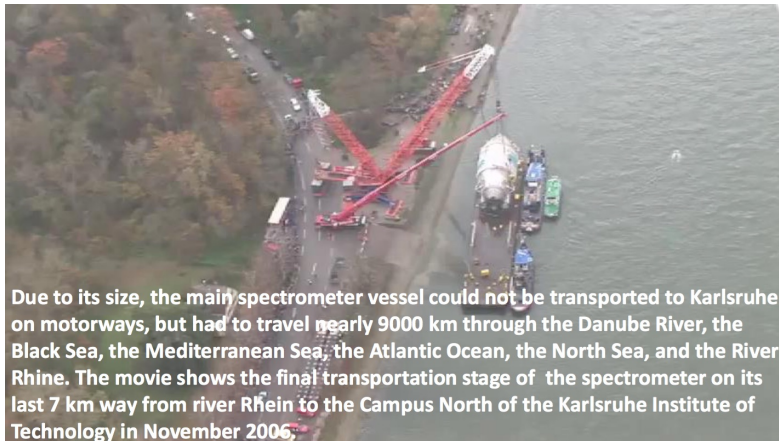
A. Picard et al., NIM B 63 (1992)



- adiabatic transport  $\rightarrow \mu = E_{\perp} / B = \text{const.}$
- $B$  drops by  $2 \cdot 10^4$  from solenoid to analyzing plane  $\rightarrow E_{\perp} \rightarrow E_{\parallel}$
- only electrons with  $E_{\parallel} > eU_0$  can pass the retardation potential
- Energy resolution  $\Delta E = E_{\perp, \text{max, start}} \cdot B_{\text{min}} / B_{\text{max}} < 1 \text{ eV}$
- Works as high energy pass filter
- It builds the integral spectrum of electron with energy larger than eV.
- The B Field transfer transversal impulse in longitudinal one at the center
- Electron guided by the B field
- **Large acceptance:  $2\pi$**
- Energy of analysis by electric retarding field
- Variable E field ( $V < 30 \text{ kV}$  at the center)

## Main spectr. inner wire electrode

- Purpose: - electrostatic shielding of background electrons  
- shaping of electric fields  
- removal of trapped particles
- 224 of 248 double layer wire modules installed
- last 24 modules to be installed after preparation of the pump port region in fall 2011
- main spectrometer commissioning spring 2012

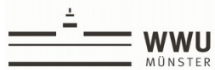
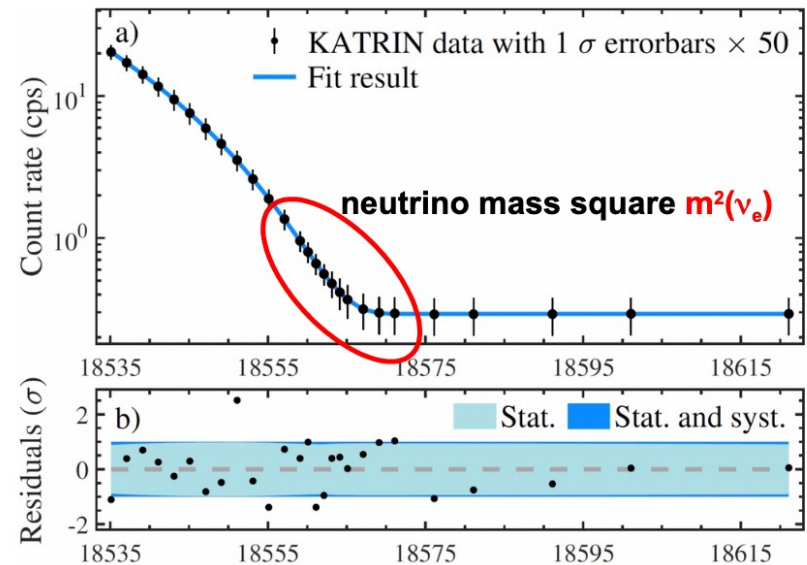


Due to its size, the main spectrometer vessel could not be transported to Karlsruhe on motorways, but had to travel nearly 9000 km through the Danube River, the Black Sea, the Mediterranean Sea, the Atlantic Ocean, the North Sea, and the River Rhine. The movie shows the final transportation stage of the spectrometer on its last 7 km way from river Rhein to the Campus North of the Karlsruhe Institute of Technology in November 2006.



# KATRIN results 2020

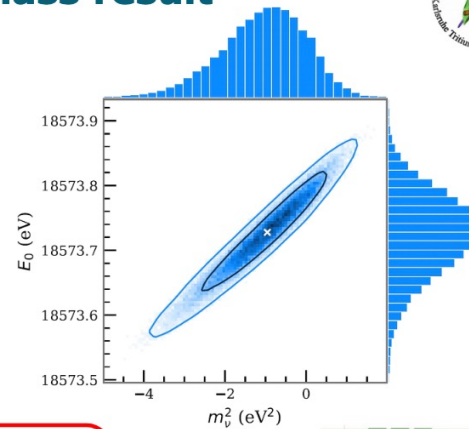
After corrections for the detector's responses



## Analysis methods and $\nu$ -mass result



- **two independent analysis methods** to propagate uncertainties & infer parameters
  - **Covariance matrix:** covariance matrix +  $\chi^2$ -estimator
  - **MC propagation:**  $10^5$  MC samples + likelihood ( $-2 \ln L$ )
  - both methods agree to a few percent



### ■ $\nu$ -mass and $E_0$ : best fit results

$m^2(\nu_e) = -1.0^{+0.9}_{-1.1} \text{ eV}^2$  (90% C.L.)

→  $m(\nu_e) < 1.1 \text{ eV}$  at 90% CL (Lokhov-Tchakev)

→  $m(\nu_e) < 0.8 \text{ eV}$  (0.9 eV) at 90% (95%) CL (Feldman-Cousins)

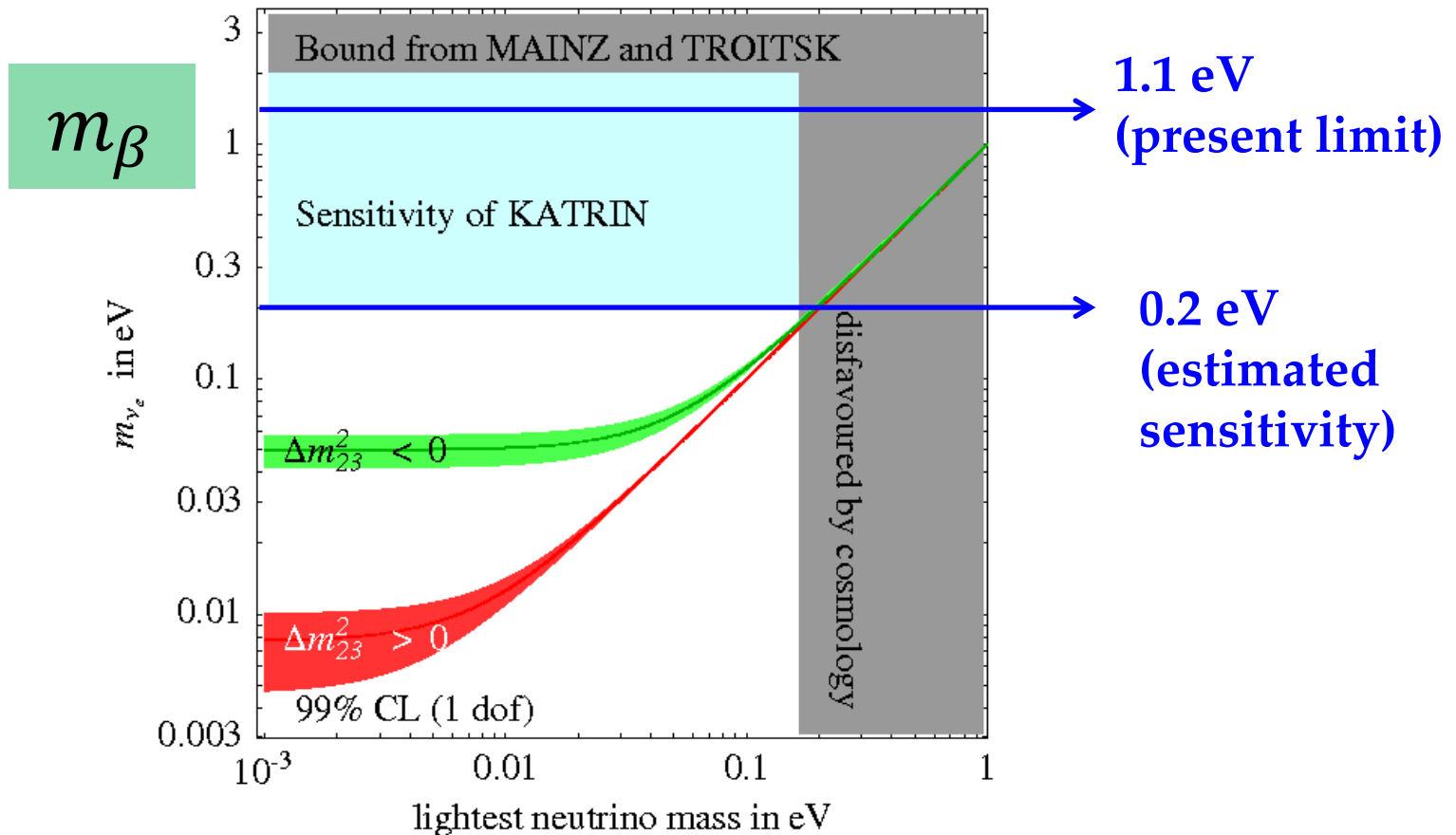
$E_0 = (18573.7 \pm 0.1) \text{ eV}$  → Q-value :  $(18575.2 \pm 0.5) \text{ eV}$

E.G. Myers et al., PRL 114 (2015) 013003: Q-value  $[\Delta M(^3\text{H}, ^3\text{He})]$ :  $(18575.72 \pm 0.07) \text{ eV}$

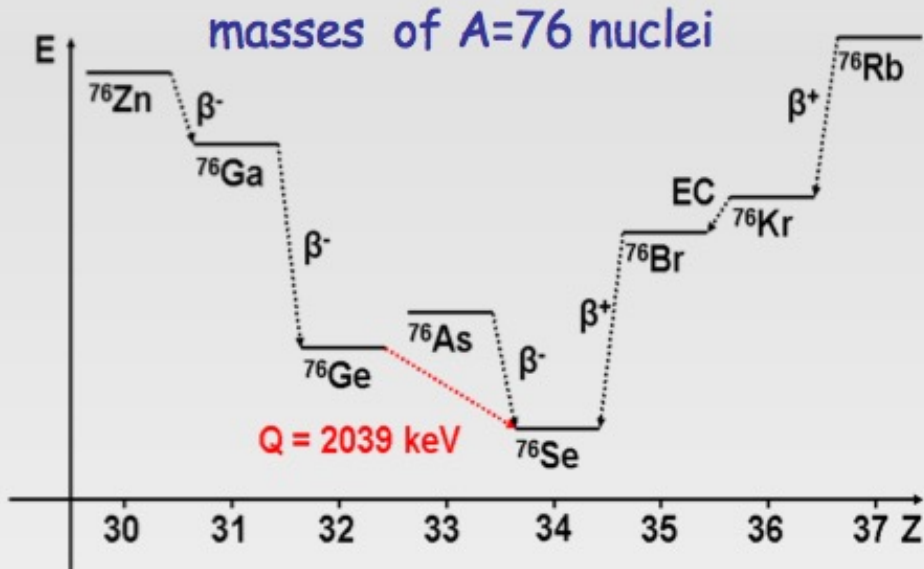
M. Aker et al.  
(KATRIN Collab.)  
*Phys. Rev. Lett.* **123**  
(2019) 221802



# *Sensibilità dei futuri esperimenti sulla misura diretta della massa del neutrino (e.g. KATRIN)*



# Doppio decadimento $\beta$



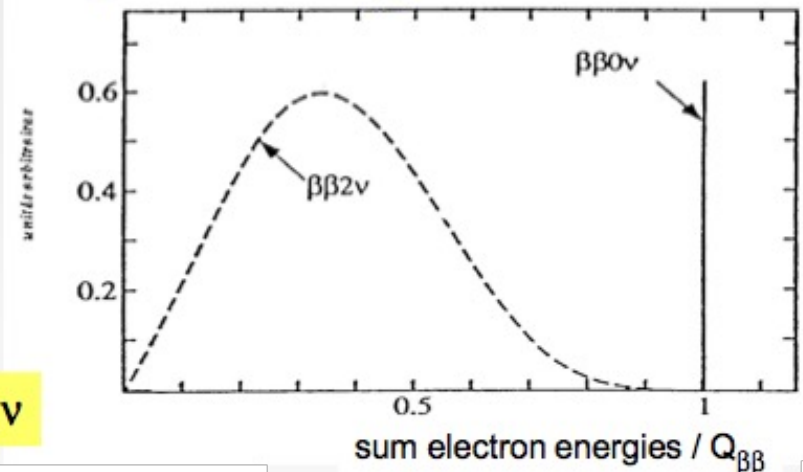
expected for 35 isotopes,  
 $2\nu\beta\beta$  found for 11 isotopes

$\beta^+\beta^+$ ,  $\beta^+\text{EC}$ , ECEC rates smaller  
 unless resonant enhancement  
 (e.g. for  $^{152}\text{Gd} - ^{152}\text{Sm}$  ECEC  $Q=56 \text{ keV}$ ,  
 Phys Rev Lett 106(2011) 052504)

"single" beta decay not allowed  
 → only "double beta decay"  
 $(A, Z) \rightarrow (A, Z+2) + 2 e^- + 2 \bar{\nu} \quad \Delta L=0$   
 $(A, Z) \rightarrow (A, Z+2) + 2 e^- \quad \Delta L=2$

Schechter Valle theorem:  $0\nu\beta\beta \iff \text{Majorana } \nu$

experimental signature for DBD



## Dalla formula di Weizsäcker



$$M(A, Z) = N \cdot M_n + Z \cdot M_p + Z \cdot m_e - \left( a_v \cdot A - a_s \cdot A^{2/3} - a_c \cdot Z^2 \cdot A^{-1/3} + \frac{1}{4} a_a \cdot (N - Z)^2 \cdot A^{-1} - \delta \cdot A^{-1/2} \right)$$

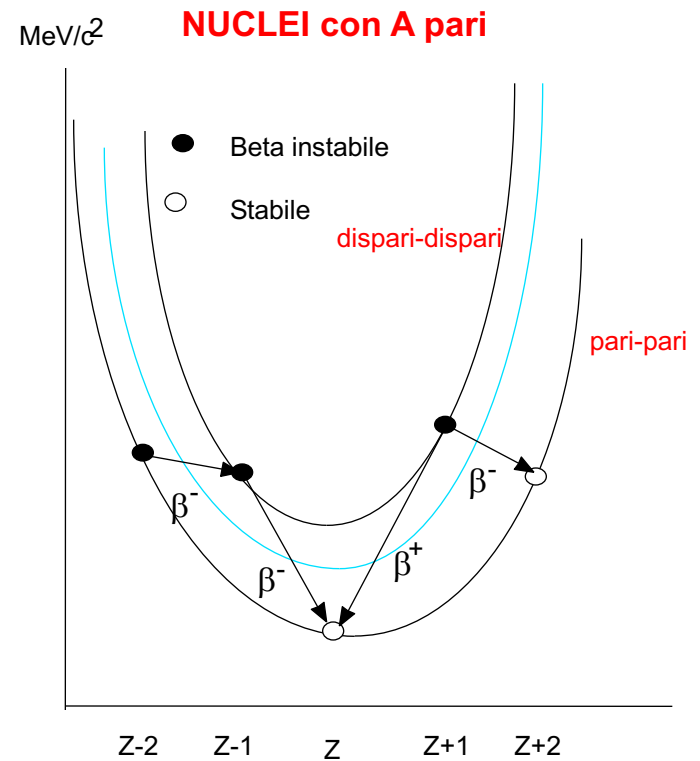
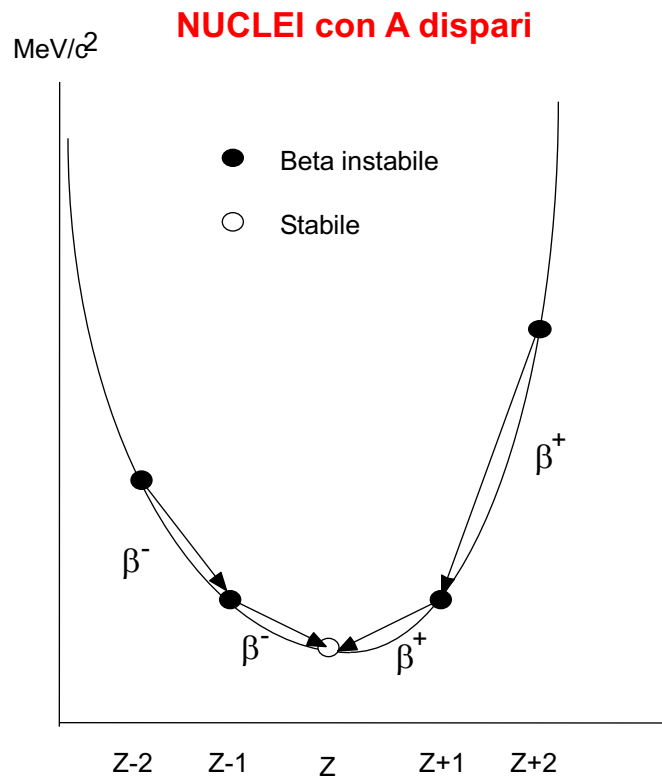
$$M(A, Z) = \alpha \cdot A - \beta \cdot Z + \gamma \cdot Z^2 + \delta \cdot A^{-1/2}$$

Con

$$\alpha = M_n - a_v + a_s \cdot A^{-1/3} + \frac{1}{4} a_a$$

$$\beta = a_a + (M_n - M_p - m_e)$$

$$\gamma = a_a \cdot A^{-1} + a_c \cdot A^{-1/3}$$



# $\beta\beta$ –Disintegration

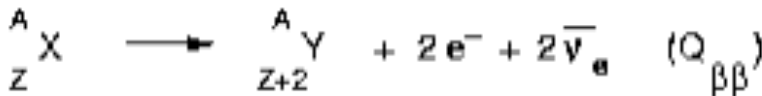
Suggested in general form by **Maria Goepper Mayer** just one year after the **Fermi** theory of beta decay.

M.Goeppert-Mayer, *The John Hopkins University*  
(Received May, 20 , 1935)



From the *Fermi theory* of  $\beta$ -disintegration the probability of simultaneous emission of two electrons (and two neutrinos) has been calculated. The result is that this process occurs **sufficiently rarely** to allow **a half-life of over  $10^{17}$  years** for a nucleus, even if its isobar of atomic number different by 2 were more stable by 20 times the electron mass

In 1935, Maria Goeppert-Mayer predicted the existence of the  $2\nu\beta\beta$  decay process, allowed in the SM framework. Double beta decay ( $2\nu\beta\beta$ ) is a nuclear transition in which an initial nucleus  $(Z,A)$  decays to  $(Z+2,A)$  emitting two electrons and two antineutrinos. This transition occurs regardless of whether neutrinos are their own antiparticles or not, i.e. Majorana or Dirac.  $2\nu\beta\beta$  has been observed in a number of experiments.

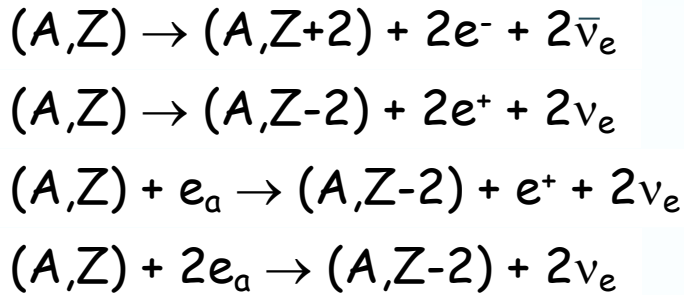


Note:  $\beta^+\beta^+$  decay exists too...



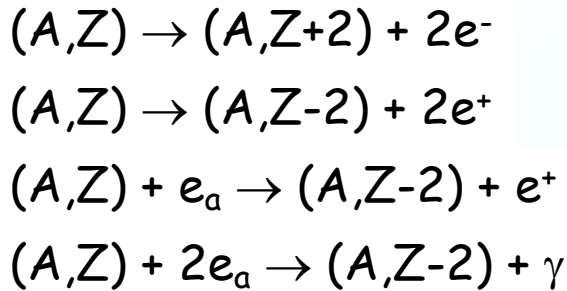
# Decay modes for Double Beta Decay (DBD)

①



**2ν Double Beta Decay (2νββ)**  
 allowed by the Standard Model  
 already observed -  $\tau \sim 10^{18} - 10^{21}$  yr

②

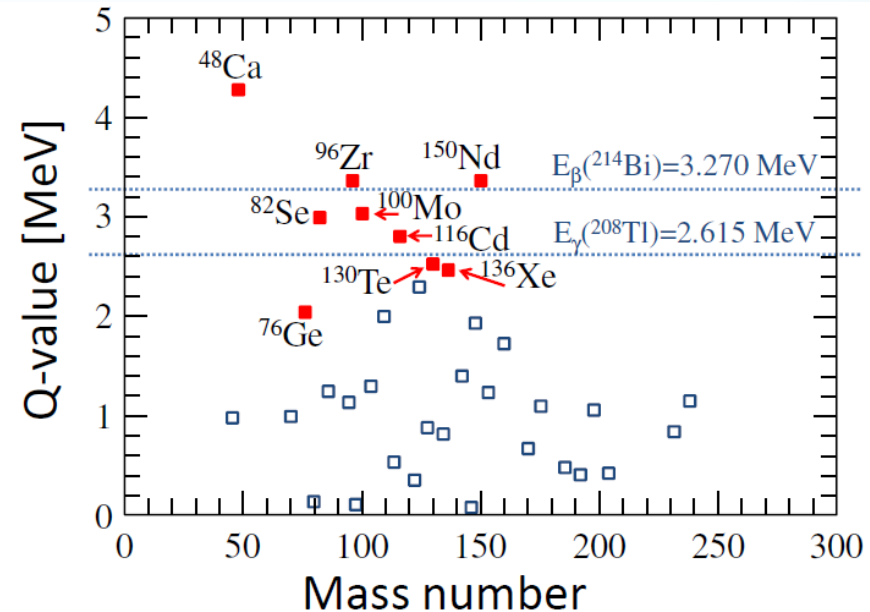


**neutrinoless Double Beta Decay (0νββ)**  
 never observed except  
 Klapdor's meas. -  $\tau \sim 10^{25}$  yr

③

**0νββ with Majoron**  
 (light neutral boson)  
 never observed -  $\tau > 10^{22}$  y

Processes ② and ③ would imply new physics  
 beyond the Standard Model  
 violation of total lepton number conservation

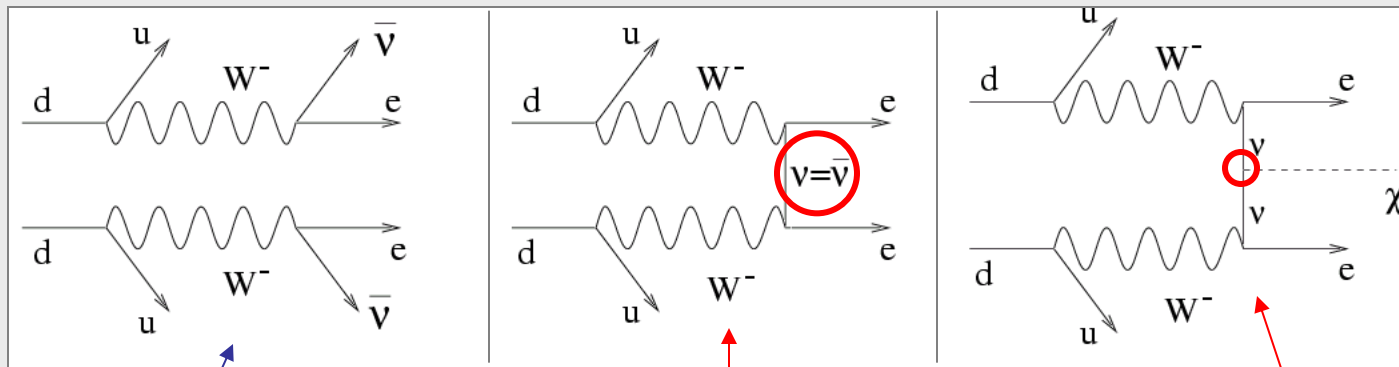


**How many candidate nuclei?**  
 Case of  $2\beta^-$  processes →

# Double Beta Decay and neutrino physics

DBD is a **second order** weak transition ← very low rates

Diagrams for the three processes discussed above:

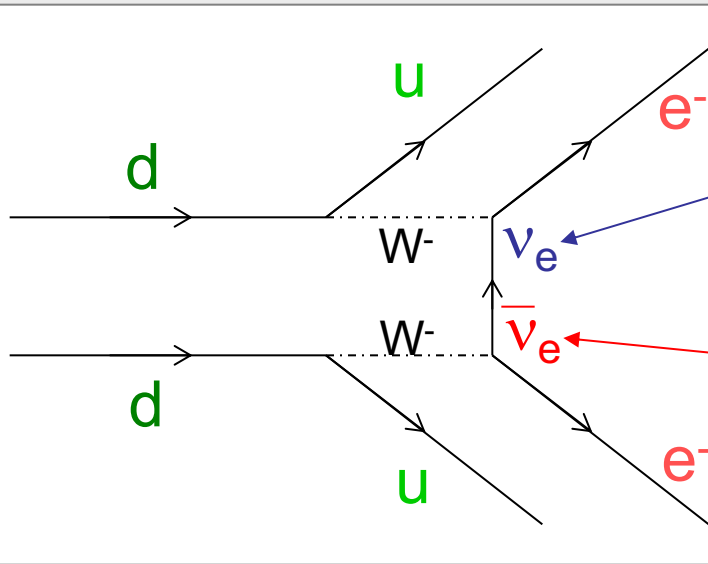


Standard process  
two “simultaneous” beta decays

$0\nu$ -DBD  
a virtual neutrino is exchanged  
between the two electroweak lepton vertices

DBD with Majoron emission  
A Majoron couples to the  
exchanged virtual neutrino

# Neutrino properties and $0\nu$ -DBD



a LH neutrino ( $L=-1$ ) is absorbed at this vertex

a RH antineutrino ( $L=1$ ) is emitted at this vertex

in pre-oscillations standard particle physics (massless neutrinos), the process is forbidden because neutrino has not the correct **helicity / lepton number** to be absorbed at the second vertex

- IF neutrinos are massive **DIRAC** particles:

Helicities can be accommodated thanks to the **finite mass**, **BUT** Lepton number is rigorously conserved

⇒  **$0\nu$ -DBD is forbidden**

- IF neutrinos are massive **MAJORANA** particles:

Helicities can be accommodated thanks to the **finite mass**, **AND** Lepton number is not relevant

⇒  **$0\nu$ -DBD is allowed**

Observation of  **$0\nu$ -DBD**



$$m_\nu \neq 0$$

$$\bar{\nu} \equiv \nu$$

# DBD and Majorana neutrinos

Schechter Valle theorem:



Majorana particle

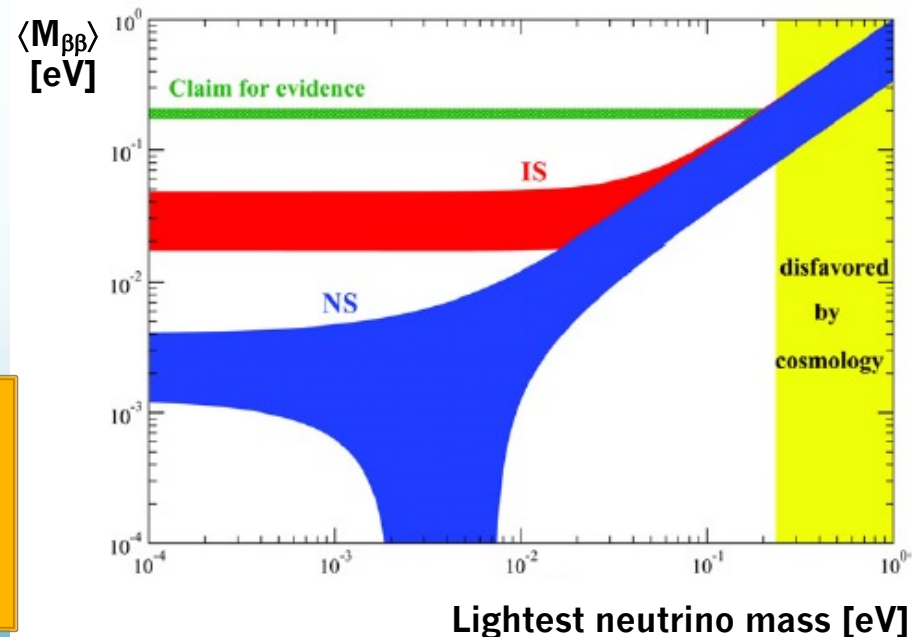
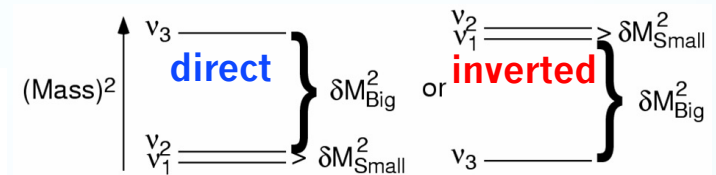
Neutrinoless  $\beta\beta$  decay rate  $\longrightarrow$  Phase space  $\longrightarrow$  Axial vector coupling constant  $\longrightarrow$  Nuclear matrix elements  $\longrightarrow$  Effective Majorana mass

$$1/\tau = G(Q,Z) g_A^4 |M_{\text{nucl}}|^2 \langle m_{\beta\beta} \rangle^2$$

$$\langle m_{\beta\beta} \rangle = \left| |U_{e1}|^2 m_1 + e^{i\alpha_1} |U_{e2}|^2 m_2 + e^{i\alpha_2} |U_{e3}|^2 m_3 \right|$$

- This generation of experiments will start to explore the IH region
- Possibly, the next generation will be necessary to complete the IH region exploration
- DH still far from now

## Neutrino mass hierarchy



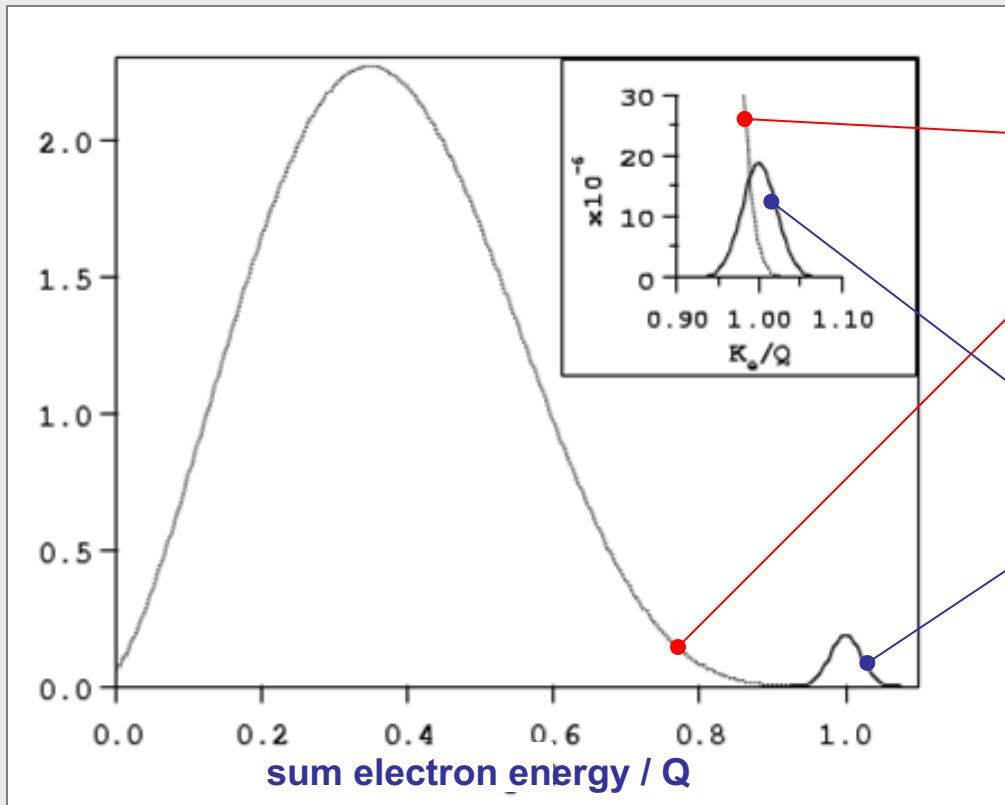
Nuclear matrix elements uncertainties



Needed different nuclei

# Electron sum energy spectra in DBD

The **shape** of the **two electron sum energy spectrum** enables to distinguish among the three different discussed decay modes



**two neutrino DBD**  
continuum with maximum at  $\sim 1/3 Q$

**neutrinoless DBD**  
peak enlarged only by  
the detector energy resolution

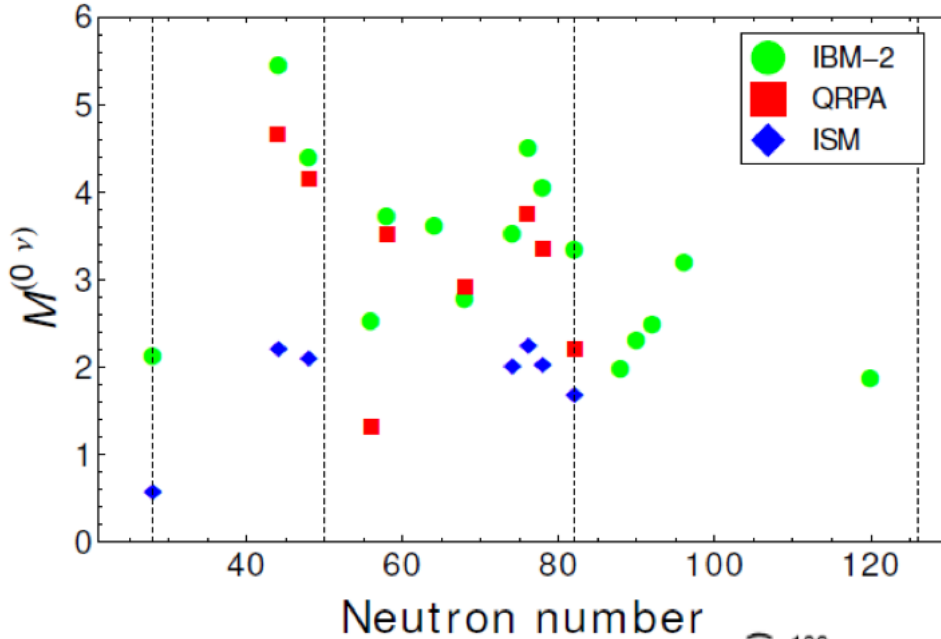
The **Majoron spectrum** is a continuum with maximum close to Q  
(phase space for a particle decaying to three light objects)

**Q ~ 2-3 MeV** for the most promising nuclides

**additional signatures:**

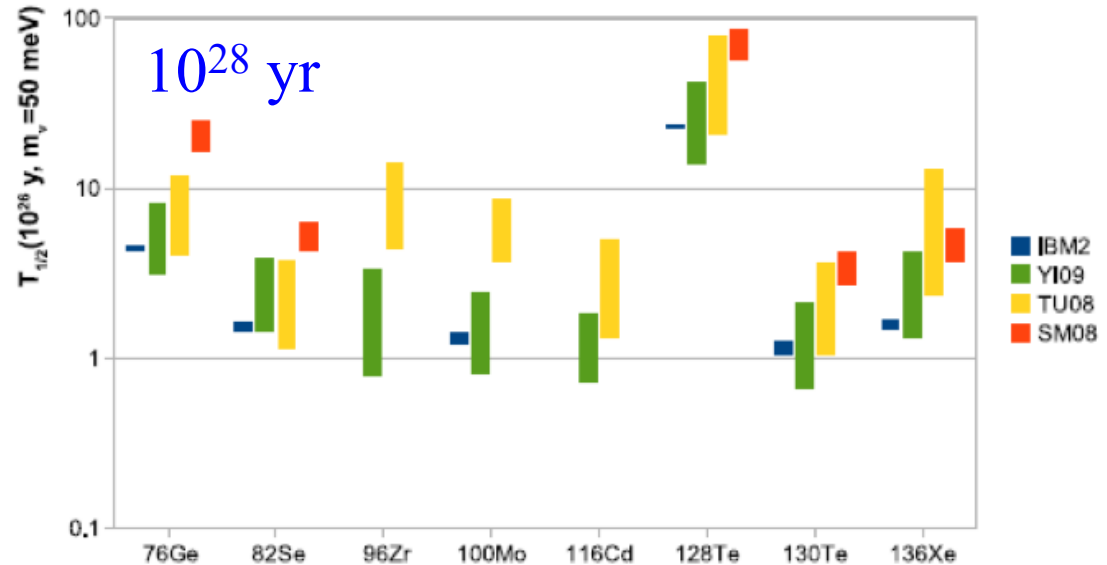
- single electron energy distribution
- angular distribution

# Matrix elements and $T_{1/2}$ expectations



The differences among the nuclear models remain an open question

Expected  $0\nu 2\beta$  half-lives for 50 meV effective neutrino mass and different Nuclear Matrix Elements calculations



# Needed sensitivity for inversed hierarchy

past experiments probe degenerate masses

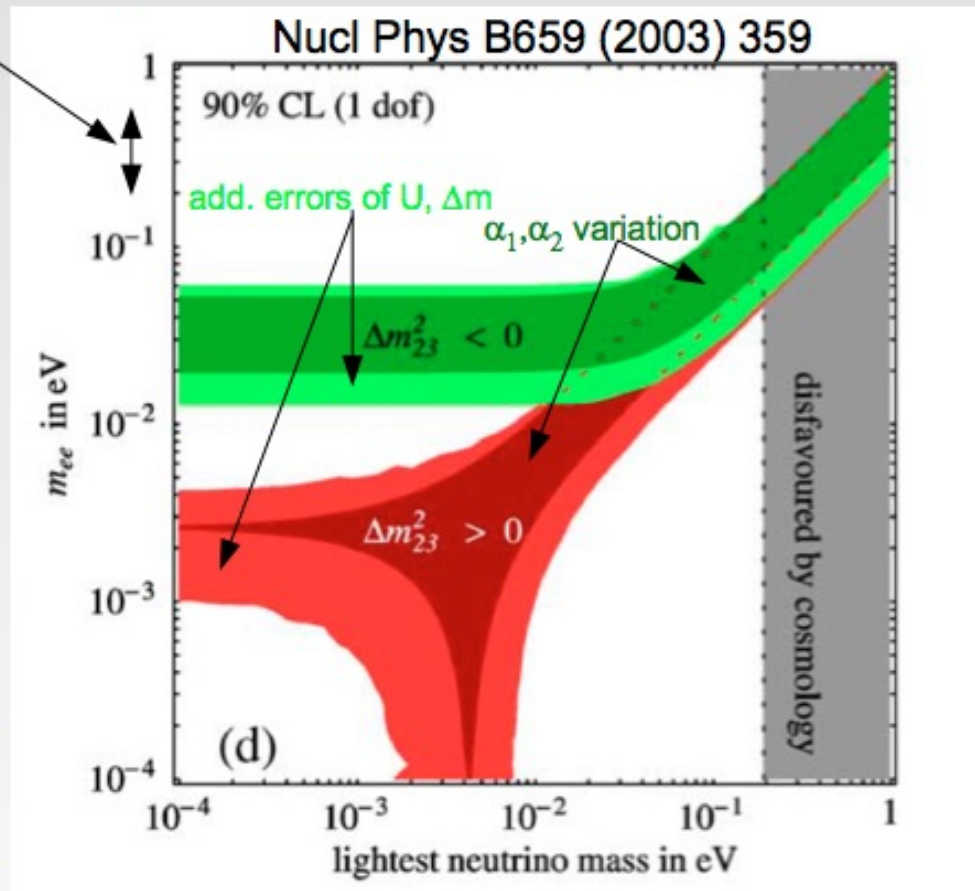
$$\langle m_{ee} \rangle = \left| \sum_{i=1}^3 U_{ei}^2 m_i \right| = \left| |U_{e1}|^2 m_1 + |U_{e2}|^2 e^{i\alpha_1} m_2 + |U_{e3}|^2 e^{i\alpha_2} m_3 \right|$$

next and next-to-next generation want to probe inverted mass hierarchy

lower  $\langle m_{ee} \rangle$  is given by (for light  $\nu$  exchange):

$$\begin{aligned} \langle m_{ee} \rangle_{min}^{IH} &= \sqrt{m_3^2 + \Delta m_A^2} \cdot \cos(\theta_{12})^2 \cdot \cos(\theta_{13})^2 - \\ &\quad \sqrt{m_3^2 + \Delta_{sol}^2 + \Delta m_A^2} \cdot \sin(\theta_{12})^2 \cos(\theta_{13})^2 - \\ &\quad m_3 \sin(\delta_{13})^2 \\ &\approx (1 - 2\sin(\theta_{12})^2) \sqrt{\Delta m_A^2} \\ &= (0.364_{-0.038}^{+0.032}) (49 \pm 1.2) \text{ meV} \\ &= 17.8_{-1.9}^{+1.6} \text{ meV} \end{aligned}$$

some uncertainty of minimal  $\langle m_{ee} \rangle_{IH}$  given by error on solar mixing (PRD 83 (2011) 113010) for IH experiments need to test 15 meV scale



# double beta decay experiments

## Approaches to investigate $2\beta$ decay

Geo-chemical:  
Mass-spectrometry  
measurement of the  
daughter isotopes

Radio-chemical:  
Measurements of  
radioactive daughters

Direct counting:  
Measurements of  $2\beta$   
decay events

Disadvantage: Both geo-chemical and radio-chemical  
method cannot distinguish  $2\nu$  and  $0\nu$  modes

Calorimetric  
(source=detector)

With passive source  
(source  $\neq$  detector)

Calorimetric approach has certain advantage : no energy losses in source and high detection efficiency. Source  $\neq$  detector approach is promising for  $2\nu$  measurements

# double beta decay experiments

Approaches to search for  $2\beta$  decay: radio-chemical experiment with  $^{238}\text{U}$

Radio-chemical experiment to search for  $2\beta$  decay of  $^{238}\text{U}$  was performed by measuring of the amount of plutonium that had accumulated in 33 yr from 8.47 kg of purified uranyl nitrate. Other sources of  $^{238}\text{Pu}$  have been studied and found negligible.

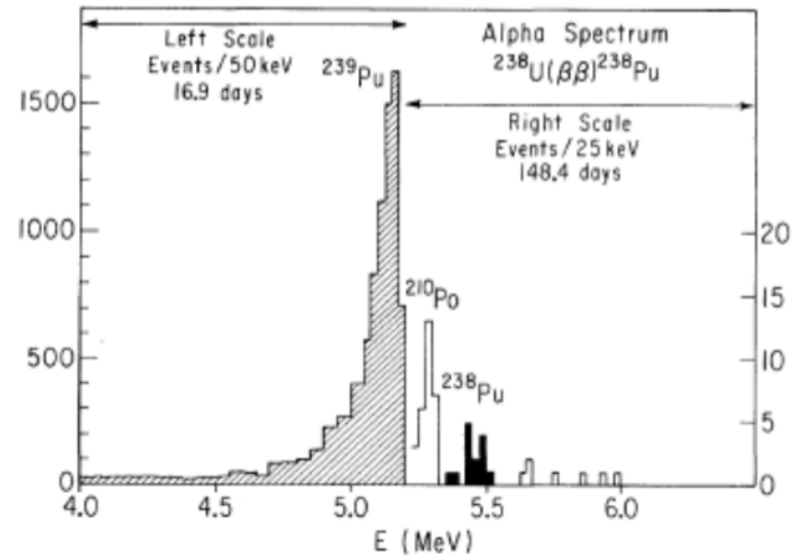


FIG. 1. A sample of the results from experiment A-5 on the University of Chicago counter MCA1 on the production of  $^{238}\text{Pu}$  from  $^{238}\text{U}$ . Shown are the numbers of alpha particles as a function of energy.

$$T_{1/2} (^{238}\text{U}) = (2.0 \pm 0.6) \times 10^{21} \text{ yr}$$

No possibility to determine mode of decay ( $0\nu$  or  $2\nu$ ) despite some speculations using theoretical calculations of  $2\nu$  decay contribution

# DBD: geochemical expts

## Approaches to search for $2\beta$ decay: geochemical experiments

In geochemical method an old mineral containing  $2\beta$  isotope is analyzed by counting the number of daughter atoms accumulated over the long time by  $2\beta$  decay process. In such minerals an amount of daughter nuclides exceeds the natural abundance, and can be measured with a help of mass spectrometry. The age of the samples ranges from  $10^6$  yr to more than  $10^9$  yr. The probability of the decay  $\lambda_{\beta\beta}$  depends on the age of the mineral  $T$  and abundances measured for parent  $N(Z, A)$  and daughter  $N(Z \pm 2, A)$  nuclei:

$$\lambda_{\beta\beta} \cong \frac{N(Z \pm 2, A)}{N(Z, A)} \cdot \frac{1}{T}$$

Geochemical experiments are very sensitive mainly in cases when daughter elements are noble gases ( $^{82}\text{Se} \rightarrow ^{82}\text{Kr}$ ,  $^{128}\text{Te} \rightarrow ^{128}\text{Xe}$ ,  $^{130}\text{Te} \rightarrow ^{130}\text{Xe}$ ). **Why?**

### Results of geochemical experiments

Isotope	Decay mode	$T_{1/2}$ , years
$^{82}\text{Se}$	$2\beta^-$	$= (1.2 \pm 0.1) \times 10^{20}$
$^{96}\text{Zr}$	$2\beta^-$	$= (3.9 \pm 0.9) \times 10^{19}$ $= (9.4 \pm 3.2) \times 10^{18}$
$^{100}\text{Mo}$	$2\beta^-$	$= (2.1 \pm 0.3) \times 10^{18}$
$^{128}\text{Te}$	$2\beta^-$	$= (1.8 \pm 0.7) \times 10^{24}$ $= (7.7 \pm 0.4) \times 10^{24}$ $= (2.2 \pm 0.4) \times 10^{24}$
$^{130}\text{Te}$	$2\beta^-$	$\sim 0.8 \times 10^{21}$ $= (2.7 \pm 0.1) \times 10^{21}$
$^{130}\text{Ba}$	$2\beta^+, \epsilon\beta^+, 2\epsilon$ $2\epsilon$	$= (2.1_{-0.8}^{+3.0}) \times 10^{21}$ [2] $= (2.2 \pm 0.5) \times 10^{21}$ [3]
$^{132}\text{Ba}$	$2\epsilon$	$> 3.0 \times 10^{20}$ [2] $> 2.2 \times 10^{21}$ [3]

The method is not perfectly precise (however, it is the rarest process ever observed)

An indication, should be confirmed

The main disadvantage of such method is impossibility to distinguish between the two-neutrino and neutrinoless  $2\beta$  decay modes, therefore  $\lambda_{\beta\beta}$  is the total probability of  $2\nu 2\beta$  and  $0\nu 2\beta$  decay modes:

$$\lambda_{\beta\beta} = \lambda_{2\nu} + \lambda_{0\nu}$$

Thus the sensitivity of such experiments to  $0\nu 2\beta$  process is limited by half-life of the two-neutrino  $2\beta$  decay.

Direct counting: measurement of  $2\beta$  decay events

- ❑ calorimetric (source = detector)
- ❑ with passive source (source  $\neq$  detector)

# Background sources

- Natural radioactivity (source itself)

Two main sources

- Activity in the rock and in surrounding materials  
( $\alpha, n$ ) processes  $\Rightarrow$  [0,10] MeV spectrum

$\Rightarrow$  Choice of materials

- High level waste  
Storage of materials underground

- Neutrons

$\Rightarrow$  Partial or full detector realization underground

$\Rightarrow$  (Ge diodes)

$\Rightarrow$  reliable simulations

- Cosmogenic induced activity

Improve alternative techniques:

- ICPMS

- Neutron Activation Analysis

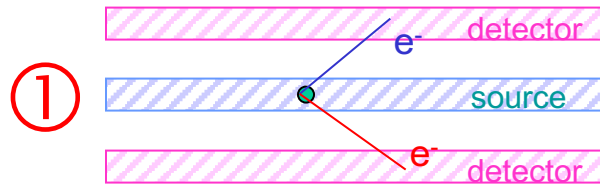
- "Ad hoc" bolometers for alpha self-counting

- Full prototype used to measure contamination (BiPo detector)

- $2\nu$  Double Beta Decay

# Experimental approaches to direct searches

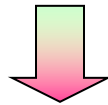
Two approaches for the detection of the two electrons:



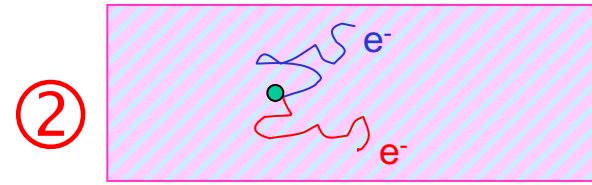
Source  $\neq$  Detector

- scintillation
- gaseous TPC
- gaseous drift chamber with magnetic field and calorimetry

- ☹ difficulties for large source mass
- ☺ neat reconstruction of event topology
- ☺ several candidates with the same detector



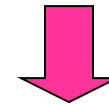
Event reconstruction  
Low efficiency  
Low energy resolution



Source  $\equiv$  Detector

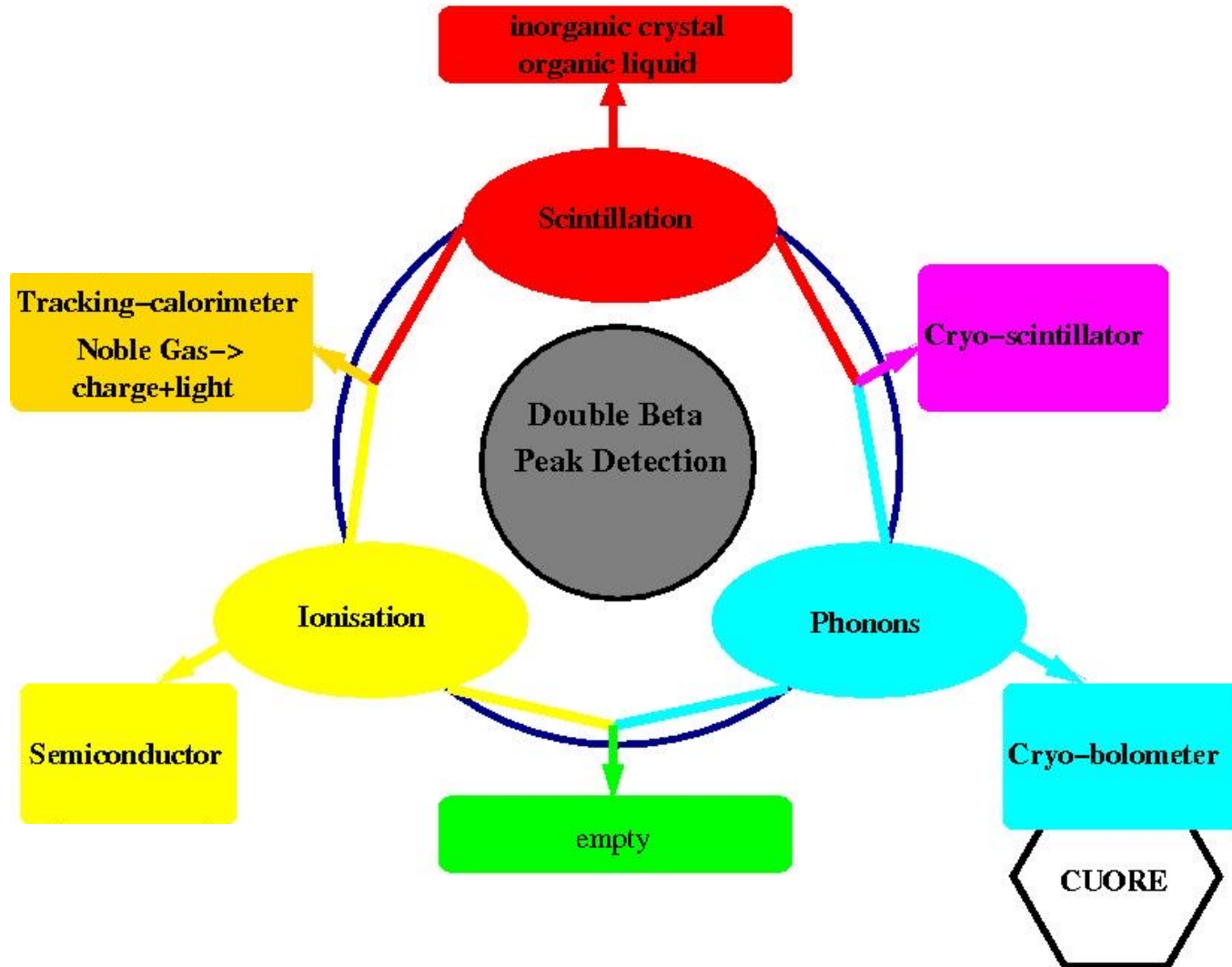
- scintillation
- solid-state devices
- gaseous, liquid detectors
- cryogenic bolometers

- ☹ constraints on detector materials
- ☺ very large masses possible
- ☺ very high energy resolution
- ☺ Possible indication of event topology

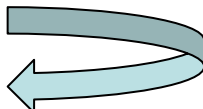


High efficiency  
Energy resolution

# Techniques



# Experimental sensitivity

$$N^{0\nu} = \frac{N_T}{\tau} t \epsilon; \quad \text{sapendo che:} \quad N_T = \frac{MN_A}{A} a \quad \tau = T_{1/2} / \ln 2$$


Experiment observes  $N^{0\nu} = \ln 2 \frac{N_A}{A} \cdot a \cdot \epsilon \cdot Mt / T_{1/2}$  and  $N^{bkg} = Mt \cdot B \cdot \Delta E$

## Experimental sensitivity

$$T_{1/2}(90\%CL) > \begin{cases} \frac{\ln 2}{2.3} \frac{N_A}{A} a \cdot \epsilon \cdot Mt & \text{for } N^{bkg} = 0 \\ \frac{\ln 2}{1.64} \frac{N_A}{A} a \cdot \epsilon \sqrt{\frac{Mt}{B \cdot \Delta E}} & \text{for large } N^{bkg} \end{cases}$$

$$N^{0\nu} < 2.3 \quad (90\% \text{ CL})$$

$$N^{0\nu} < 1.64 \sqrt{Mt B \Delta E} \quad (90\% \text{ CL})$$

$$T_{1/2} = \ln 2 \frac{N_A}{A} a \epsilon M t \frac{1}{N^{0\nu}}$$

M = mass of the element in the detector

t = measurement time

A = isotope mass per mole

$N_A$  = Avogadro constant

a = fraction of  $0\nu\beta\beta$  isotope

$\epsilon$  = detection efficiency

B = background index in units cnt/(keV kg y)

$\Delta E$  = energy resolution = energy window size

# *Experimental overview*

## $\beta\beta_0\nu$ -decay Projects

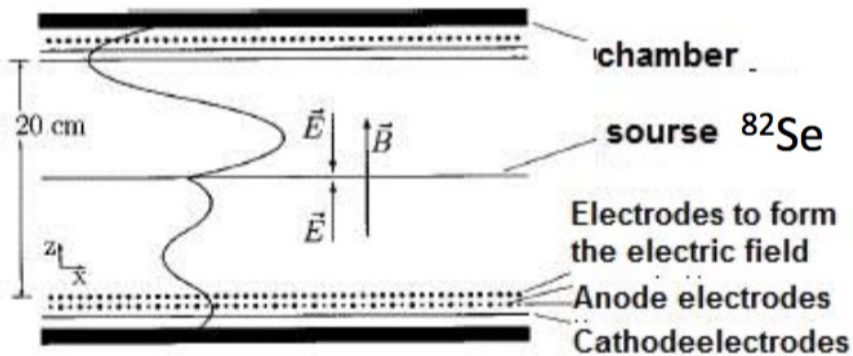
Name	Nucleus	Mass	Method	Location	Time
Past/Recent experiments					
Heidelberg-Moscow	$^{76}\text{Ge}$	11	ionization	LNGS	-2003
IGEX	$^{76}\text{Ge}$	6	ionization	Canfranc	-2000
Cuoricino	$^{130}\text{Te}$	11	bolometer	LNGS	-2008
NEMO-3	$^{100}\text{Mo}/^{82}\text{Se}$	7/1	track./calor.	Modane	-2011
Current experiments (funded, under construction or running)					
GERDA I/II	$^{76}\text{Ge}$	15/35	ionization	LNGS	2011/13
Majorana	$^{76}\text{Ge}$	30	ionization	SUSEL	2013
EXO200	$^{136}\text{Xe}$	200	liquid TPC	WIPP	2011
Cuore0/Cuore	$^{130}\text{Te}$	10/200	bolometer	LNGS	2011/14
Kamland-Zen	$^{136}\text{Xe}$	400	LS	Kamioka	2011
SNO+	$^{150}\text{Nd}$	44	LS	Sudbury	2014
(substantial) R&D funding, proto-typing					
NEXT	$^{136}\text{Xe}$	100	gas TPC	Canfranc	2013+
CandlesIII	$^{48}\text{Ca}$	0.35	scint crystal	Oto Cosmo	2011
MOON	$^{82}\text{Se}, ^{150}\text{Nd}$				
DCBA	$^{150}\text{Nd}$	32	tracking		
Cobra	$^{116}\text{Cd}$		solid TPC	LNGS	
SuperNEMO	$^{82}\text{Se}$	7/100-200	track./calor.	Modane	2014/-
XMASS	$^{136}\text{Xe}$		liquid SC	Kamioka	
Lucifer	$^{82}\text{Se}$		bolom+scint		

+ Efforts on  $\beta^+\beta^+$  ... and much more

# double beta decay

experiments: first direct detection of  $2\nu 2\beta$  decay

## TPC to search for $2\beta$ decay of $^{82}\text{Se}$



First counting experiment observed  $2\nu 2\beta$  decay of  $^{82}\text{Se}$  with  $T_{1/2} = 1.1^{+0.8}_{-0.3} \times 10^{20}$  yr [1]

- Gas filled volume
- Particles traversing the volume ionize the gas
- Electrons drift towards the anode and cathode electrodes
- Signal is amplified and generates a 2D picture
- Measuring drift time allows the reconstruction of 3rd dimension

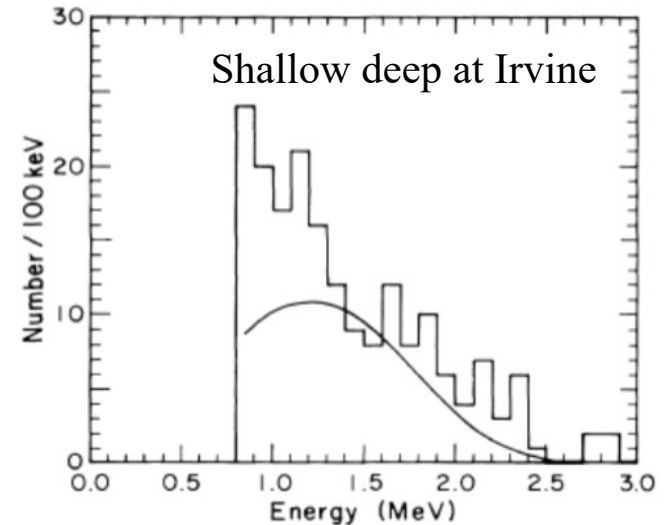


FIG. 1. The observed sum-energy spectrum of two-electron events. A threshold of 800 keV was imposed on the sum energy of the events, and a threshold of 150 keV was imposed on the single energy. The curve is the theoretical  $\beta\beta(2\nu)$  sum-energy spectrum normalized to  $1.1 \times 10^{20}$  yr.

[1] S.R. Elliott, A. A. Hahn, and M. K. Moe, *Direct evidence for two-neutrino double-beta decay in  $^{82}\text{Se}$* . Physical Review Letters 59 (1987) 2020.

## KKDC result

### 2001 – Evidence for $0\nu\beta\beta$ peak at 2039keV

KKDC used five  $^{76}\text{Ge}$  crystals, with a total of 10.96 kg of mass, and 71 kg-years of data (1990–2003)

0.2% or better energy resolution

$$T_{1/2}^{0\nu} (^{76}\text{Ge}) = 2.23^{+0.44}_{-0.31} \times 10^{25} \text{ y}$$

$$0.1 < m_\nu < 0.9 \text{ eV}$$

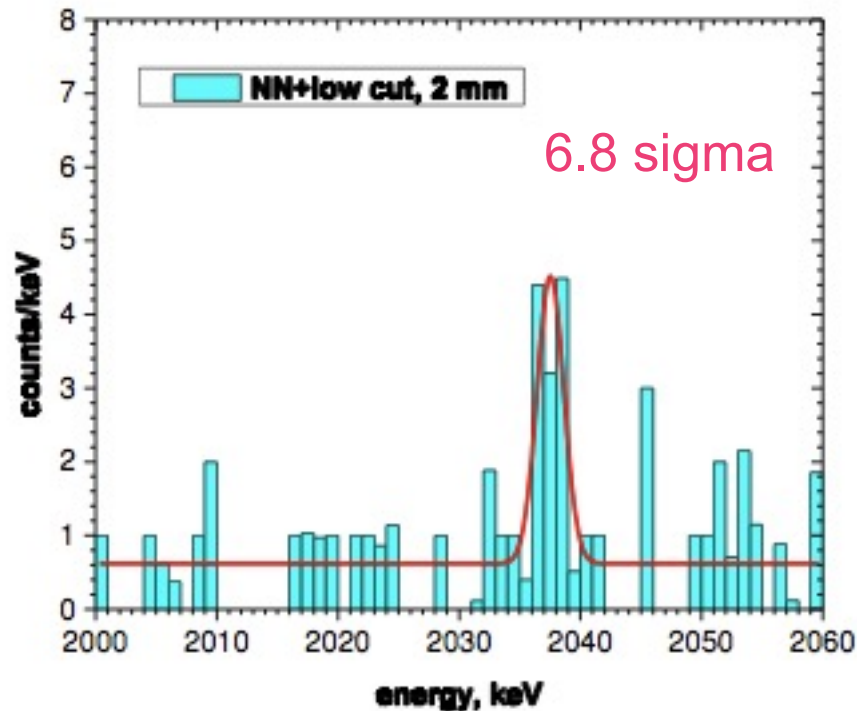
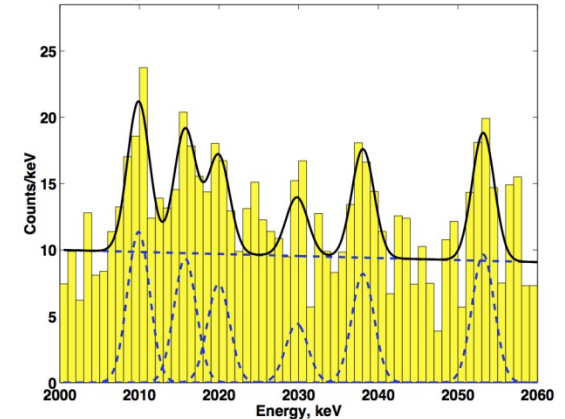
### Refined analysis in 2006

Two different methods of pulse shape analysis

neuronal net approach,

selection by a new method comparing measured pulses with a library of pulse shapes of point-like events calculated from simulation of the electric field distribution in the detectors

Phys. Lett. B 586 (2004) 198



Modern Physics Letters A  
21 (2006) 1547



# New Germanium Experiments

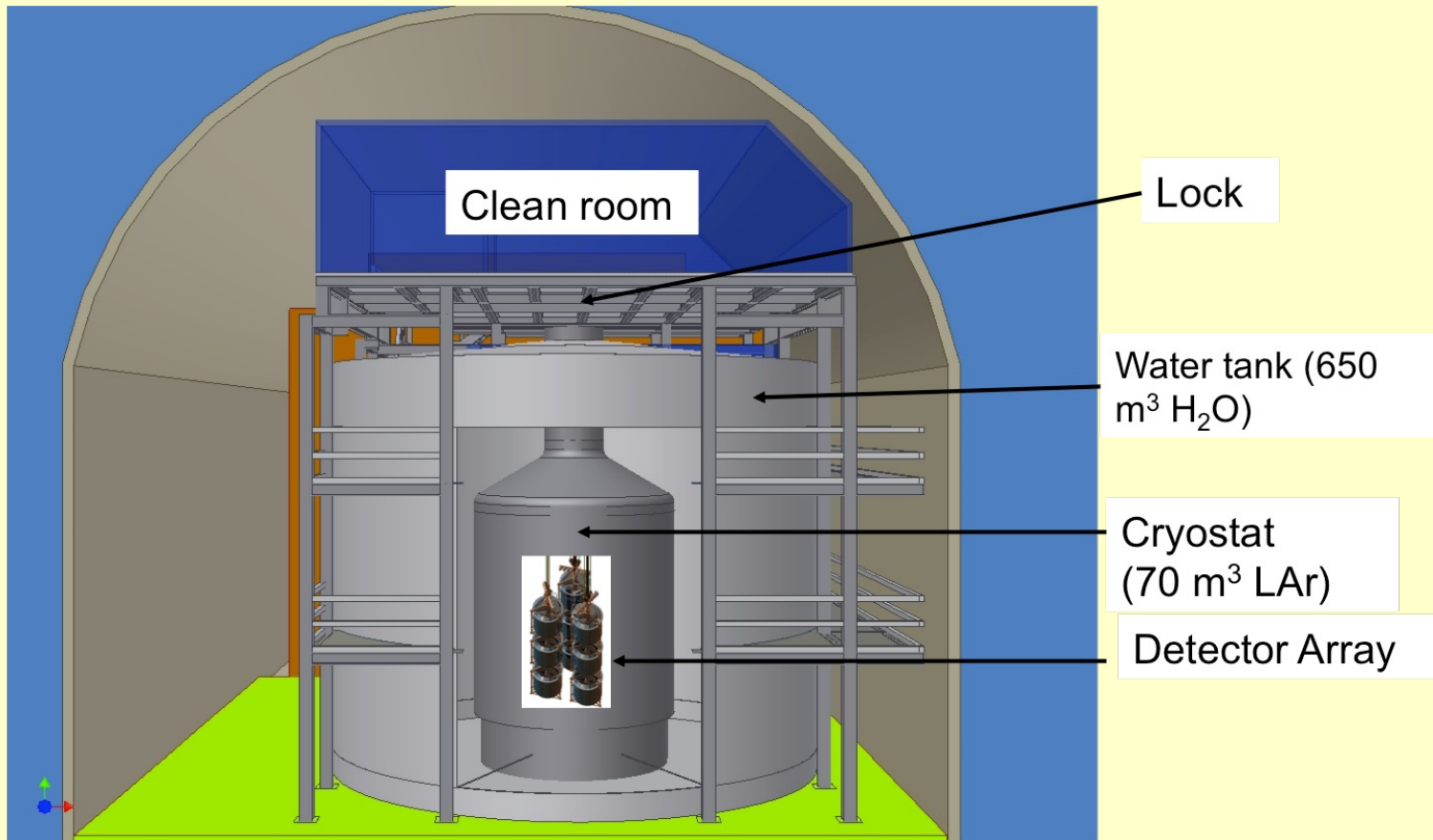




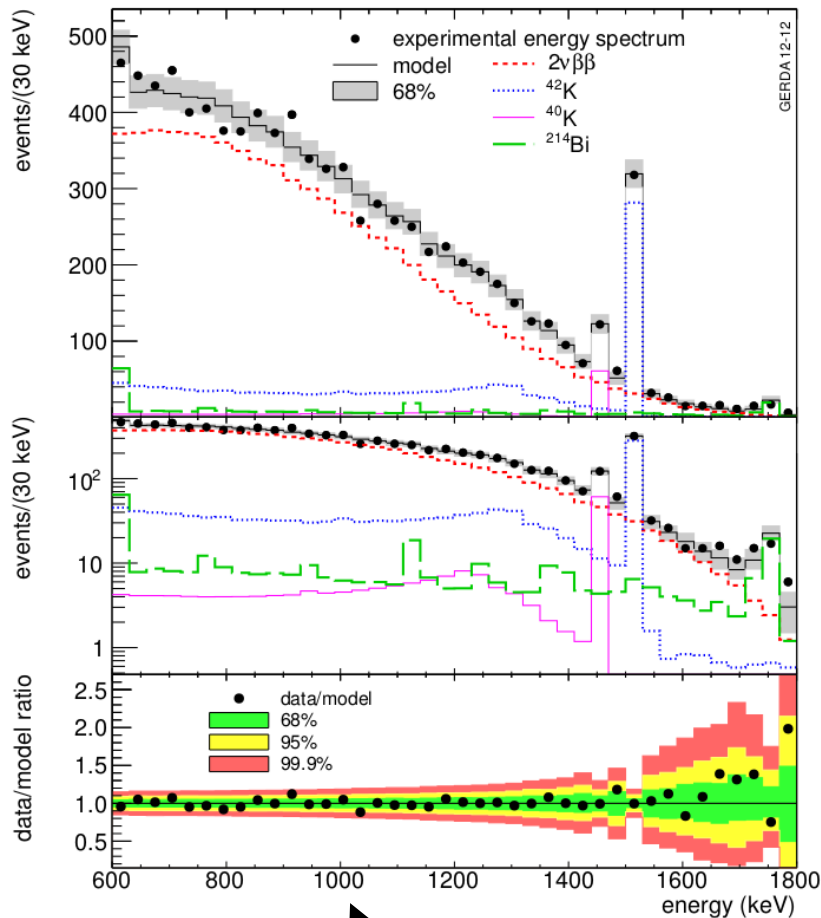
# GERDA

- GERmanium Detector Array
- At LNGS

- Germanium Diodes
  - Inherited from HM, IGEX
- Cu cryostat filled with LAr
- 3m thick Čerenkov H<sub>2</sub>O shield



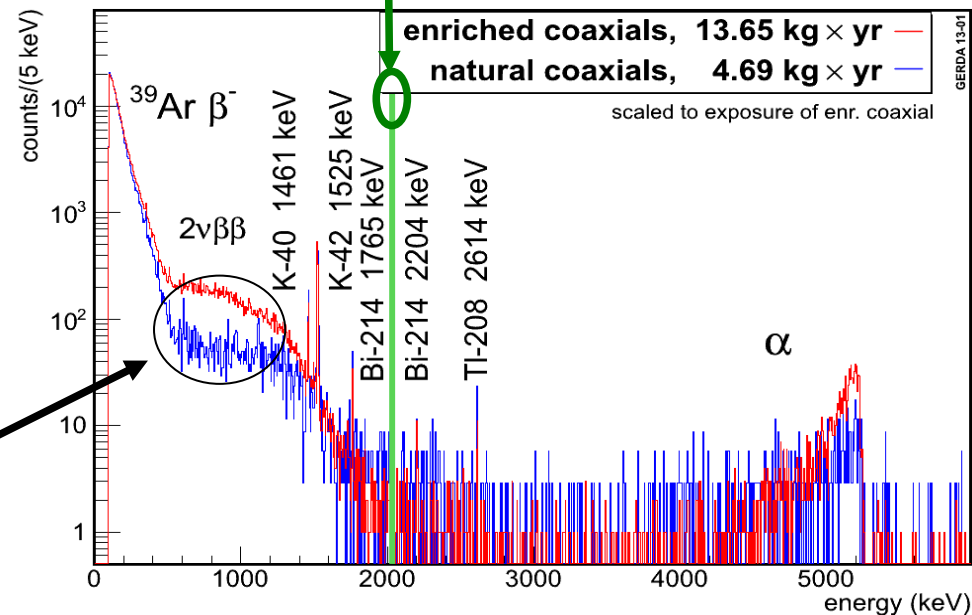
$$T_{1/2}^{2\nu} = (1.84_{-0.08}^{+0.09} (fit)_{-0.06}^{+0.11} (syst)) \times 10^{21} \text{ yr}$$



**Eventi  $2\nu\beta\beta$   
chiaramente visibili**

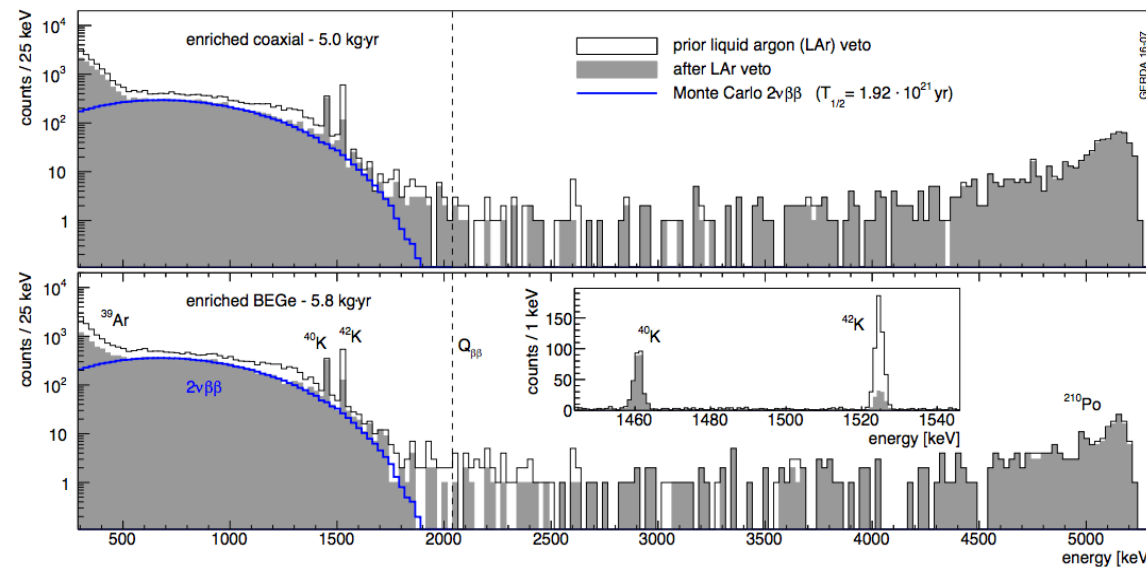
**Fondo un fattore ~10 inferiore agli  
esperimenti di HdM e IGEX**

**La regione tra 2019 e 2049 keV  
è esclusa dalla definizione dei tagli  
(blinded analysis)**



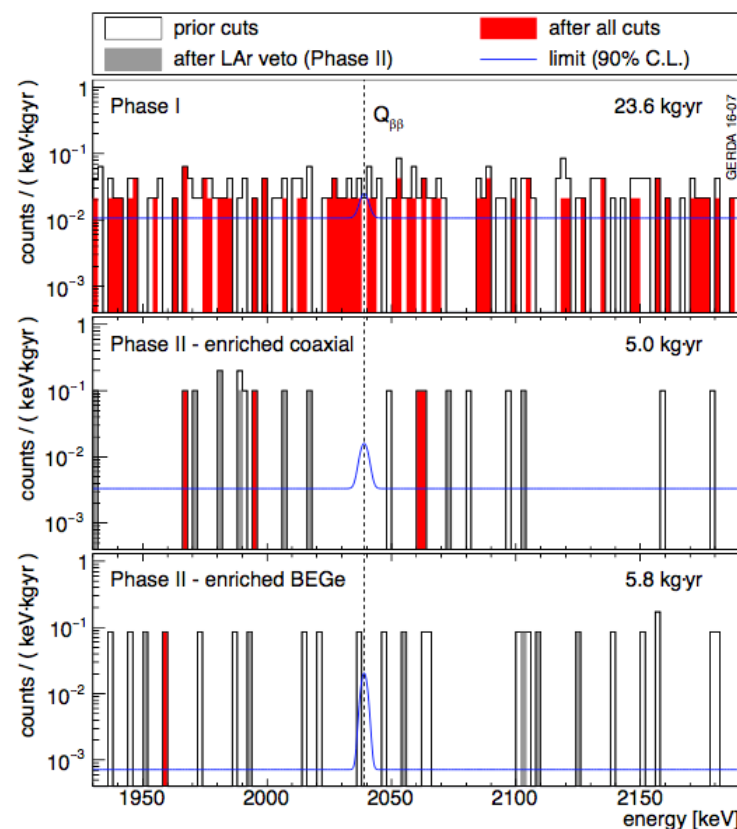
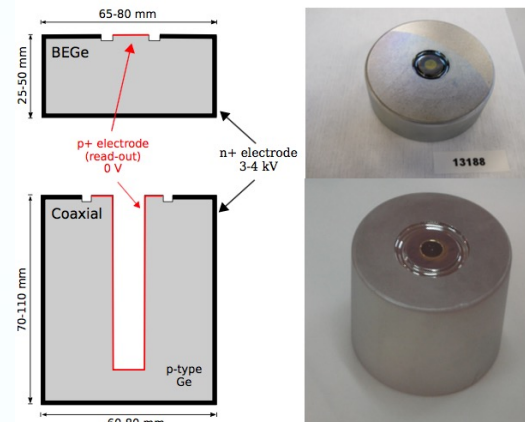
# GERDA - Fase II:

arXiv:1703.00570



- Background level  $\approx 10^{-3}$  cts/(keV · kg · yr), which is the world-best if weighted by the narrow energy-signal region of germanium detectors.
- Combining Phase I and II data we find no signal and deduce a new lower limit for the half-life of  $5.3 \times 10^{25}$  yr at 90 % C.L.
- Sensitivity of  $4.0 \times 10^{25}$  yr is competitive with experiments with significantly larger isotope mass.
- For light Majorana neutrino exchange and a nuclear matrix element range for  $^{76}\text{Ge}$  between 2.8 and 6.1, the Gerda half-life limit converts to  $m_{\beta\beta} < 0.15\text{--}0.33$  eV (90% C.L.).

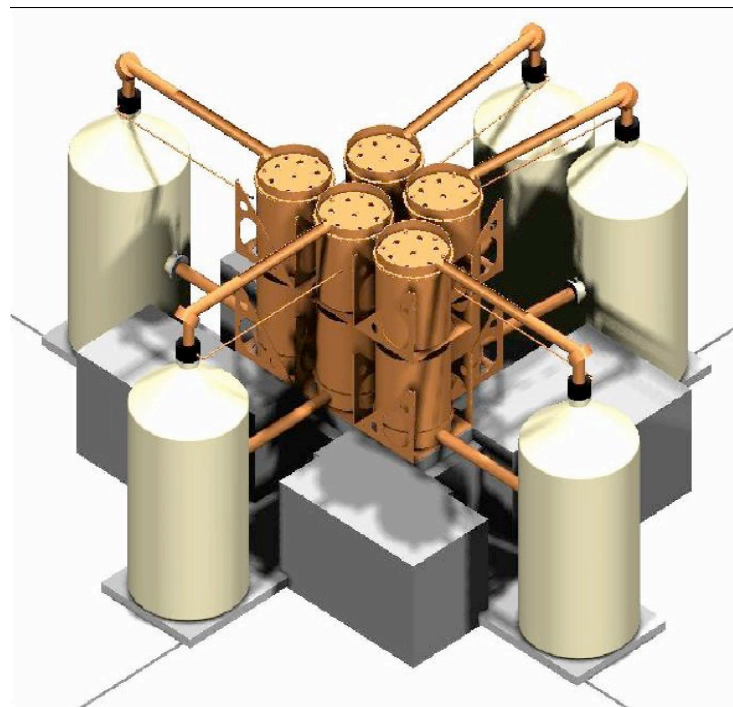
$$T_{1/2}^{2\nu} = (1.926 \pm 0.094) \cdot 10^{21} \text{ yr}$$





# Majorana

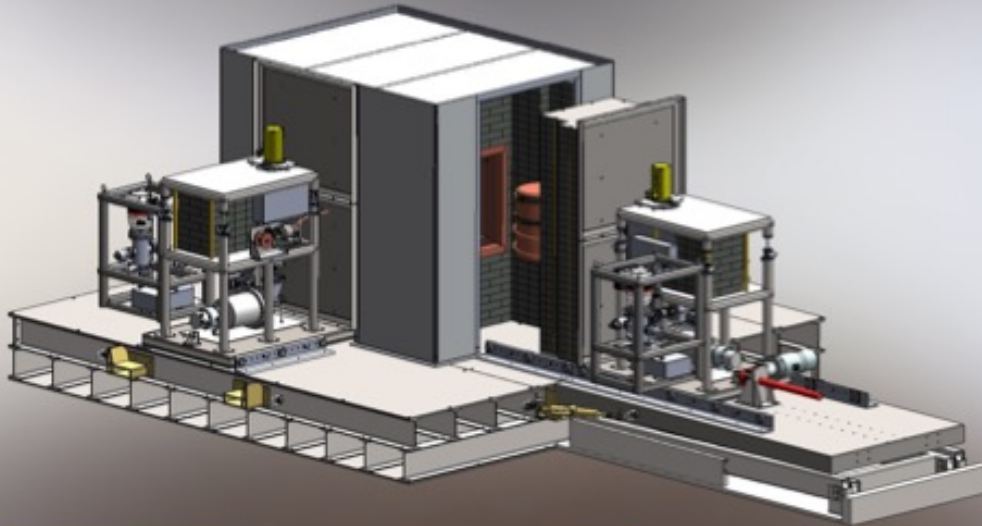
- 500kg enriched Ge  
Segmented detectors
- Based on IGEX technology
  - background reduced by >50
  - cosmogenic n spallation



- 10 years  $\rightarrow T_{1/2} > 4 \cdot 10^{27}$  years  
 $\langle m_{\nu} \rangle \sim 0.03-0.04$  eV

# Majorana Demonstrator

BEGe detectors in conventional cryostat



"self-made" electro-formed Cu as shield: 16 baths in operation!



19  $^{nat}\text{Ge}$  diodes in hand working in string



20 kg enriched Ge on its way!

Next steps:

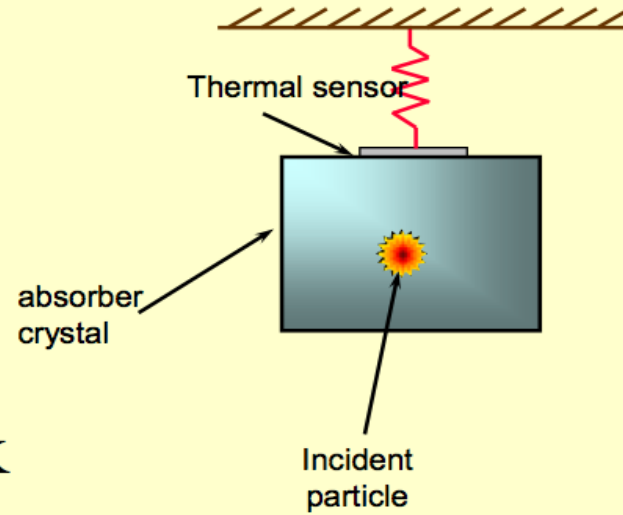
- 2012 first cryostat above ground with  $^{nat}\text{Ge}$  detectors
  - 2013 below ground with  $^{nat}\text{Ge}$  and  $^{enr}\text{Ge}$  diodes
  - 2014 full experiment
  - background level 0.004 cnt/(ROI kg y), ROI  $\sim$  4 keV
- background level would be good enough for ton scale exp.

Start data taking in 2016

# A new technique => Thermal detectors

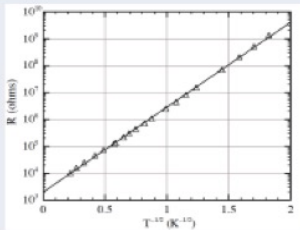
$$\Delta T = \frac{Q}{C_V}$$

$$C_V = 1944 \frac{V}{V_m} \left(\frac{T}{\Theta}\right)^3 \text{ J/K}$$

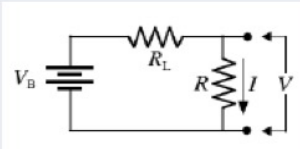


Energy resolution < 1 eV ~ 2 eV @ 6 keV  
 ~ 10 eV ~ keV @ 2 MeV

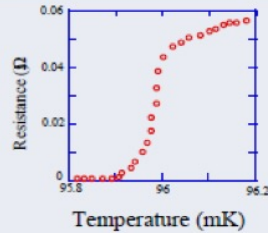
## Doped Semiconductors



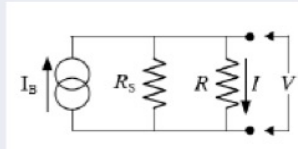
- $\alpha$  negative;  $|\alpha| < 10$
- Resistance large
- Current bias and read voltage



## Superconducting transition-edge



- $\alpha$  positive;  $10 < \alpha < 1000$
- Resistance small
- Voltage bias and read current



5\*1989\*1

# Cuoricino experiment

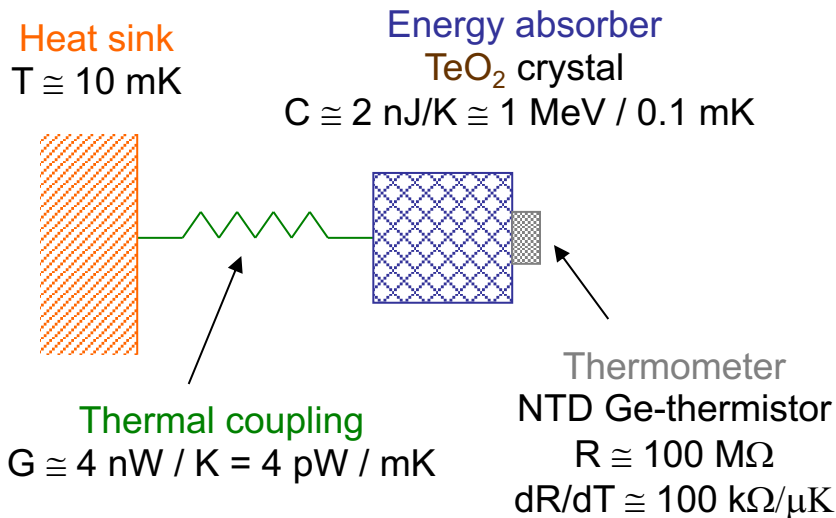


## $^{130}\text{Te}$ as a DBD emitter

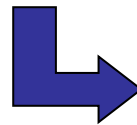
- high natural isotopic abundance (I.A. = 33.87 %)
- high transition energy (  $Q = 2530 \text{ keV}$  )
- encouraging theoretical calculations for  $0\nu\text{-DBD}$  lifetime
- already observed with geo-chemical techniques ( $T_{1/2 \text{ incl}} = (0.7 - 2.7) \times 10^{21} \text{ y}$ )
- $2\nu$  DBD decay observed by a precursor bolometric experiment (MIBETA) and by NEMO3 at the level  $T_{1/2} = (5 - 7) \times 10^{20} \text{ y}$

$$\langle M_{\beta\beta} \rangle \approx 50 \text{ meV} \Leftrightarrow \tau \approx 2 \times 10^{26} \text{ y}$$

## The bolometric technique for $^{130}\text{Te}$



- ◆ Temperature signal:  $\Delta T = E/C \cong 0.1 \text{ mK}$  for  $E = 1 \text{ MeV}$
- ◆ Bias:  $I \cong 0.1 \text{ nA} \Rightarrow$  Joule power  $\cong 1 \text{ pW}$   
 $\Rightarrow$  Temperature rise  $\cong 0.25 \text{ mK}$
- ◆ Voltage signal:  $\Delta V = I \times dR/dT \times \Delta T \Rightarrow \Delta V = 1 \text{ mV}$  for  $E = 1 \text{ MeV}$
- ◆ Signal recovery time:  $\tau = C/G \cong 0.5 \text{ s}$
- ◆ Noise over signal bandwidth (a few Hz):  $V_{\text{rms}} = 0.2 \mu\text{V}$

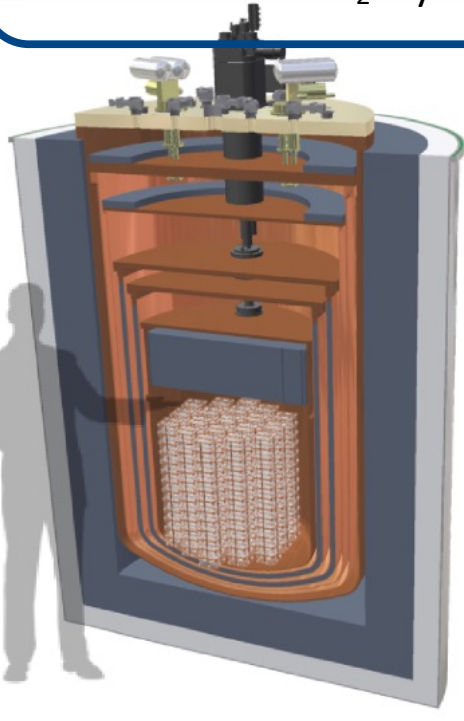


Energy resolution (FWHM):  $\cong \text{keV scale}$

# CUORE: Cryogenic Underground Observatory for Rare Events

Searching for neutrinoless double beta decay of  $^{130}\text{Te}$

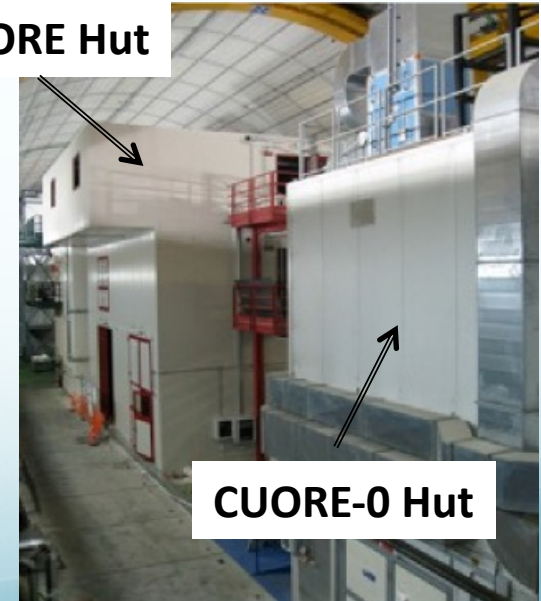
- ◆  $^{130}\text{Te}$  good DBD candidate: high natural abundance (34.2 %), reasonably high Q-value (2528 keV)
- ◆  $\text{TeO}_2$  is a compound with good mechanical and thermal properties containing  $^{130}\text{Te}$
- ◆  $5 \times 5 \times 5 \text{ cm}^3$   $\text{TeO}_2$  crystals have a high detection efficiency for  $0\nu\beta\beta$  events:  $\sim 87.4\%$



In Hall A of LNGS

CUORE Hut

**CUORE setup**  
988  $\text{TeO}_2$   $5 \times 5 \times 5 \text{ cm}^3$  crystals  
(750 g each)  
**Detector Mass:** 741 kg  $\text{TeO}_2$   
 $^{130}\text{Te}$  mass (natural i.a.) :  
206 kg of  $^{130}\text{Te}$   
**Array:** 19 towers



CUORE-0 Hut

**CUORE background goal: 0.01 counts/kg keV y**

# CUORE first phase: CUORE-0

**1 CUORE-like tower** of 13 planes - 4 crystals each

**52  $\text{TeO}_2$**  5x5x5 cm<sup>3</sup> crystals

**Detector Mass:** 39 kg  $\text{TeO}_2$

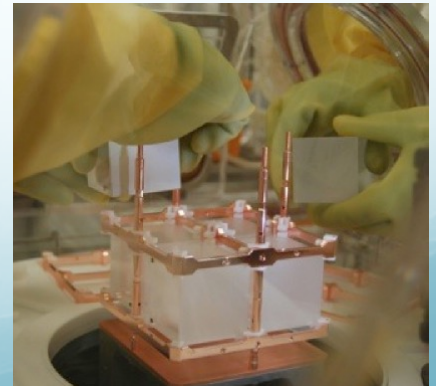
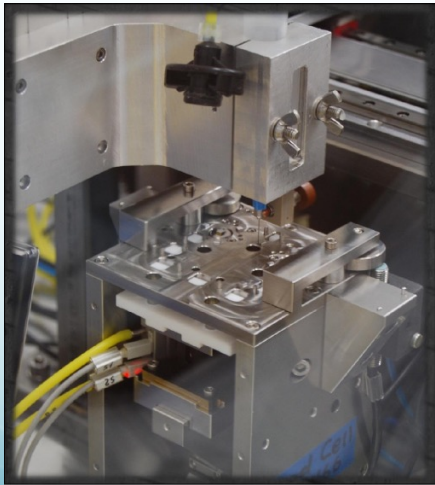
**$^{130}\text{Te}$  mass (natural i.a.):** 11 kg of  $^{130}\text{Te}$

**CUORE-0 assembly** performed in  **$\text{N}_2$  atmosphere** inside the new CUORE clean room following all the stages and using **all the equipment developed for CUORE**



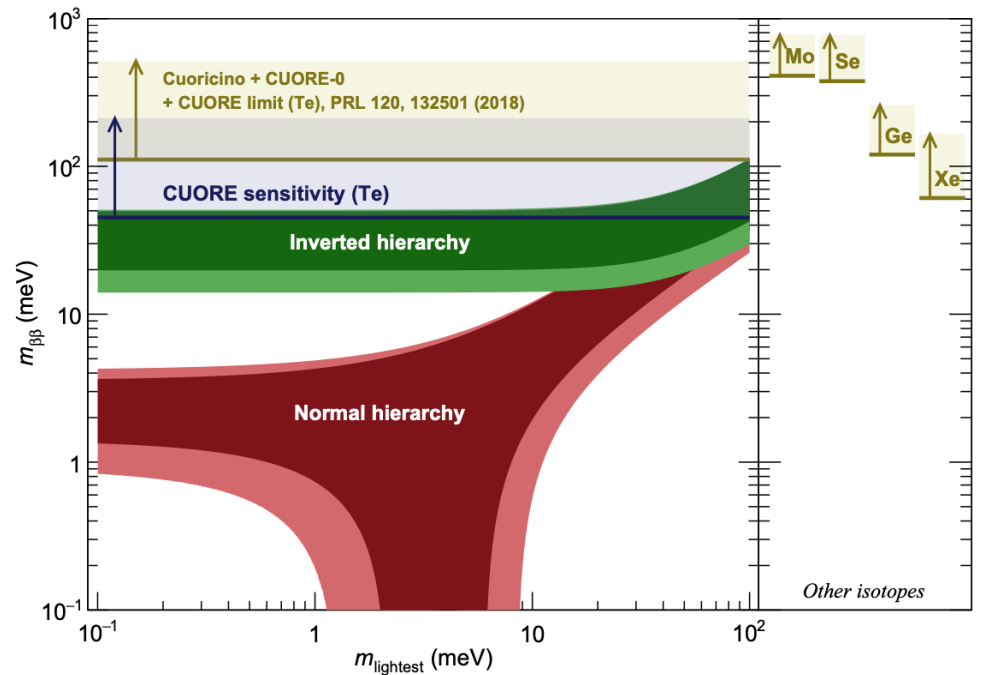
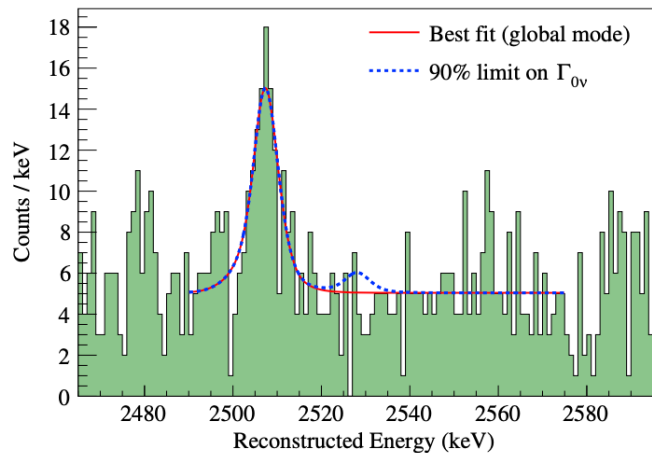
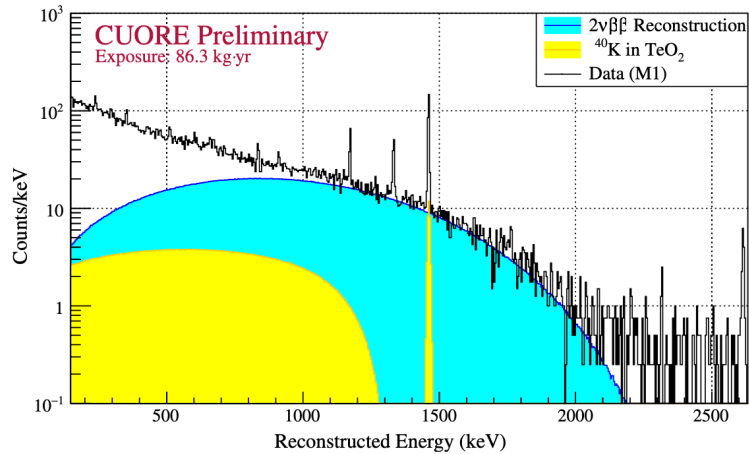
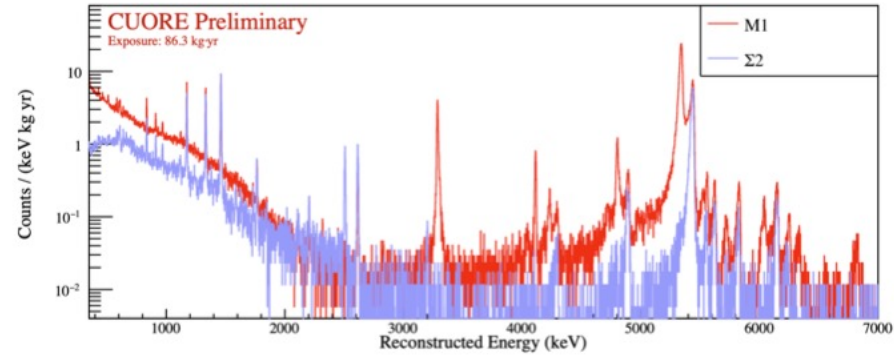
Gluing of thermistor to crystals was performed with the **new CUORE gluing semi-automatic machine**

The assembly of the tower was done with the **CTAL (CUORE Tower Assembly Line)** providing radioactivity control and reproducible protocols



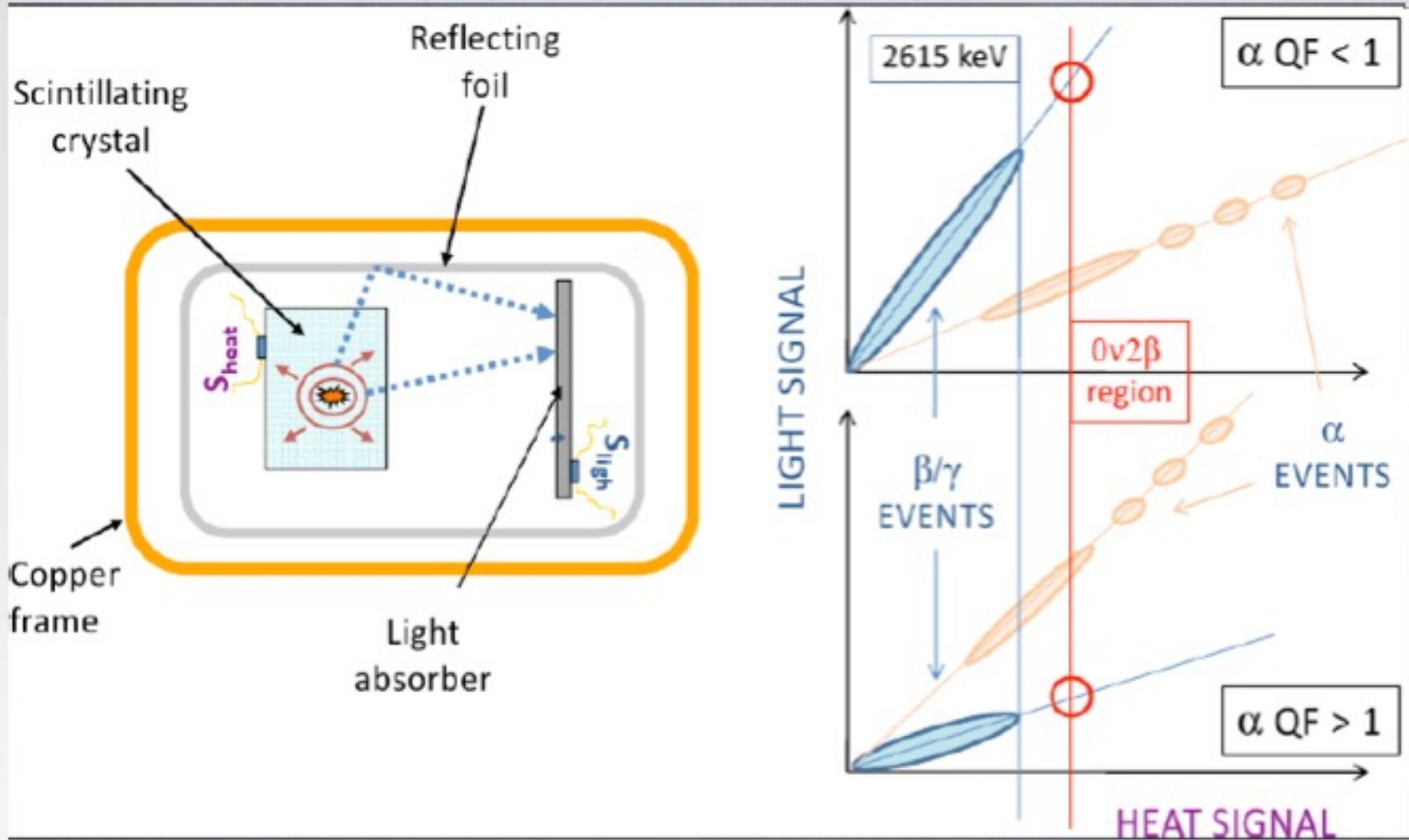
# CUORE results 2020

- $^{130}\text{Te}$   $2\nu\beta\beta$
- M1: multiplicity 1;  $\Sigma 2$ : M1+M2
- $T_{1/2}^{2\nu} = (7.9 \pm 0.1(\text{stat}) \pm 0.2(\text{syst})) \times 10^{20} \text{ yr}$
- $T_{1/2}^{0\nu} > 3.2 \times 10^{25} \text{ yr}$  (90% CL)



# LUCIFER

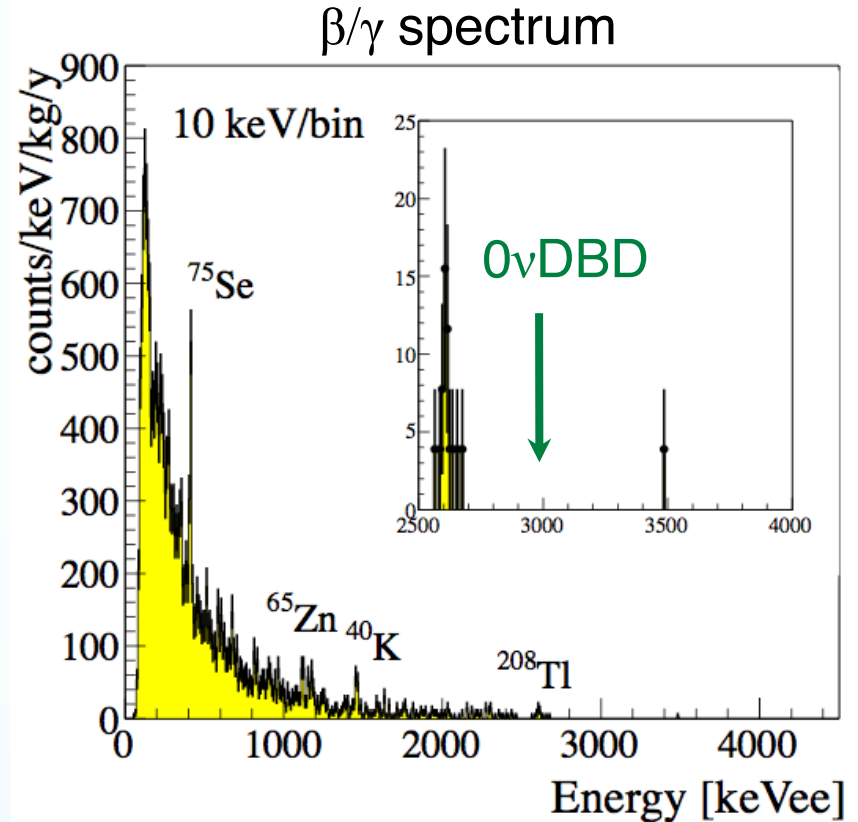
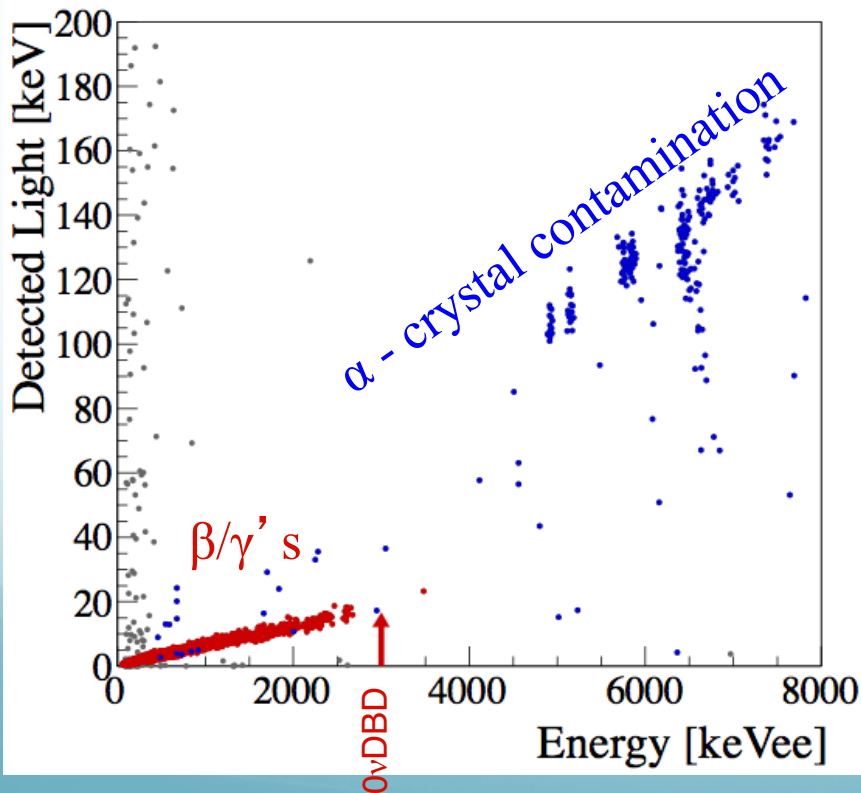
"CUORE" with scintillating crystal to suppress backgrounds  $< 0.001$  cnt/(keV kg y)



best candidate ZnSe, plan to use Cuoricino cryostat

# LUCIFER: some results

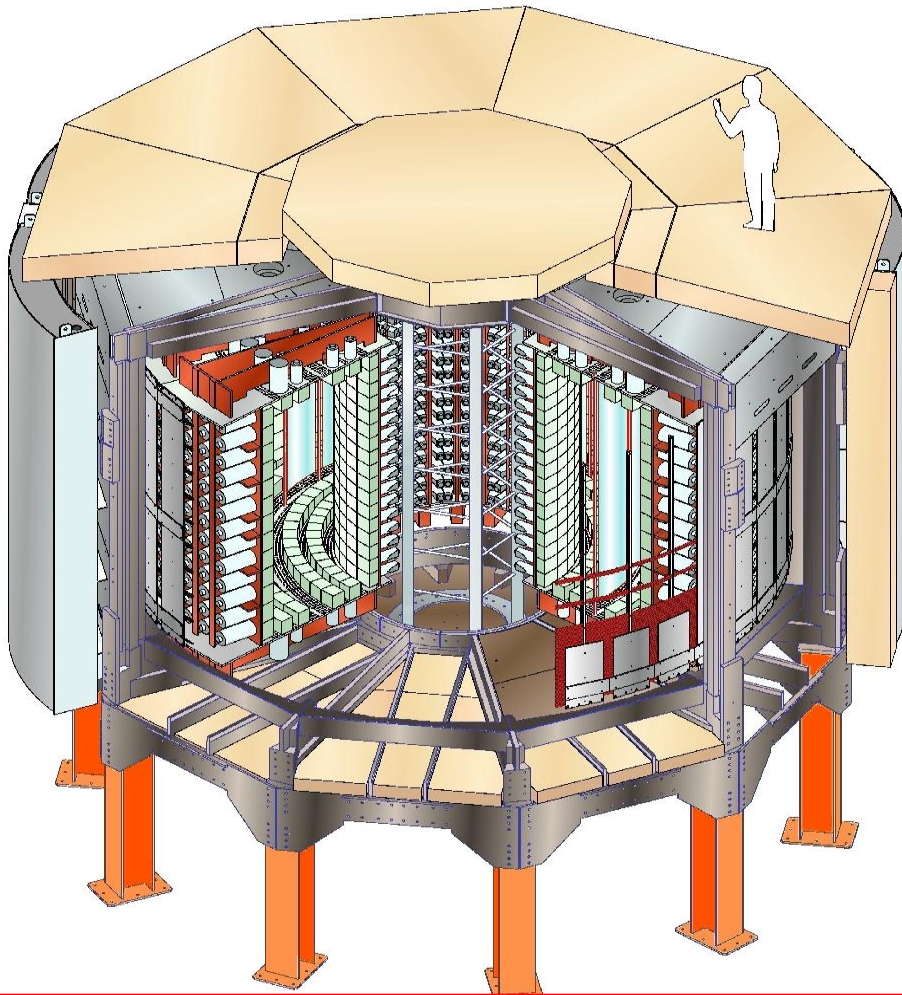
- 431g  $\text{Zn}^{\text{nat}}\text{Se}$  crystal operated for 22 days.
  - ▶  $\Delta E @ 2615 \text{ keV} = 13 \text{ keV FWHM}$
  - ▶  $\alpha$  background entirely identified via light pulse shape.



- ▶ One  $\beta/\gamma$  event above 2615 keV, in coincidence with several hits in nearby detectors ( $\mu$ -spallation).
- ▶ Easily to tag via coincidence analysis in an array, or via a  $\mu$ -veto.

# The NEMO3 detector

Fréjus Underground Laboratory : 4800 m.w.e.



**Source:** 10 kg of  $\beta\beta$  isotopes  
cylindrical,  $S = 20 \text{ m}^2$ ,  $60 \text{ mg/cm}^2$

**Tracking detector:**

drift wire chamber operating  
in Geiger mode (6180 cells)

Gas: He + 4% ethyl alcohol + 1% Ar + 0.1% H<sub>2</sub>O

**Calorimeter:**

1940 plastic scintillators  
coupled to low radioactivity PMTs

**Magnetic field:** 25 Gauss

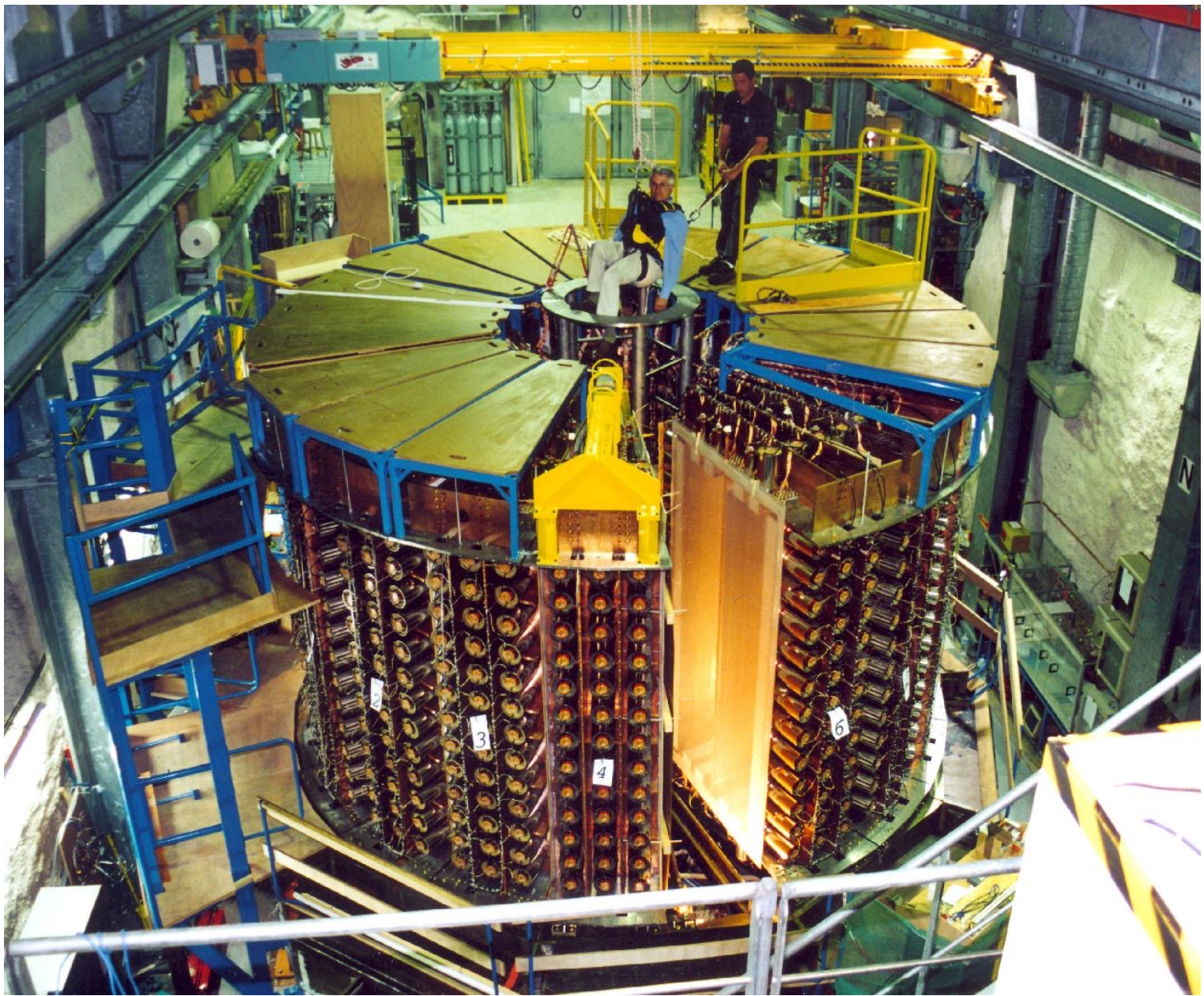
**Gamma shield:** Pure Iron (18 cm)

**Neutron shield:** borated water  
+ Wood

**Background:** natural radioactivity, mainly  $^{214}\text{Bi}$  et  $^{208}\text{Tl}$  ( $\gamma$  2.6 MeV)  
Radon, neutrons (n, $\gamma$ ), muons,  $\beta\beta(2\nu)$



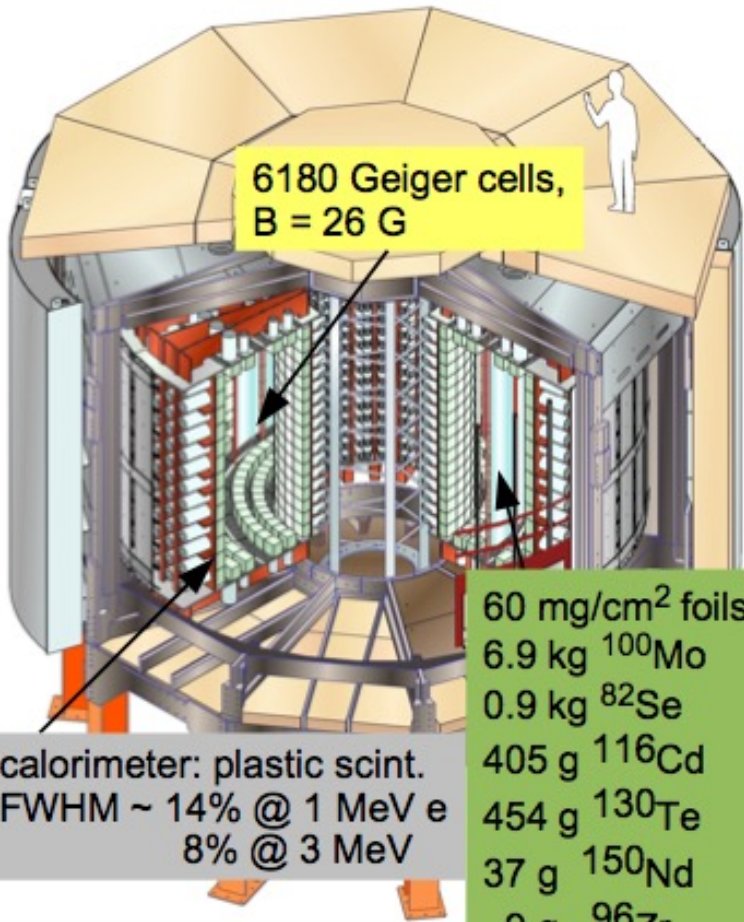
**Able to identify  $e^-$ ,  $e^+$ ,  $\gamma$  and  $\alpha$**



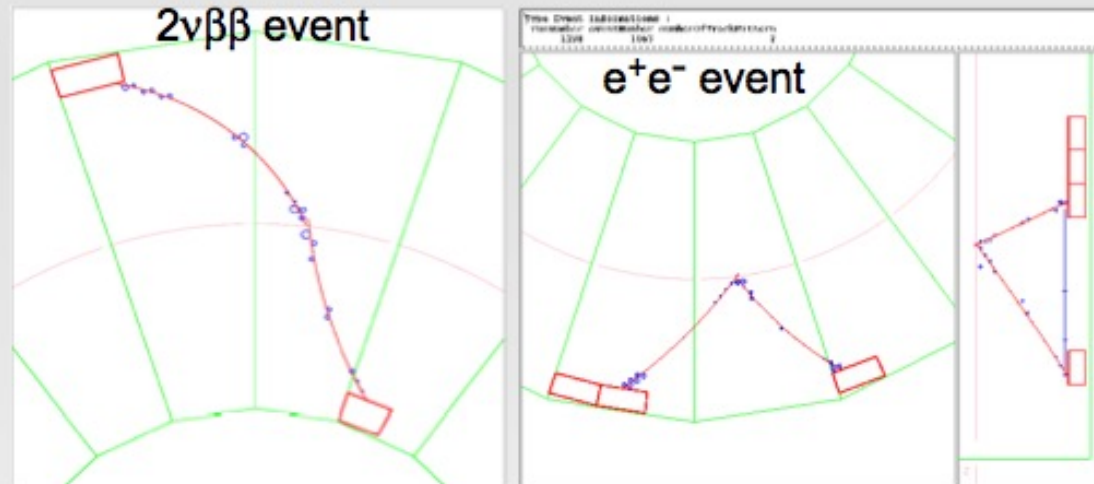
**During installation AUGUST 2001**

# NEMO-3

tracking – calorimeter detector



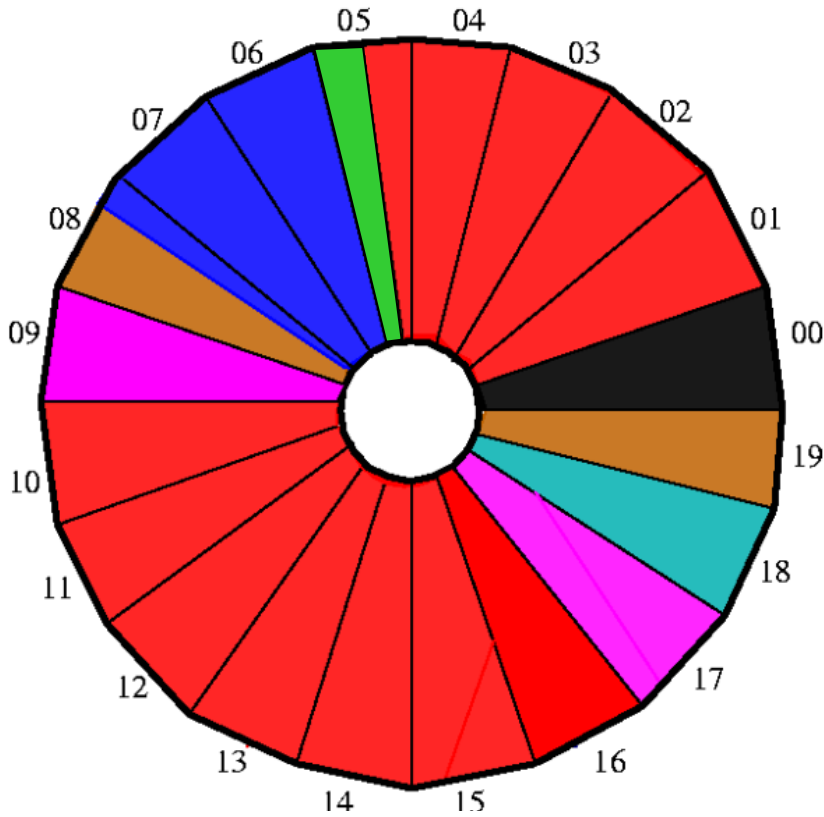
- 60 mg/cm<sup>2</sup> foils:
- 6.9 kg <sup>100</sup>Mo
- 0.9 kg <sup>82</sup>Se
- 405 g <sup>116</sup>Cd
- 454 g <sup>130</sup>Te
- 37 g <sup>150</sup>Nd
- 9 g <sup>96</sup>Zr
- 6 g <sup>48</sup>Ca



full event topology to identify backgrounds  
different target materials can be used,  
many results on 2νββ decays  
**BUT: poor energy resolution & low efficiency**

data taking stopped Jan 2011

# $\beta\beta$ decay isotopes in NEMO-3 detector



**$^{100}\text{Mo}$  6.914 kg**     **$^{82}\text{Se}$  0.932 kg**  
 $Q_{\beta\beta} = 3034 \text{ keV}$                        $Q_{\beta\beta} = 2995 \text{ keV}$

$\beta\beta 0\nu$  search

$\beta\beta 2\nu$  measurement

**$^{116}\text{Cd}$  405 g**  
 $Q_{\beta\beta} = 2805 \text{ keV}$

**$^{96}\text{Zr}$  9.4 g**  
 $Q_{\beta\beta} = 3350 \text{ keV}$

**$^{150}\text{Nd}$  37.0 g**  
 $Q_{\beta\beta} = 3367 \text{ keV}$

**$^{48}\text{Ca}$  7.0 g**  
 $Q_{\beta\beta} = 4272 \text{ keV}$

**$^{130}\text{Te}$  454 g**  
 $Q_{\beta\beta} = 2529 \text{ keV}$

**$^{\text{nat}}\text{Te}$  491 g**

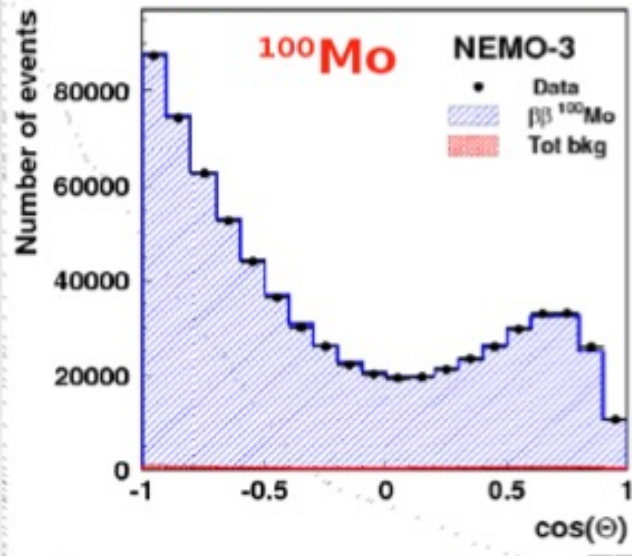
**$\text{Cu}$  621 g**

External bkg measurement

*(All enriched isotopes produced in Russia)*

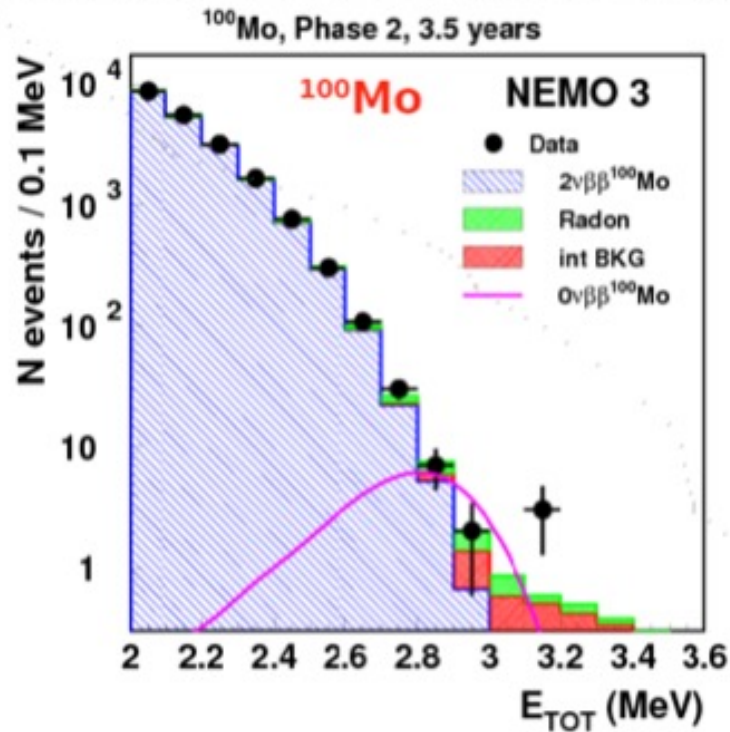
# NEMO-3 results

700k  $2\nu\beta\beta$  events "without" bkg



$$T_{1/2}^{2\nu} = (7.16 \pm 0.54) \cdot 10^{18} \text{ y (prelim.)}$$

$2\nu\beta\beta$  results also for other six isotopes, see Victor Tretyak's talk at MEDEX 2011



[2.8, 3.2] MeV:  
 $\epsilon(0\nu) = 0.055$   
 Tot MC =  $11.0 \pm 0.8$  , Data: 12 events  
 MC  $2\nu\beta\beta$  =  $5.8 \pm 0.4$   
 MC radon =  $2.5 \pm 0.4$   
 MC int bkg =  $2.7 \pm 0.4$  ( $^{214}\text{Bi} = 0.4, ^{208}\text{Tl} = 2.3$ )

for 4.5 years

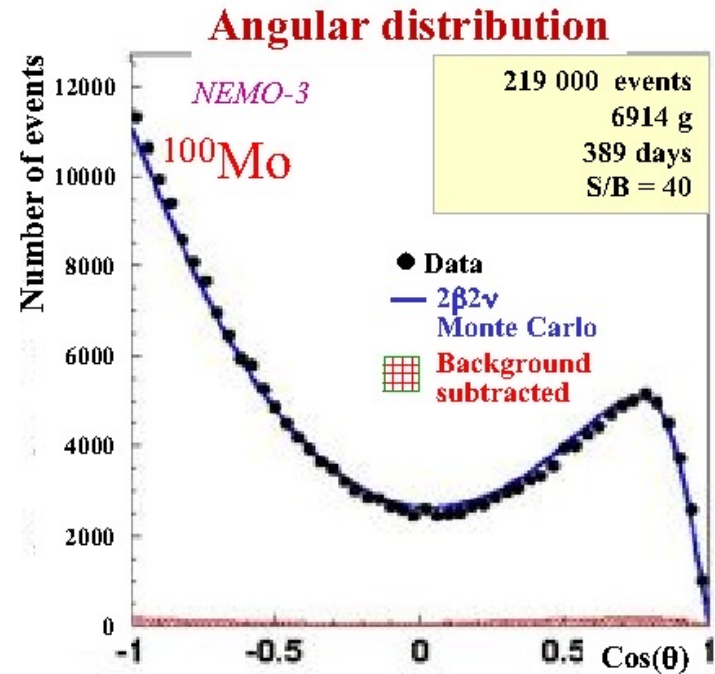
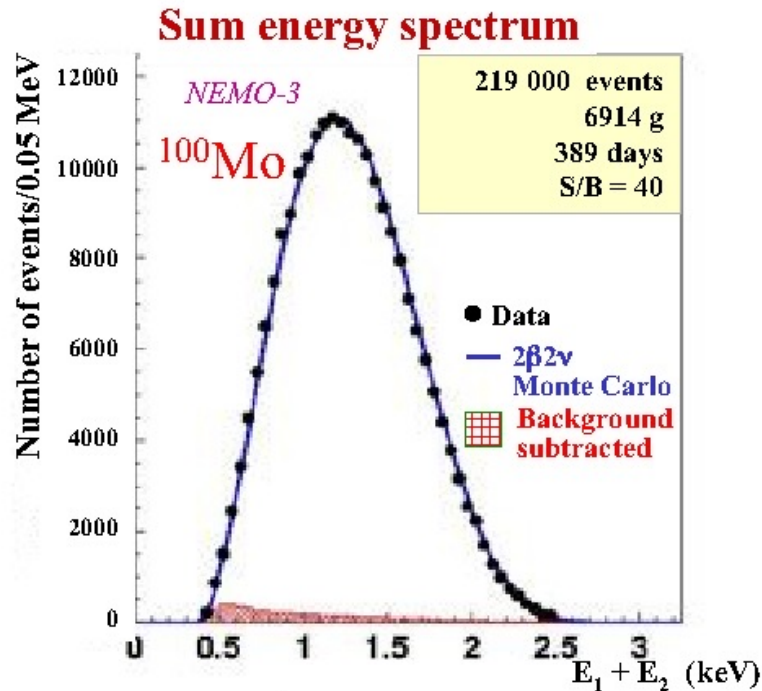
$$T_{1/2}^{0\nu} > 1.0 \cdot 10^{24} \text{ y}$$

at 90% CL

$$\langle m_{ee} \rangle < 0.5\text{-}1 \text{ eV}$$

# $^{100}\text{Mo}$ $\beta\beta(2\nu)$ Results

Phase 1 Feb. 2003 – Dec. 2004 WITH RADON

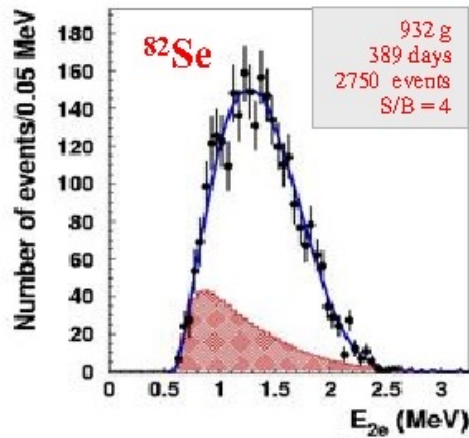


$$T_{1/2}(\beta\beta 2\nu) = 7.11 \pm 0.02 \text{ (stat)} \pm 0.54 \text{ (syst)} \times 10^{18} \text{ years}$$

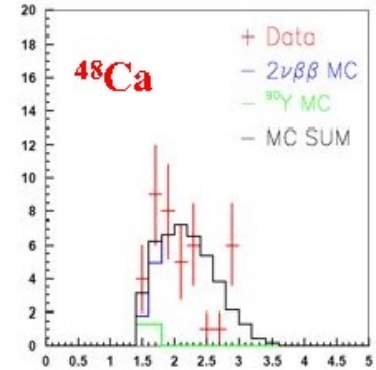
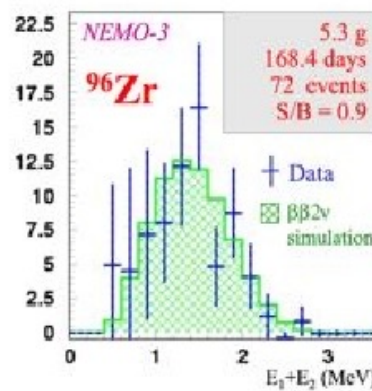
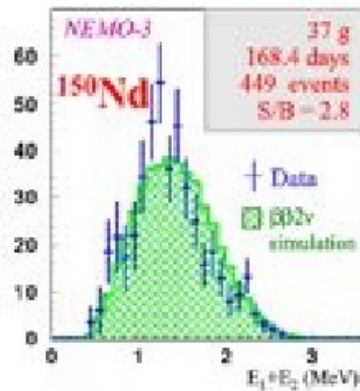
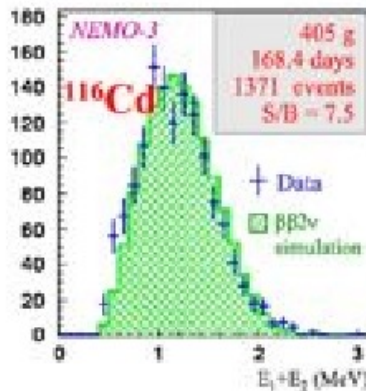
*Phys. Rev. Lett.* 95 182302 (2005)

« $\beta\beta$  factory» → tool for precision test

# Other Nuclei $\beta\beta(2\nu)$ Results



$^{82}\text{Se}$	$T_{1/2} = 10.3 \pm 0.2$ (stat) $\pm 1.0$ (syst) $\times 10^{19}$ y
$^{116}\text{Cd}$	$T_{1/2} = 2.8 \pm 0.1$ (stat) $\pm 0.3$ (syst) $\times 10^{19}$ y
$^{150}\text{Nd}$	$T_{1/2} = 9.7 \pm 0.7$ (stat) $\pm 1.0$ (syst) $\times 10^{18}$ y
$^{96}\text{Zr}$	$T_{1/2} = 2.0 \pm 0.3$ (stat) $\pm 0.2$ (syst) $\times 10^{19}$ y
$^{48}\text{Ca}$	$T_{1/2} = 3.9 \pm 0.7$ (stat) $\pm 0.6$ (syst) $\times 10^{19}$ y

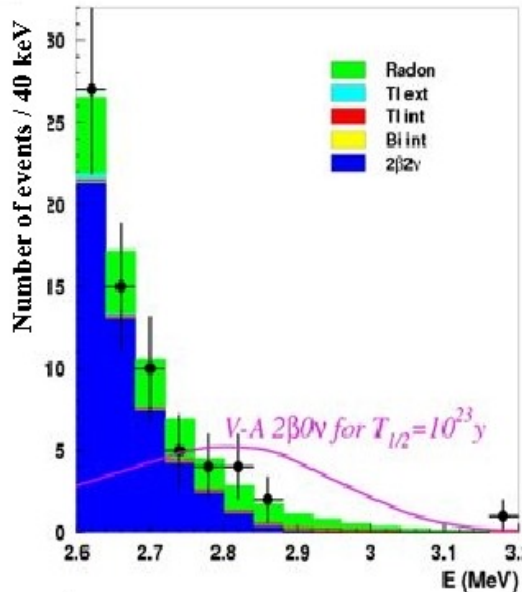


Background subtracted

# $\beta\beta 0\nu$ results with $^{100}\text{Mo}$

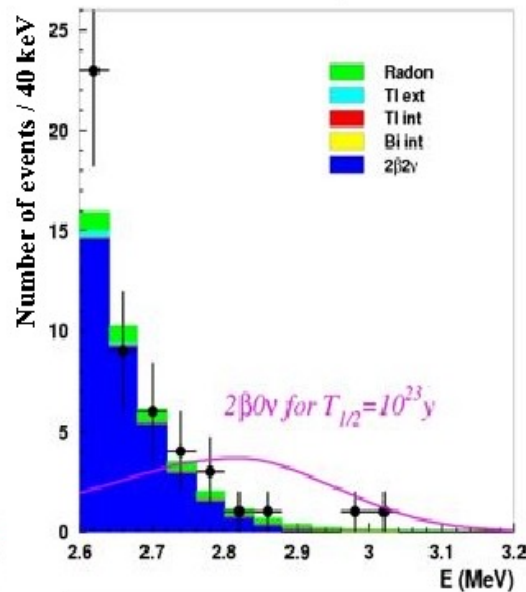
$^{100}\text{Mo}$ , 7 kg

Phase I, High radon  
394 days



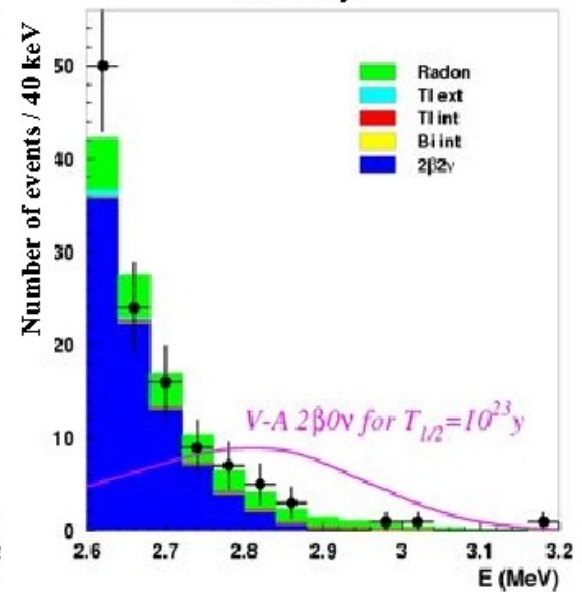
[2.8-3.2] MeV:  $\epsilon(\beta\beta 0\nu) = 8\%$   
Expected bkg = 8.1 events  
 $N_{\text{observed}} = 7$  events

Phase II, Low radon  
299 days



[2.8-3.2] MeV:  $\epsilon(\beta\beta 0\nu) = 8\%$   
Expected bkg = 3.0 events  
 $N_{\text{observed}} = 4$  events

Phase I + II  
693 days



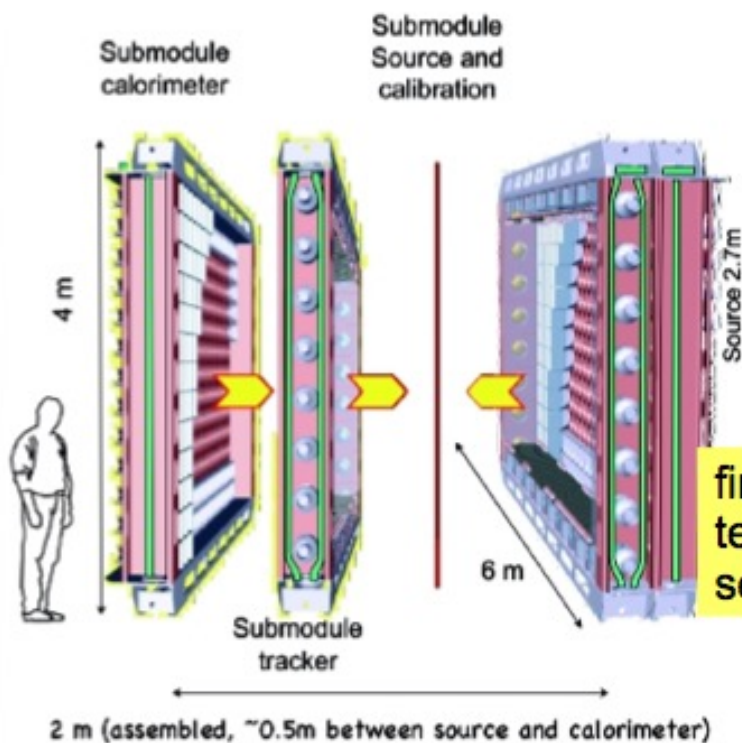
Phases I + II

$T_{1/2}(\beta\beta 0\nu) > 5.8 \cdot 10^{23}$  (90 % C.L.)

$T_{1/2}(\beta\beta 0\nu) > 1 \times 10^{24}$  yr (90% C.L.)

# SuperNEMO project

tracking + calorimeter, 20 modules

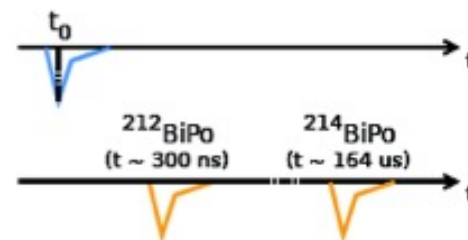
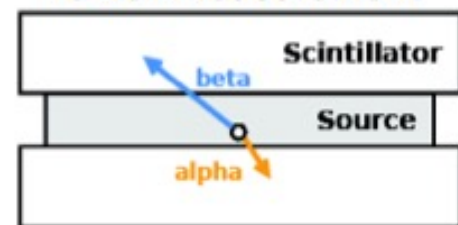


target material:  $^{82}\text{Se}$  40 mg/cm<sup>2</sup>

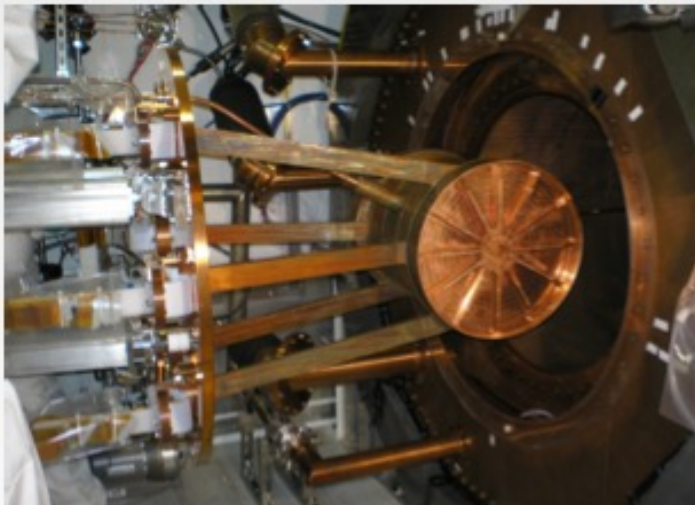
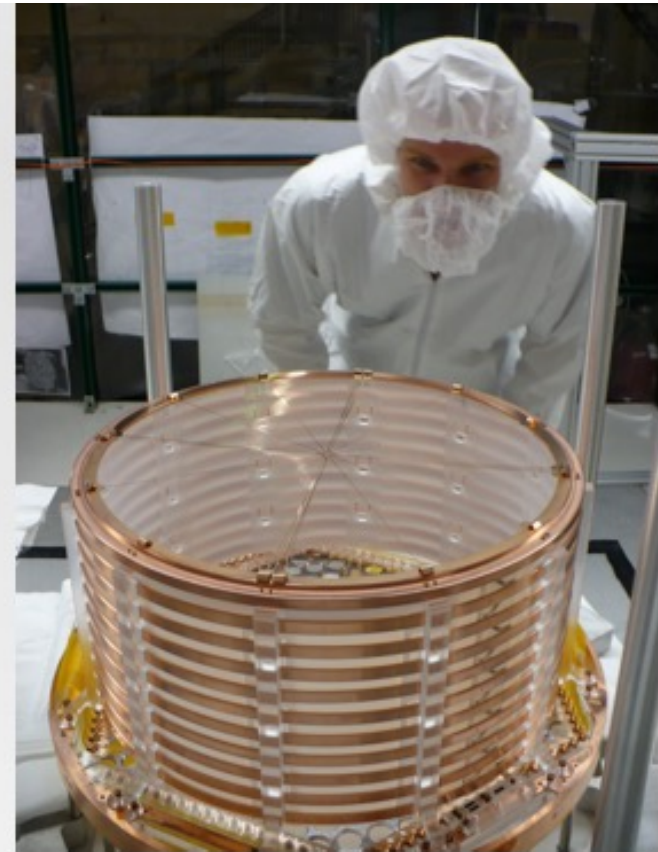
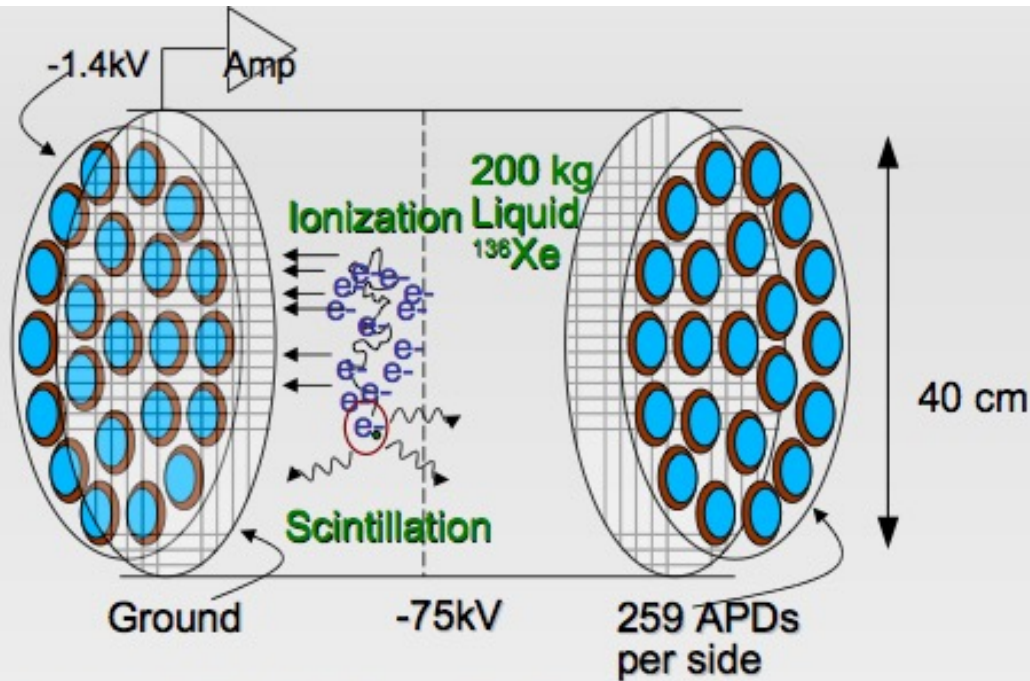
first module end 2013  
tests background, ...  
sensitivity ~ GERDA I

	NEMO3	SuperNEMO	
mass	8 kg	100-200 kg	
resolution	8%	4%	
efficiency	8%	30%	
foil bkg	<20	<2	$\mu\text{Bq/kg}$ ( $^{208}\text{Tl}$ )
	<300	<10	$\mu\text{Bq/kg}$ ( $^{214}\text{Bi}$ )
sensitivity	$1.4 \times 10^{24}$	$1 \times 10^{26}$	$T_{1/2}$ 90% CL

BiPo detector (3 m<sup>2</sup>) in 2012  
for foil measurement



# EXO 200 (2 $\beta$ decay of $^{136}\text{Xe}$ )

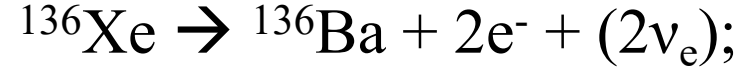


engineering run Dec 2010, 140 kg  $^{136}\text{Xe}$  filled in spring, cathode at -8 kV,  $\sigma = 4.5\%$  at 2.6 MeV using ionization,

design:  $\sigma = 1.6\%$  using ionization+scintillation

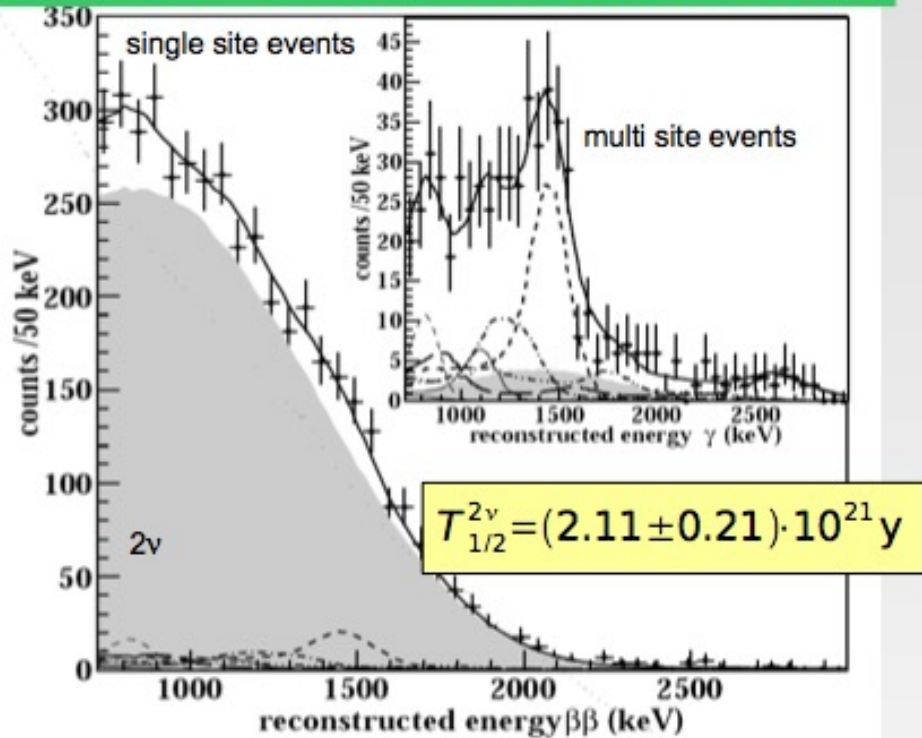
$0\nu\beta\beta$   $T_{1/2}$  sensitivity  $6.4 \times 10^{25}$  y (90% CL), testing Hd-Ms

# EXO 200 first results

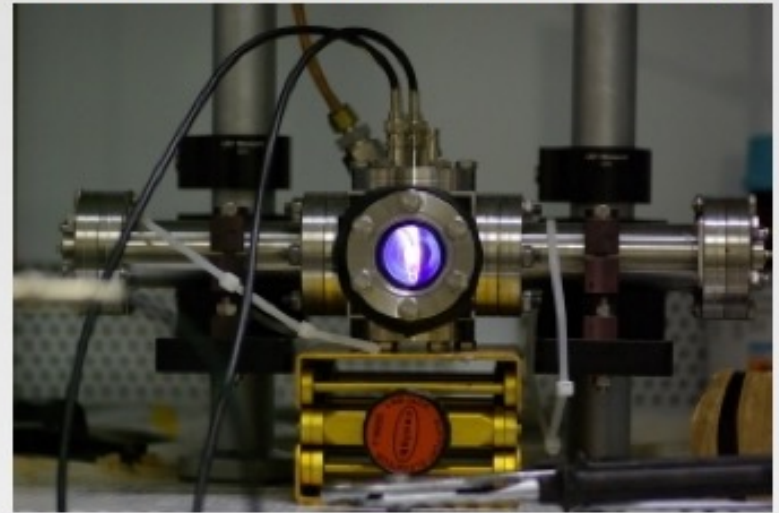


$$Q = 2479 \text{ keV}$$

first  $T^{2\nu}$  for  $^{136}\text{Xe}$  EXO200: arXiv:1108.4193



for future 1t experiment: plan to use Ba tagging

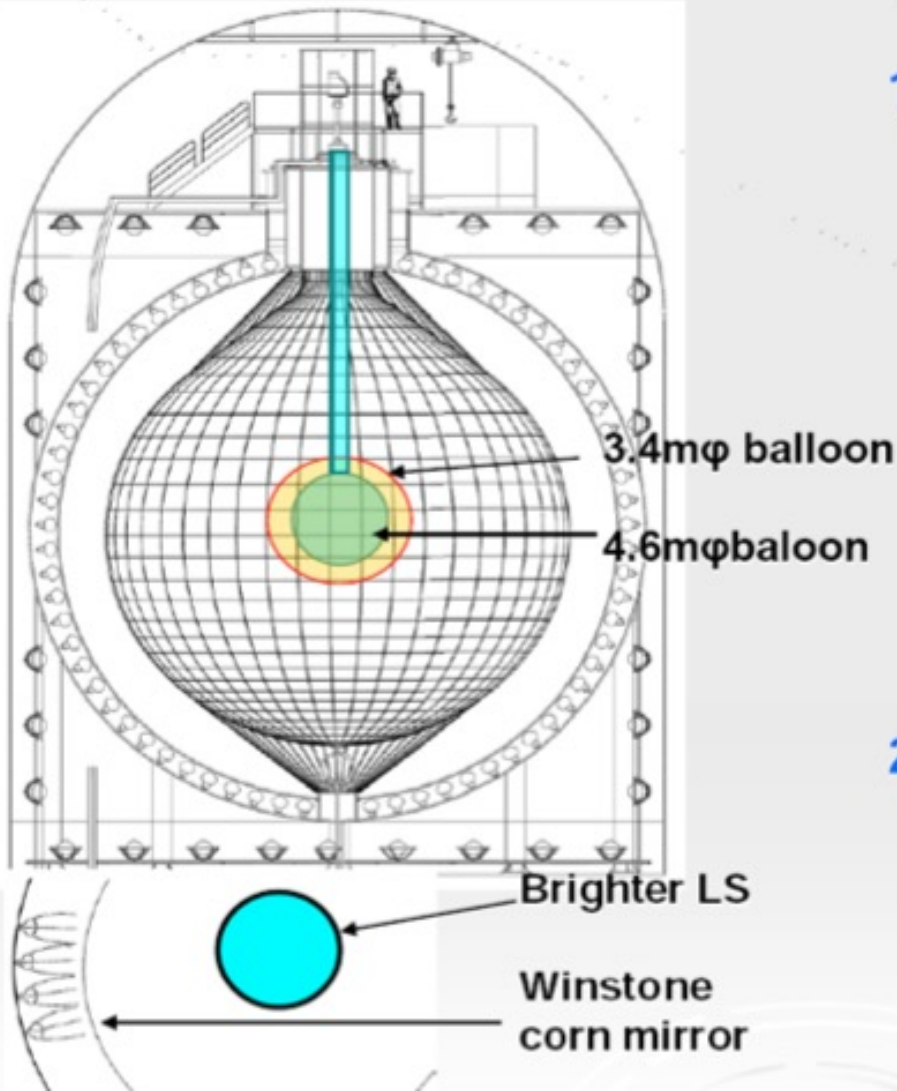


only  $2\nu\beta\beta$  background would remain

also: R&D on gas TPC continuing

# KAMLAND-Zen

## KamLAND-Zen project



### 1st phase enriched Xe 400kg

R=1.7m balloon

V=20.5m<sup>3</sup>, S=36.3m<sup>2</sup>

LS : C<sub>10</sub>H<sub>22</sub>(81.8%)+PC(18%)  
+PPO+Xe(~2.5wt%)

ρ<sub>LS</sub> : 0.78kg/ℓ

high sensitivity with low cost



tank opening (2013 or 2015)

### 2nd phase enriched Xe 1000kg

R=2.3m balloon

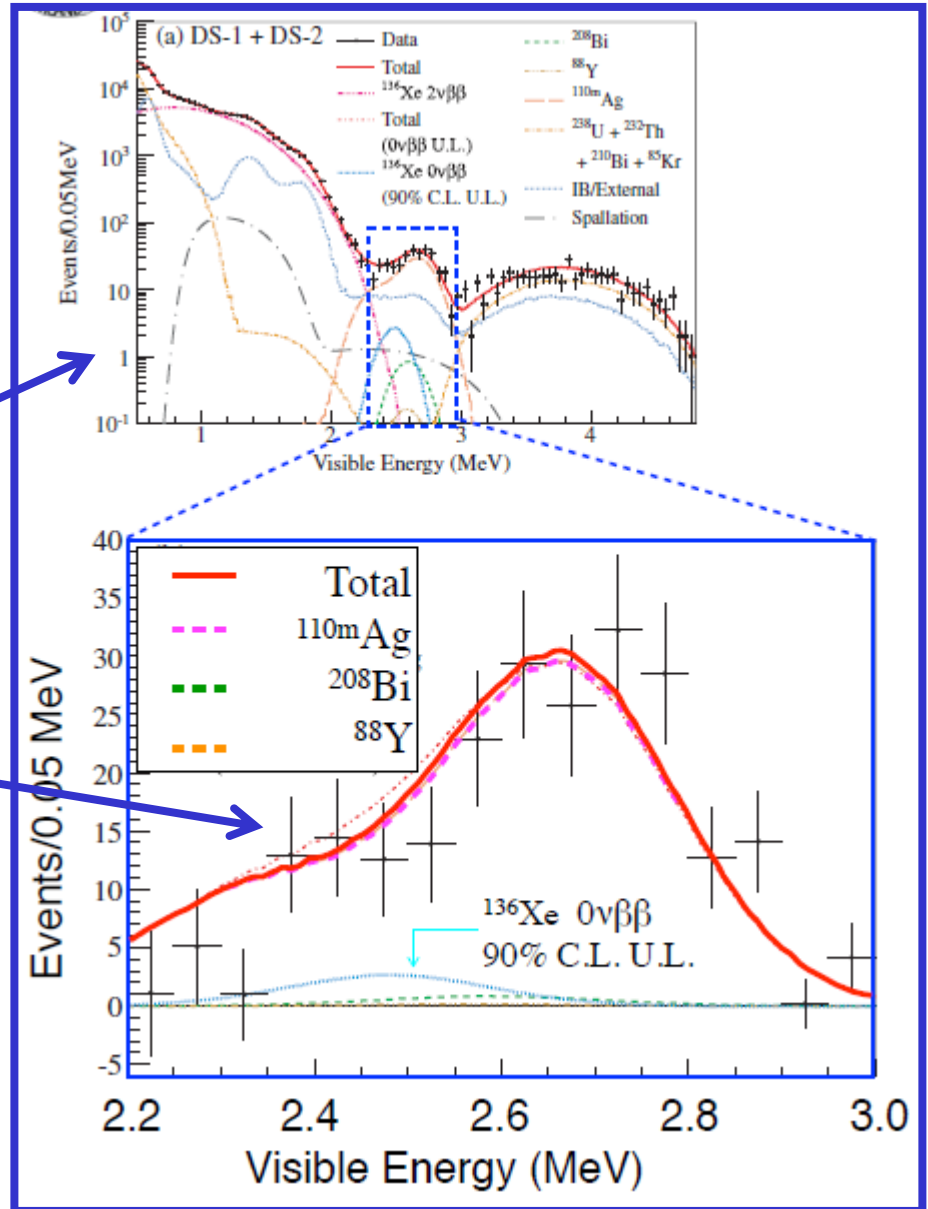
V=51.3m<sup>3</sup>, S=66.7m<sup>2</sup>

improvement of energy resolution  
(brighter LS, higher light concentrator)

# KAMLAND-Zen

13 tons of liquid scintillator loaded with 300 kg of  $^{136}\text{Xe}$  contained in a nylon balloon immersed in 1 kton scintillator of the KamLAND set-up

Full spectrum



Zoom in the region of DBD

# SNO+



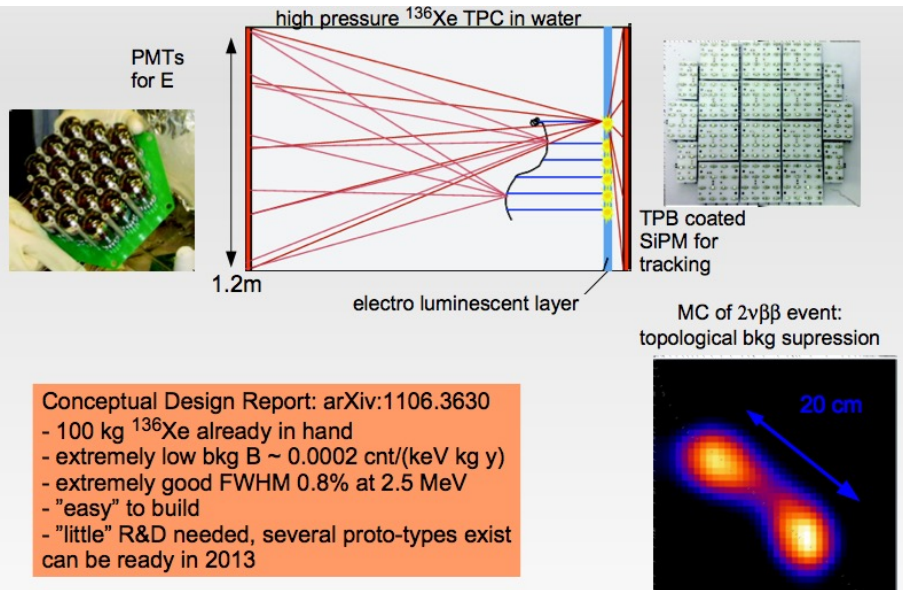
0.1% of  $^{nat}\text{Nd}$   
--> 44 kg  $^{150}\text{Nd}$

6.8% FWHM  
@ 3MeV

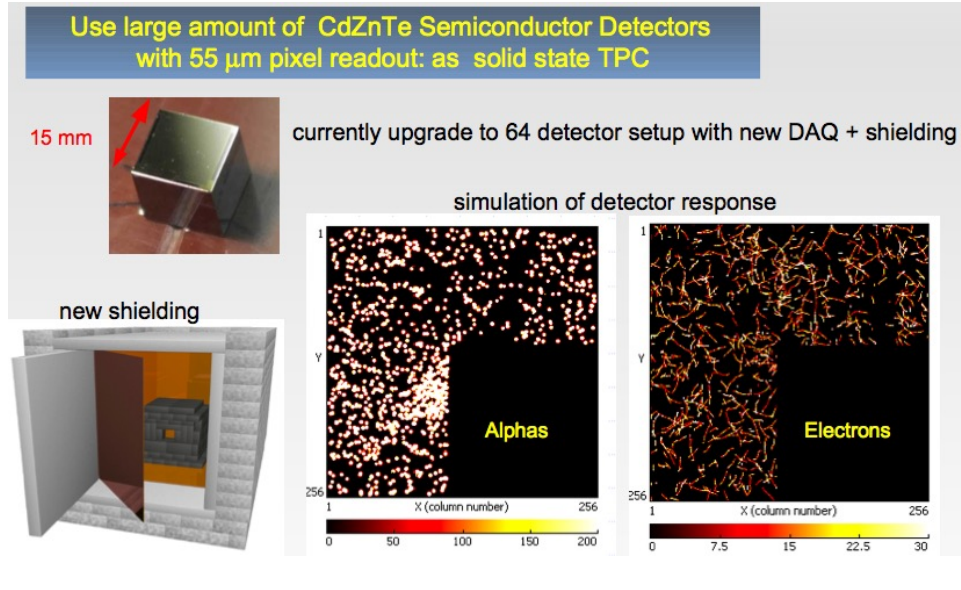
3y sensitivity  
 $5.5 \times 10^{24}$  y (90%CL)

start filling 2013,  
Nd later

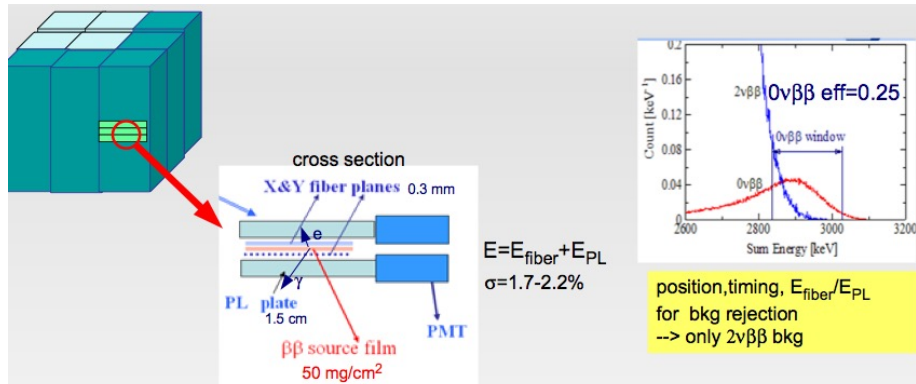
# NEXT



# COBRA



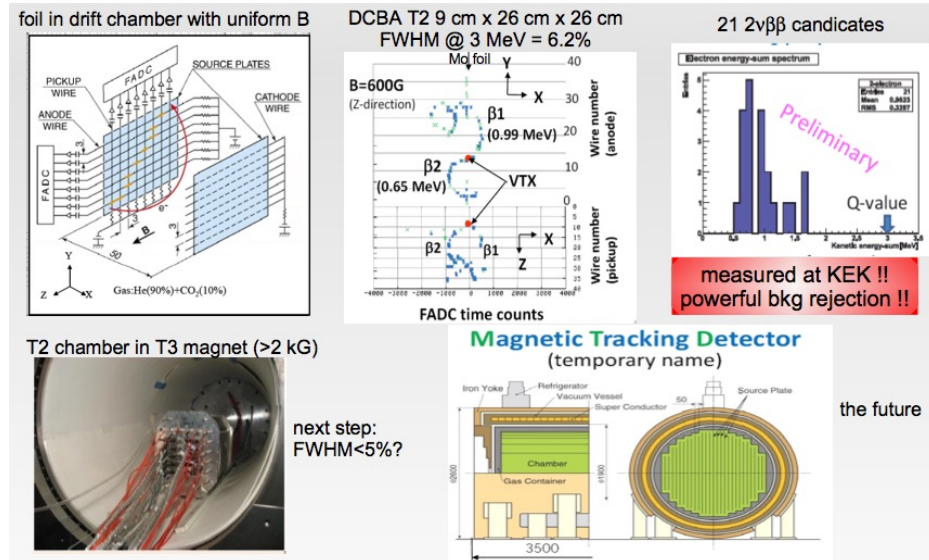
# MOON



MOON-1 R&D: 6 layers of PL + 5 Mo films (94.5%  $^{100}\text{Mo}$ ) of 40 mg/cm<sup>2</sup>, 56 PMTs inside active+passive shield of ELEGANT V,  $\sigma = 2.9\%$  at 3 MeV

Phase I,II,III with 30, 120, 480 kg foreseen with 1.5, 4.1, 20 x 10<sup>25</sup> y (90% CL) sensitivity for  $^{100}\text{Mo}$  or 3.2, 11.2, 59 x 10<sup>25</sup> y (90% CL) sensitivity for  $^{82}\text{Se}$

# DCBA



T2 chamber in T3 magnet (>2 kG)

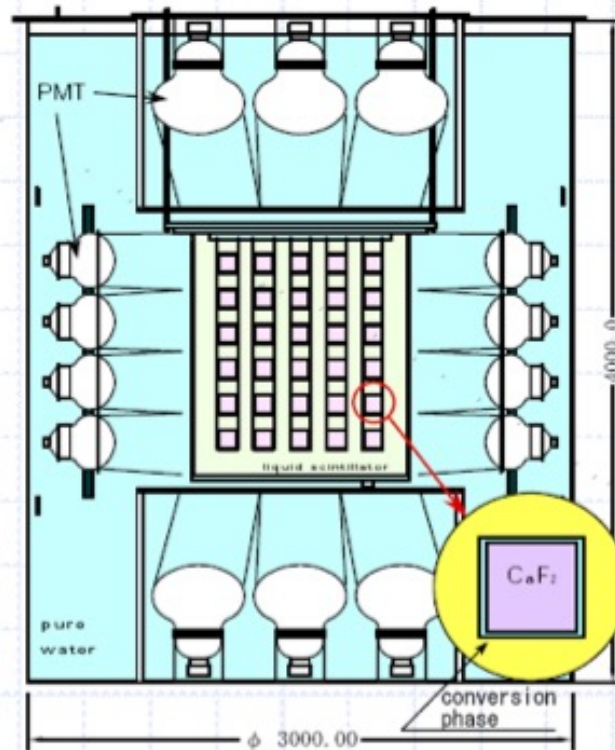
next step: FWHM<5%?



Candles

# CANDLES III(U.G.)

- ◆  $\text{CaF}_2$ (pure)
  - $10^3 \text{ cm}^3 \times 96$  crystals; 305 kg ( $^{48}\text{Ca}$ ;350 g)
- ◆ Liquid scintillator
  - two phase system
  - Purification system
- ◆  $\text{H}_2\text{O}$  Buffer
  - passive shield
- ◆ PMTs
  - 17" PMT ( $\times 14$ ) : R7250
  - 13" PMT ( $\times 48$ ) : R8055



- ◆ Run will start at autumn 2011

R&D enrichment of  $^{48}\text{Ca}$  with Crown Ether chromatography

# DAMA – Search for $\beta\beta$ decays



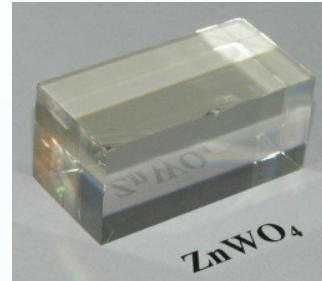
Many  $\beta\beta$  decay modes of several isotopes have been investigated by DAMA and DAMA+INR-Kiev

## □ Active source technique

Scintillator	Isotopes
CaF <sub>2</sub> (Eu)	Ca-40, Ca-46, Ca-48
CeF <sub>3</sub>	Ce-136, Ce-138, Ce-142
BaF <sub>2</sub>	Ba-130
ZnWO <sub>4</sub>	Zn-64, Zn-70, W-180, W-186
CeCl <sub>3</sub>	Ce-136, Ce-138, Ce-142
CdWO <sub>4</sub>	Cd-108, Cd-114
<sup>106</sup> CdWO <sub>4</sub>	Cd-106
<sup>116</sup> CdWO <sub>4</sub>	Cd-116
Liq. Xe	Xe-134, Xe-136

## Many $2\varepsilon$ , $\varepsilon\beta^+$ and $2\beta^+$ decays investigated

- observation (complementary to  $2\beta^-$ ) of  $0\nu$  mode could help to distinguish among the mechanisms of  $0\nu 2\beta$  decay (non-zero neutrino mass or right-handed admixtures in weak interactions)
- Possibility to study resonant enhanced  $2\varepsilon$  decay



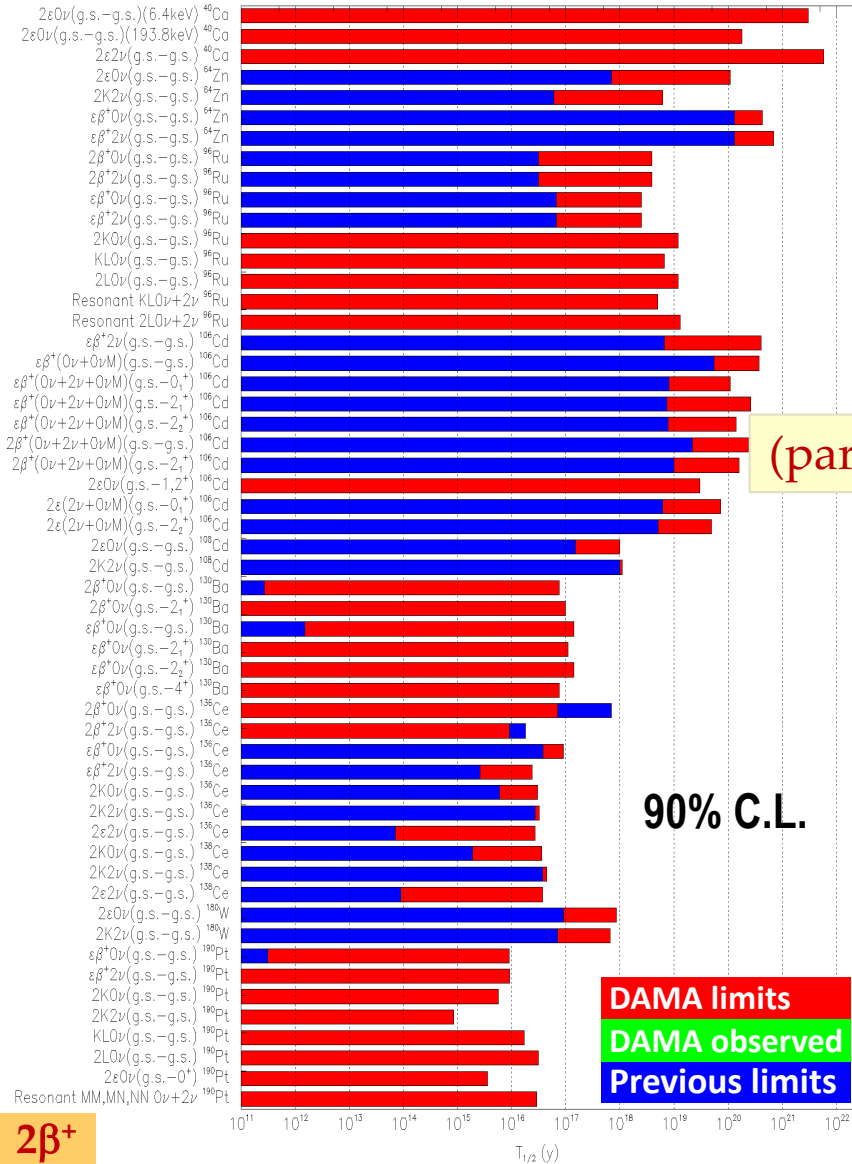
## □ Passive source technique

Sample	Isotopes
Ru	Ru-96; Ru-104
Os	Os-184; Os-192
Pt	Pt-190, Pt-198
Dy <sub>2</sub> O <sub>3</sub>	Dy-156, Dy-158
<sup>100</sup> MoO <sub>3</sub>	Mo-100
Cd	Cd-106



# Search for $\beta\beta$ decay modes in various isotopes at DAMA and STELLA set-ups

DAMA and DAMA/Kiev



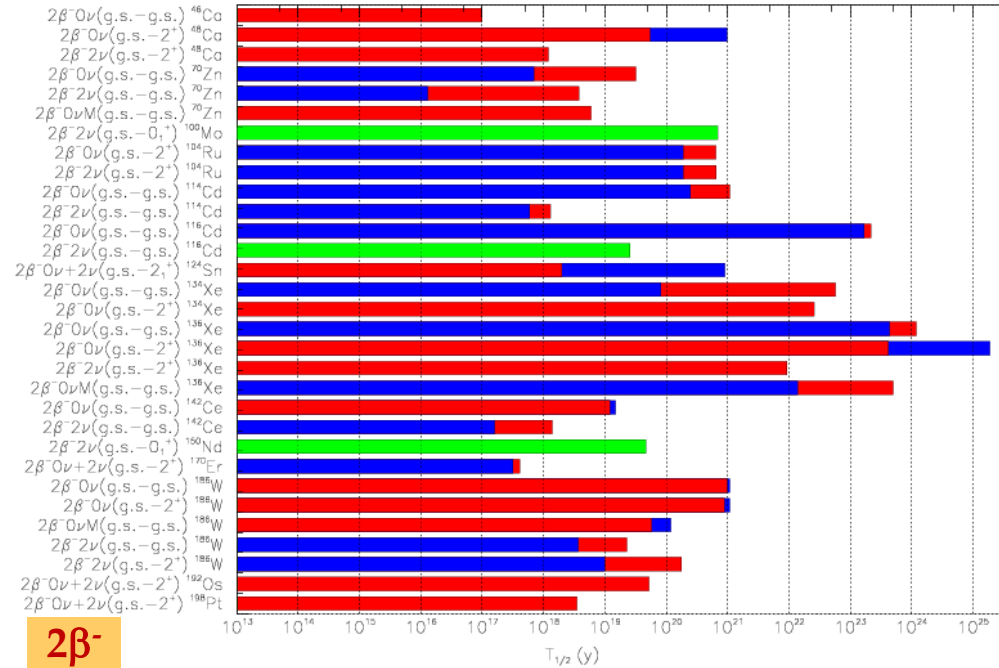
set-ups

New observations:

ARMONIA:  $2\nu 2\beta^-$  decay  $^{100}\text{Mo} \rightarrow ^{100}\text{Ru}(0_1^+)$  NPA846(2010)143

AURORA:  $2\nu 2\beta^-$  decay  $^{116}\text{Cd} \rightarrow ^{116}\text{Sn}$  PRD98(2018)092007

Nd<sub>2</sub>O<sub>3</sub>-HPGe:  $2\nu 2\beta^-$  decay  $^{150}\text{Nd} \rightarrow ^{150}\text{Sm}(0_1^+)$  NPAE19(2018)95



- Many competitive limits obtained on lifetime of  $2\beta^+$ ,  $\epsilon\beta^+$  and  $2\epsilon$  processes ( $^{40}\text{Ca}$ ,  $^{64}\text{Zn}$ ,  $^{96}\text{Ru}$ ,  $^{106}\text{Cd}$ ,  $^{108}\text{Cd}$ ,  $^{130}\text{Ba}$ ,  $^{136}\text{Ce}$ ,  $^{138}\text{Ce}$ ,  $^{180}\text{W}$ ,  $^{190}\text{Pt}$ ,  $^{184}\text{Os}$ ,  $^{156}\text{Dy}$ ,  $^{158}\text{Dy}$ , ...).
- First searches for resonant  $0\nu 2\epsilon$  decays in some isotopes

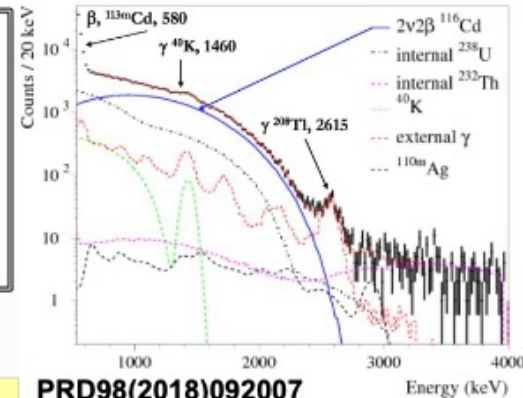
# DAMA results in the search for double $\beta$ decay

Profiting of the low background features of its set-ups, DAMA has achieved competitive results in the investigation of many rare processes and double beta decays

First or improved results for  $2\beta$  decays of  $\sim 30$  candidate isotopes:  $^{40,46,48}\text{Ca}$ ,  $^{64,70}\text{Zn}$ ,  $^{100}\text{Mo}$ ,  $^{96,104}\text{Ru}$ ,  $^{106,108,114,116}\text{Cd}$ ,  $^{112,124}\text{Sn}$ ,  $^{134,136}\text{Xe}$ ,  $^{130}\text{Ba}$ ,  $^{136,138,142}\text{Ce}$ ,  $^{156,158}\text{Dy}$ ,  $^{180,186}\text{W}$ ,  $^{184,192}\text{Os}$ ,  $^{190,198}\text{Pt}$

The **best experimental sensitivities** in the field for  $2\beta$  plus decays:

- $\Rightarrow$   $0\nu\epsilon\beta^+$  or  $0\nu2\beta^+$  may help to refine the mechanism of the  $0\nu2\beta^-$  decay (Majorana  $\nu$  mass vs right-handed admixtures in the weak interaction)
- $\Rightarrow$  Possible resonant enhancement of the capture rate for  $0\nu2\epsilon$ , due to a mass degeneracy between the initial and final nucleus



## Recent DAMA results

### AURORA experiment

Two  $^{116}\text{CdWO}_4$  crystal scintillators enriched at 82%, mass=1.16 kg,  $T \sim 4$ yr

- ✓  $T_{1/2}(2\nu2\beta) = 2.63^{+0.11}_{-0.12} \times 10^{19}$  yr (the most accurate value)
- ✓  $T_{1/2}(0\nu2\beta) \geq 2.2 \times 10^{23}$  yr (the strongest limit)  $\Rightarrow \langle m_\nu \rangle < (1.0 - 1.7)$  eV

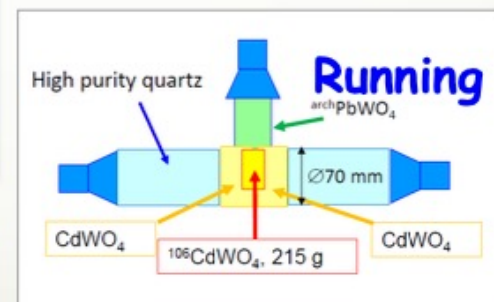
$^{106}\text{CdWO}_4$  crystal scintillator ( $^{106}\text{Cd}$  @ 66%) in coincidence with two  $\text{CdWO}_4$   
Sensitivity to  $2\nu\epsilon\beta^+$ :  $T_{1/2} > 3 \times 10^{21}$  yr (theoretical:  $10^{20} - 10^{22}$  yr)

Highly purified  $\text{Nd}_2\text{O}_3$  source (2.38 kg) in **GeMulti** (4 HPGe) set-up

Study of double coincidences from  $2\beta2\nu$  decay  $^{150}\text{Nd} \rightarrow ^{150}\text{Sm}(0_1^+, 740.5 \text{ keV})$

$\Rightarrow T_{1/2} = [4.8^{+4.1}_{-1.9}(\text{stat}) \pm 0.5(\text{syst})] \times 10^{19}$  yr in agreement with previous results

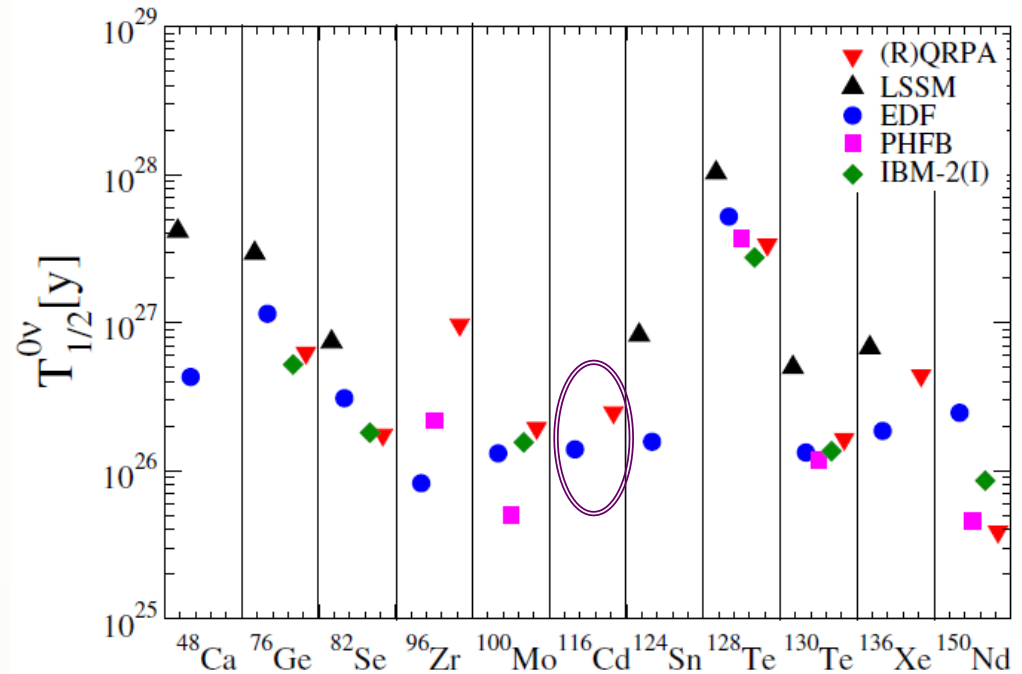
Preliminary results in *Nucl.Phys.At.Energy* 19(2018)95



## $2\beta$ physics with enriched $^{116}\text{CdWO}_4$ crystal scintillators

$^{116}\text{Cd}$  – one of the best candidates to search for  $2\beta 0\nu$  decay:

- $Q_{2\beta} = 2813.5(13)$  keV
- $\delta = 7.5\%$
- promising theoretical calculation
- isotopic enrichment in large amount by cheap centrifugation method



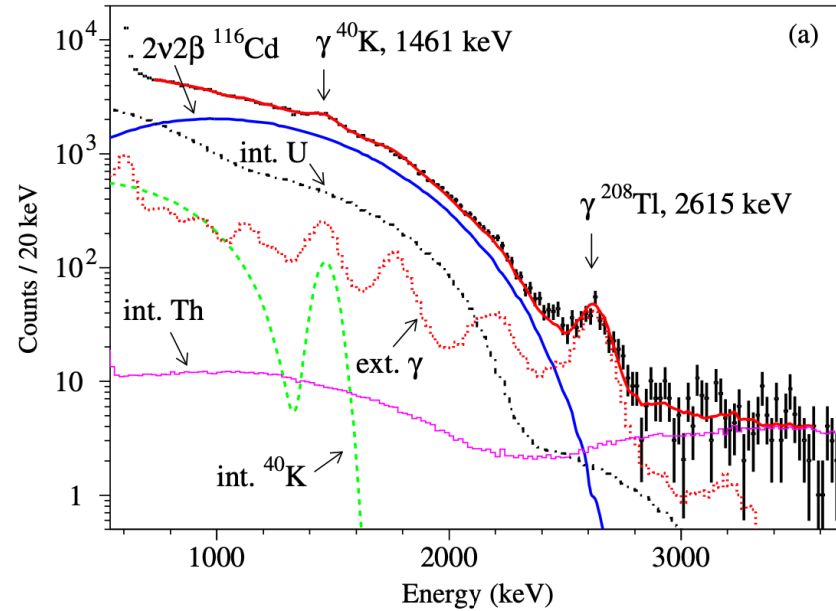
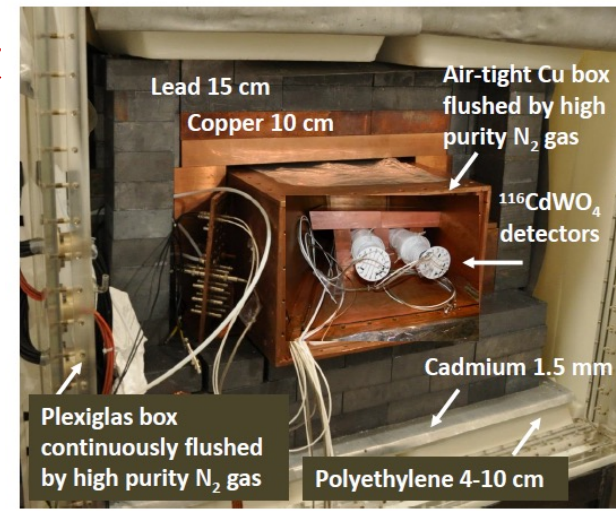
J.D. Vergados, H. Ejiri, F. Simkovic,  
RPP 75 (2012) 106301  
–  $m_\nu = 50$  meV

**The most sensitive  $0\nu 2\beta$  experiments (90% C.L.):**

- Solotvina, F.A. Danevich et al., PRC 68 (2003) 035501 –  $T_{1/2} > 1.7 \cdot 10^{23}$  yr
- NEMO-3, R.B. Pahlka et al., Phys. Proc. 37 (2012) 1241 –  $T_{1/2} > 1.3 \cdot 10^{23}$  yr

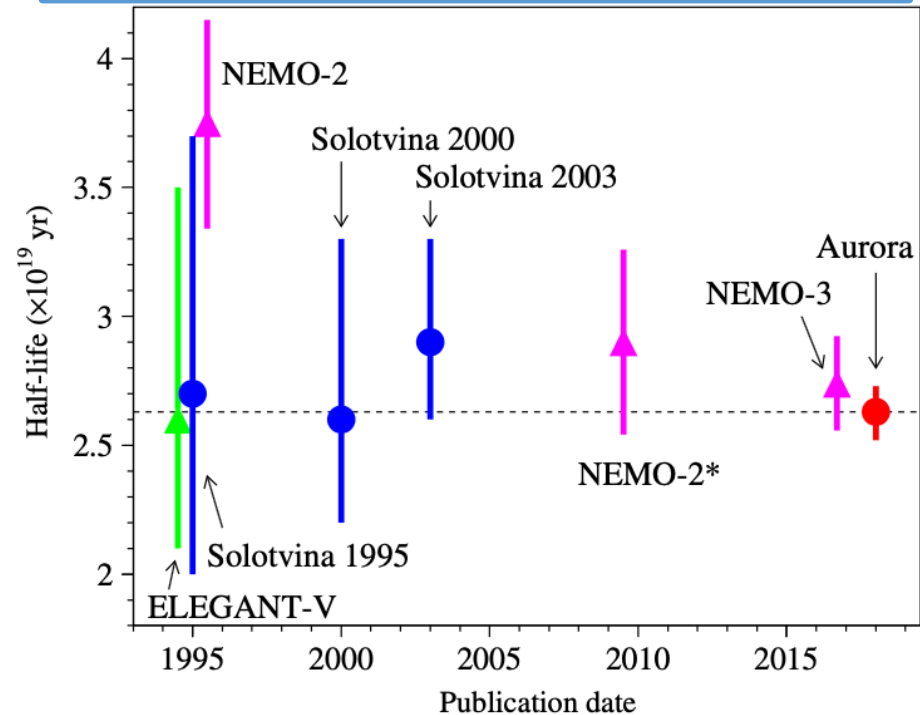
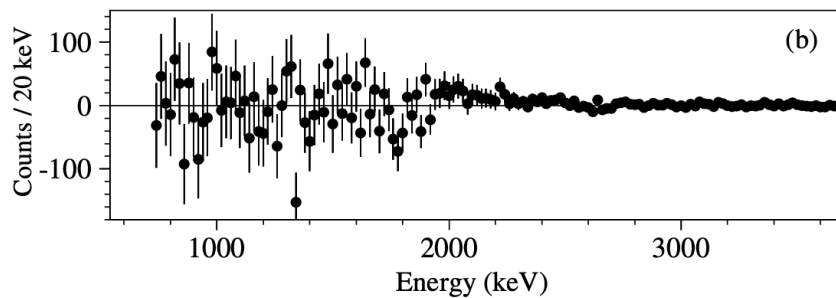
# Aurora experiment

- to study  $2\beta$  decay of  $^{116}\text{Cd}$  with  $^{116}\text{CdWO}_4$  scintillators enriched at 82%
- at Gran Sasso in DAMA/R&D
- two cadmium tungstate crystals (580 g and 582 g)



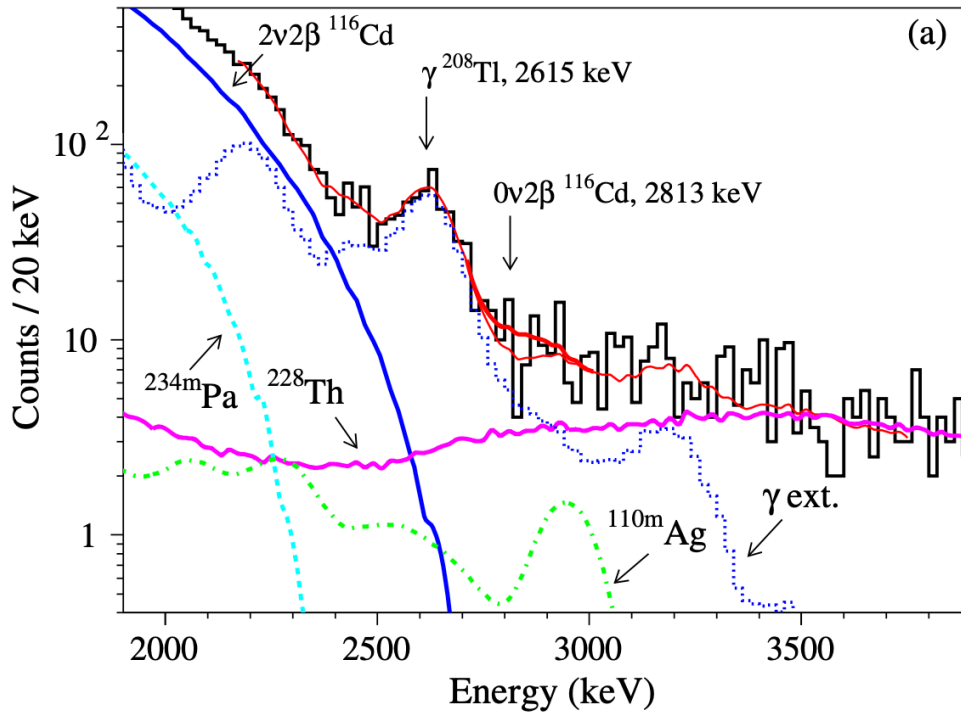
PRD98(2018)092007

$$T_{1/2} = [2.630 \pm 0.011(\text{stat})_{-0.123}^{+0.113}(\text{sys})] \times 10^{19} \text{ yr.}$$

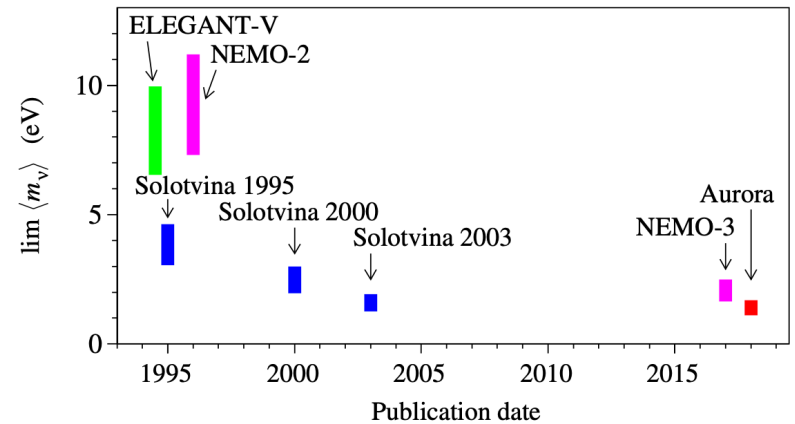
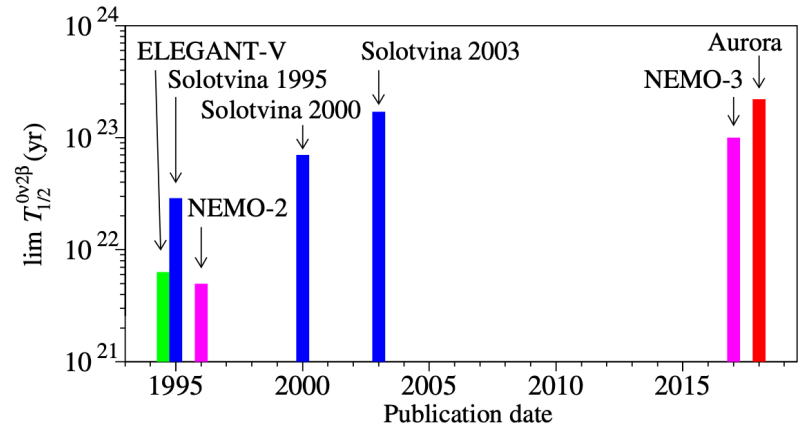


# Aurora experiment ( $0\nu 2\beta$ )

PRD98(2018)092007



$$T_{1/2} \geq 2.2 \times 10^{23} \text{ yr} \quad \text{at 90\% C.L.}$$



$$\langle m_\nu \rangle \leq (1.0 - 1.7) \text{ eV} \quad \text{at 90\% C.L.}$$

- ✓ **New improved limits** for  $0\nu 2\beta$  decay of  $^{116}\text{Cd}$  to excited levels of  $^{116}\text{Sn}$ :  $\text{lim} T_{1/2} \sim 10^{20} - 10^{22} \text{ yr}$  and decays with majoron(s) emission:  $\text{lim} T_{1/2} \sim 10^{21} - 10^{22} \text{ yr}$
- ✓ Main background, internal  $^{228}\text{Th}$ , can be reduced 35 times by re-crystallization and sensitivity  $T_{1/2} = 5 \times 10^{23} \text{ yr}$  can be reached in 5 yr

# The best $2\varepsilon$ , $\varepsilon\beta^+$ , $2\beta^+$ experiments

Nuclide	Channel	Experimental limits $T_{1/2}$ (yr)	Experimental method
$^{40}\text{Ca}$	$2\varepsilon$	$> (3-6) \times 10^{21}$	$\text{CaF}_2(\text{Eu})$ scintillators
$^{54}\text{Fe}$	$2\varepsilon$	$> (4-5) \times 10^{20}$	HPGe $\gamma$ spectrometry
$^{58}\text{Ni}$	$2\varepsilon, \varepsilon\beta^+$	$> (0.2-7) \times 10^{20}$	HPGe $\gamma$ spectrometry
$^{64}\text{Zn}$	$2\varepsilon, \varepsilon\beta^+$	$> 10^{18}-10^{21}$	$\text{ZnWO}_4$ scintillators
$^{78}\text{Kr}$	<b><math>2\varepsilon, \varepsilon\beta^+, 2\beta^+</math></b>	<b><math>&gt; (1-5) \times 10^{21}</math></b>	Gaseous detector
$^{92}\text{Mo}$	$2\varepsilon, \varepsilon\beta^+$	$> (0.06-9) \times 10^{20}$	HPGe $\gamma$ spectrometry
$^{96}\text{Ru}$	<b><math>2\varepsilon, \varepsilon\beta^+, 2\beta^+</math></b>	<b><math>&gt; (0.2-1.3) \times 10^{19}</math></b>	HPGe $\gamma$ spectrometry
$^{106}\text{Cd}$	<b><math>2\varepsilon, \varepsilon\beta^+, 2\beta^+</math></b>	<b><math>&gt; (0.01-4) \times 10^{20}</math></b>	HPGe $\gamma$ spectrometry, NaI(Tl) $\gamma$ spectrometry $\text{CdWO}_4$ scintillators $\text{CdZnTe}$ semiconductor
$^{130}\text{Ba}$	<b><math>2\varepsilon, \varepsilon\beta^+, 2\beta^+</math></b>	<b><math>&gt; 4 \times 10^{21}</math></b> <b><math>= (2.2 \pm 0.5) \times 10^{21} ?</math></b>	Geochemical
$^{132}\text{Ba}$	$2\varepsilon$	$> 2.2 \times 10^{21}$	Geochemical

$^{120}\text{Te}$        $2\varepsilon, \varepsilon\beta^+$        $> (0.09-1.9) \times 10^{21}$

$\text{TeO}_2$  cryo-bolometer

# Motivation to study $2\varepsilon$ , $\varepsilon\beta^+$ , $2\beta^+$

$$(T_{1/2}^{0\nu})^{-1} = C_{mn}^{0\nu} \left(\frac{\langle m_\nu \rangle}{m_e}\right)^2 + C_{m\lambda}^{0\nu} \langle \lambda \rangle \left(\frac{\langle m_\nu \rangle}{m_e}\right) + C_{m\eta}^{0\nu} \langle \eta \rangle \left(\frac{\langle m_\nu \rangle}{m_e}\right) + C_{\lambda\lambda}^{0\nu} \langle \lambda \rangle^2 + C_{\eta\eta}^{0\nu} \langle \eta \rangle^2 + C_{\lambda\eta}^{0\nu} \langle \lambda \rangle \langle \eta \rangle$$

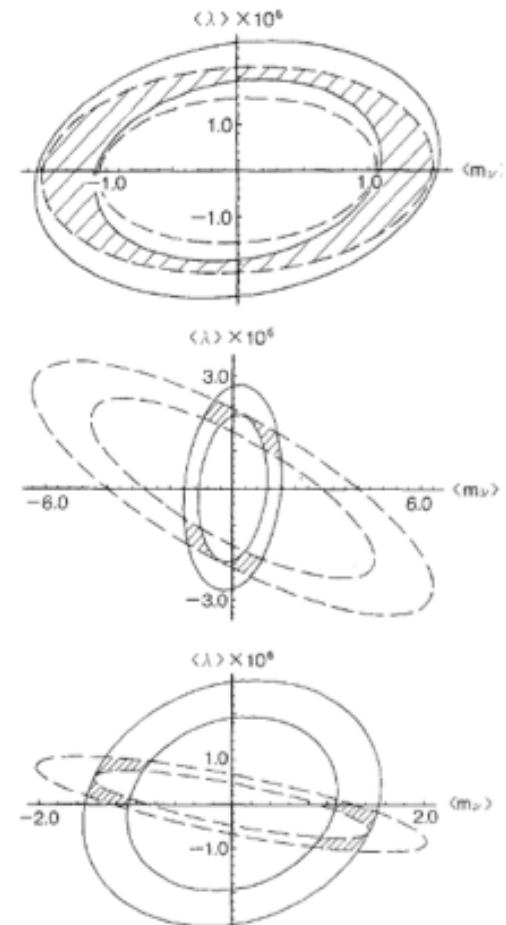
- **Right-handed weak current contribution**

Half-lives for  $0\nu\varepsilon\beta^+$  decay depend strongly on whether the decay is dominated by the mass mechanism or right-handed weak current [1]

- **Possibility of resonant  $0\nu$  double electron capture**

- **The best experiments give only limits even for the two neutrino mode:**

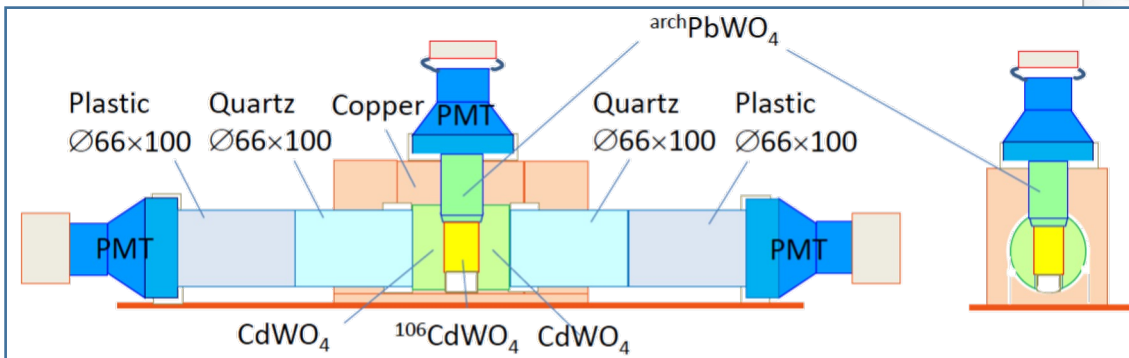
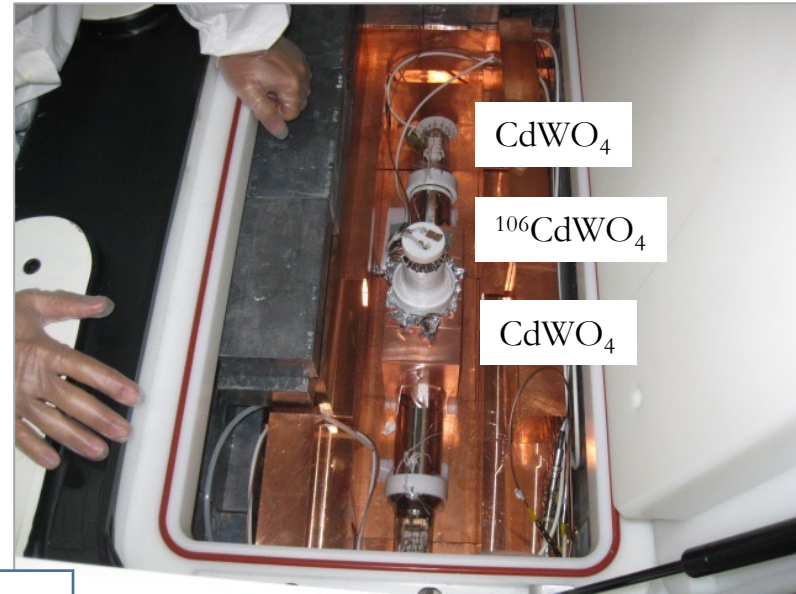
$$T_{1/2} > 10^{18} - 10^{21} \text{ yr}$$



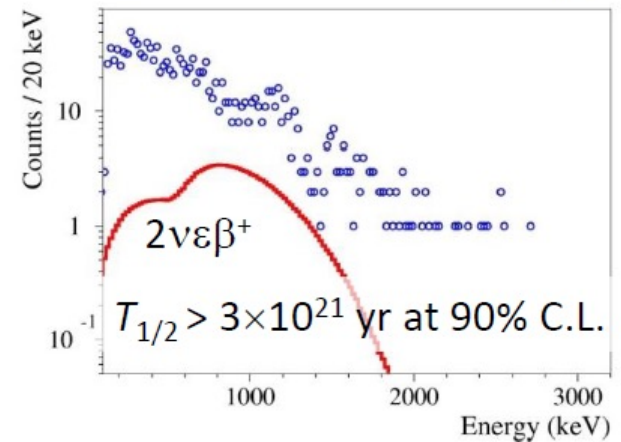
[1] M. Hirsch et al., Z. Phys. A 347 (1994) 151

# $^{106}\text{Cd}$ in DAMA/CRY

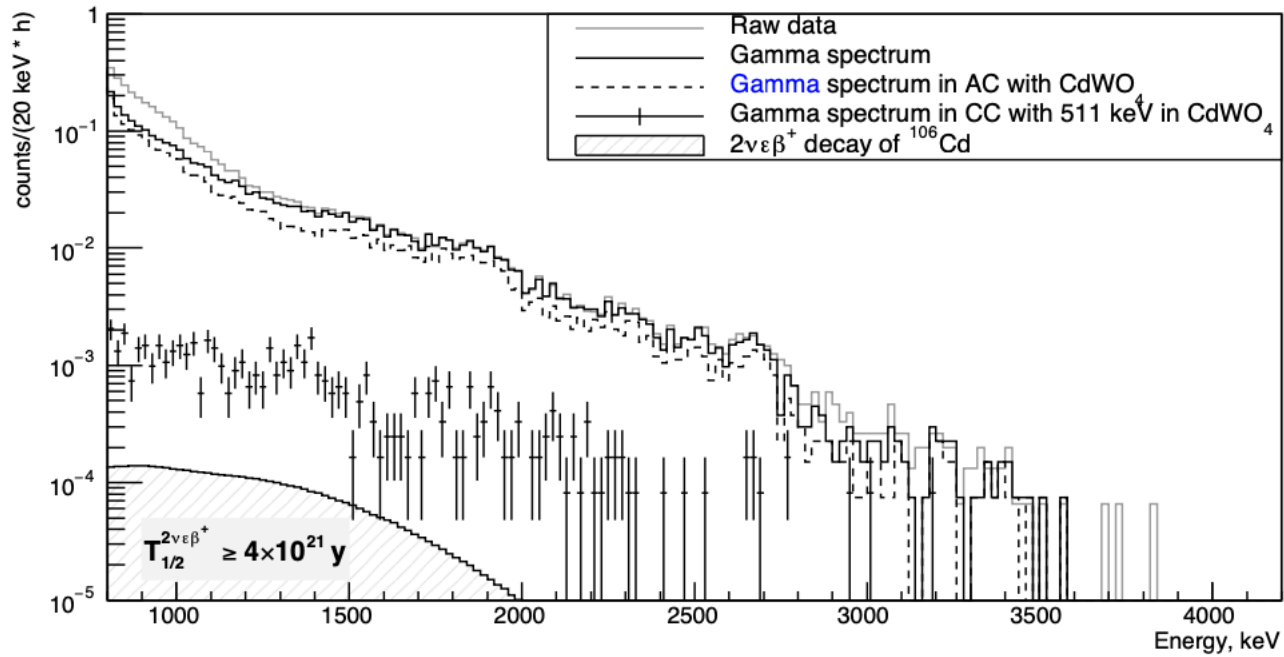
Experiment to search for  $2\beta$  decay of  $^{106}\text{Cd}$  with a  $^{106}\text{CdWO}_4$  crystal scintillator (enriched in  $^{106}\text{Cd}$  to 66%) in coincidence with two large  $\text{CdWO}_4$  detectors in closed geometry is **in data taking**. After **14064 h**, the sensitivity to  $2\nu\epsilon\beta^+$  is on the level of  $T_{1/2} > 3 \times 10^{21}$  yr (theoretical predictions:  $10^{20} - 10^{22}$  yr)



Energy spectrum over 11 910 h in CC with 511 keV in at least one of the  $\text{CdWO}_4$



# $^{106}\text{Cd}$ in DAMA/CRYSS (latest results)

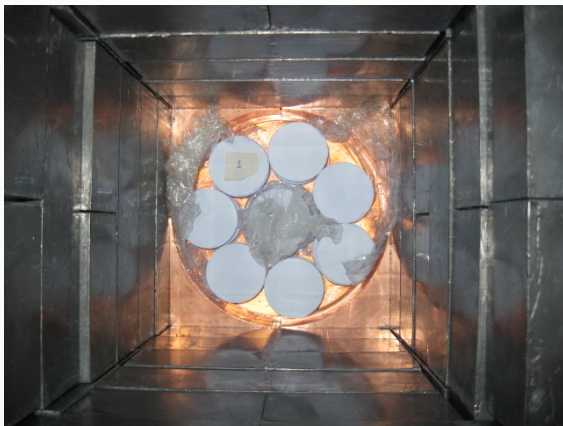


# Other double beta decay searches

- Search for  $2\beta$  decays of  $^{96}\text{Ru}$  and  $^{104}\text{Ru}$  by ultra-low background HP Ge  $\gamma$  spectrometry
- Investigation of rare nuclear decays with  $\text{BaF}_2$  crystal scintillator contaminated by radium

Analysis of Bi-Po events (half-life of  $^{212}\text{Po}$ ; search for  $2\beta$  decay of  $^{212}\text{Pb}$ ); search for  $\beta$  and  $2\beta$  decay of  $^{222}\text{Rn}$ ; search for  $2\beta$  decay of  $^{226}\text{Ra}$

EPJA42(2009)171  
 PRC87(2013) 034607  
 EPJ A 50 (2014) 134



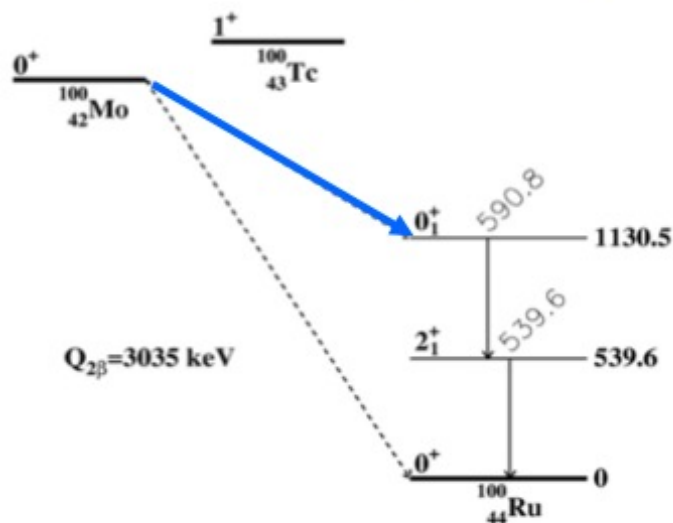
- Search for  $2\beta$  decay of  $^{136}\text{Ce}$  and  $^{138}\text{Ce}$  NPA930(2014)195  
 Deeply purified  $\text{CeO}_2$  sample (732 g) in HPGe (STELLA facility),  $T=1900$  h
- A new kind of scintillator detector:  $\text{SrI}_2(\text{Eu})$  crystal scintillator. R&D in progress NIMA670(2012) 10
- Purification of Ce, Nd and Gd for low bckg experiments  
 Liquid-liquid extraction technique to purify  $\text{CeO}_3$ ,  $\text{Nd}_2\text{O}_3$  and  $\text{Gd}_2\text{O}_3$  from U/Th
- Study of  $2\beta$  decay of  $^{150}\text{Nd}$  to the excited states of  $^{150}\text{Sm}$   
 A deeply purified  $\text{Nd}_2\text{O}_3$  source (2.381 kg) was installed in GeMulti (4 HPGe  $\sim 220$  cm<sup>3</sup> each) on 10 Feb 2015.  $T_{1/2}(2\nu 2\beta) = [4.7^{+4.1}_{-1.9}(\text{stat}) \pm 0.5(\text{syst})] \times 10^{19}\text{yr}$

- First search for rare decays of Osmium by low background HPGe detector EPJ A 49(2013)24
- $\text{ZnWO}_4$  crystal scintillators (low bckg and large volume): double beta decay modes in Zn and W isotopes PLB658(2008)193, NPA826(2009)256, NIMA626-627(2011)31, JPG: NPP 38(2011)115107
- Search for long-lived superheavy eka-tungsten with radiopure  $\text{ZnWO}_4$  crystal scintillator Phys. Sc. 90 (2015) 085301

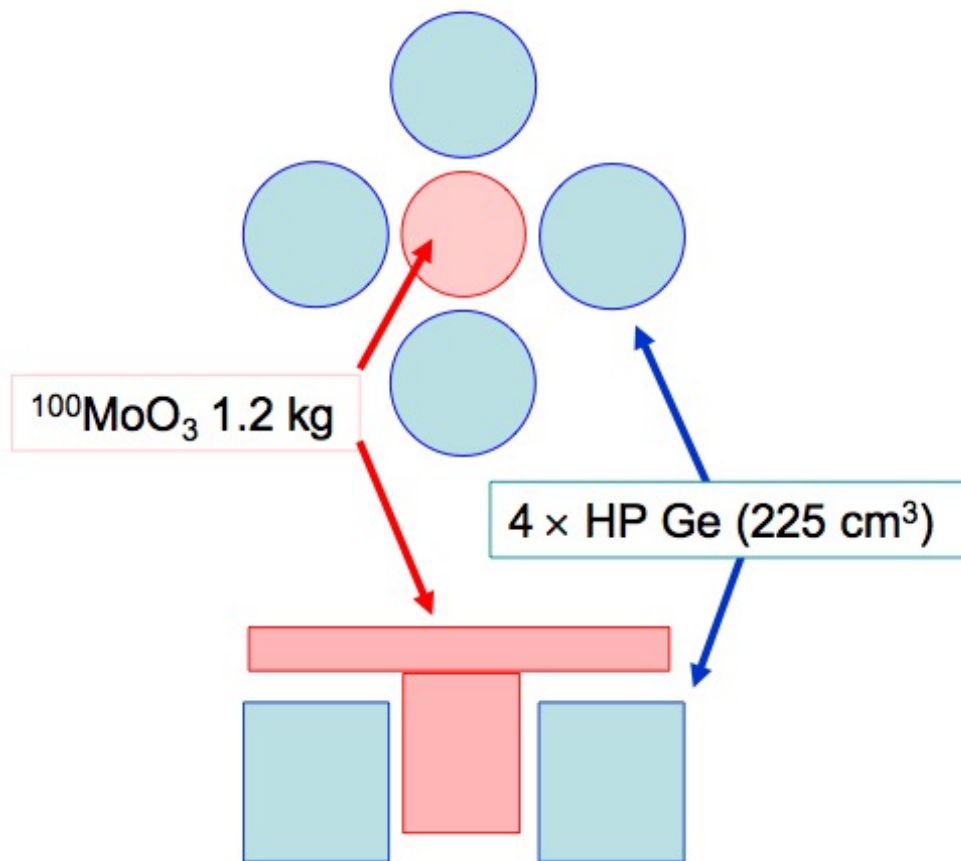


# ARMONIA: $2\nu 2\beta$ decay of $^{100}\text{Mo}$ to the $0_1^+$ level of $^{100}\text{Ru}$

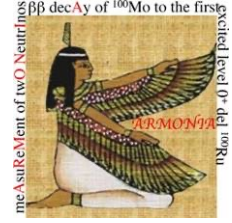
1.2 kg of  $^{100}\text{MoO}_3$  99.5%  
HP Ge ( $225\text{ cm}^3 \times 4$ ) at LNGS



$^{100}\text{MoO}_3$ (mBq/kg)	
$^{40}\text{K}$	36
$^{226}\text{Ra}$	2
$^{228}\text{Th}$	1



purification of enriched  $^{100}\text{Mo}$  was  
carried out twice



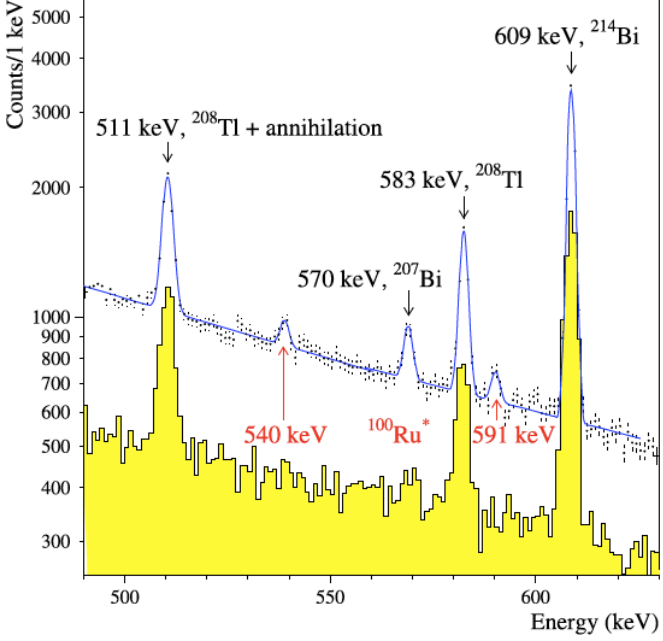
# Armonia

(meAsuReMent of twO-NeutrIno  $\beta\beta$  decAy of  $^{100}\text{Mo}$  to the first excited  $0^+_1$  level of  $^{100}\text{Ru}$ )

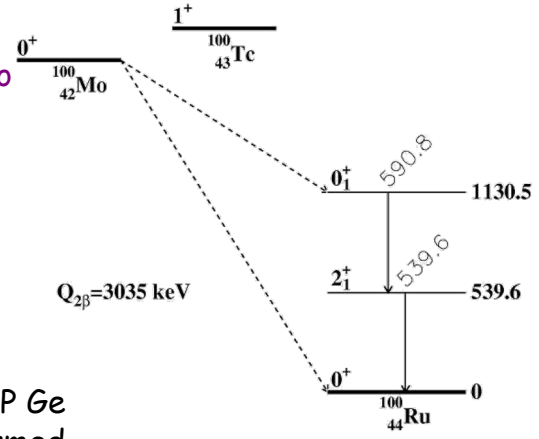
NPA 846 (2010) 143

- $^{100}\text{MoO}_3$  sample (mass of 1199 g, enriched in  $^{100}\text{Mo}$  at 99.5%) installed in the  $4\pi$  low-background HP Ge detectors facility (4 HP Ge - about 225 cm<sup>3</sup> each - mounted in one cryostat) located in the underground Ge facility of the National Laboratory of Gran Sasso

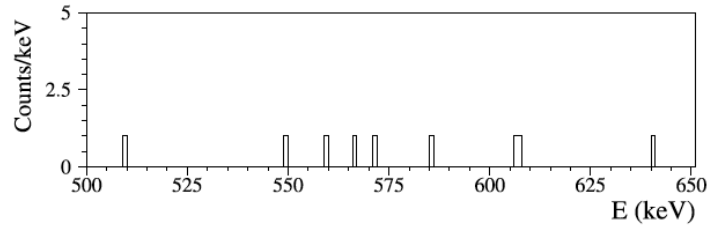
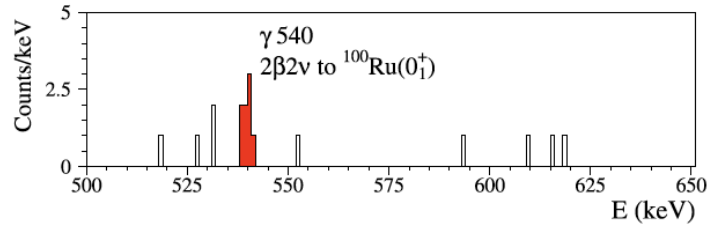
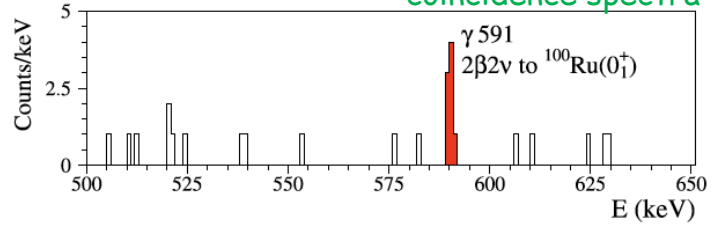
1-dim sum spectrum



- Aim: to measure the  $2\beta 2\nu$  decay of  $^{100}\text{Mo}$  to the the first excited  $0^+_1$  level of  $^{100}\text{Ru}$  at  $E=1130.5$  keV
- To point out the evidence of the decay searched for, an analysis considering the coincidence spectrum between any two HP Ge detectors in the set-up have been performed.



coincidence spectra



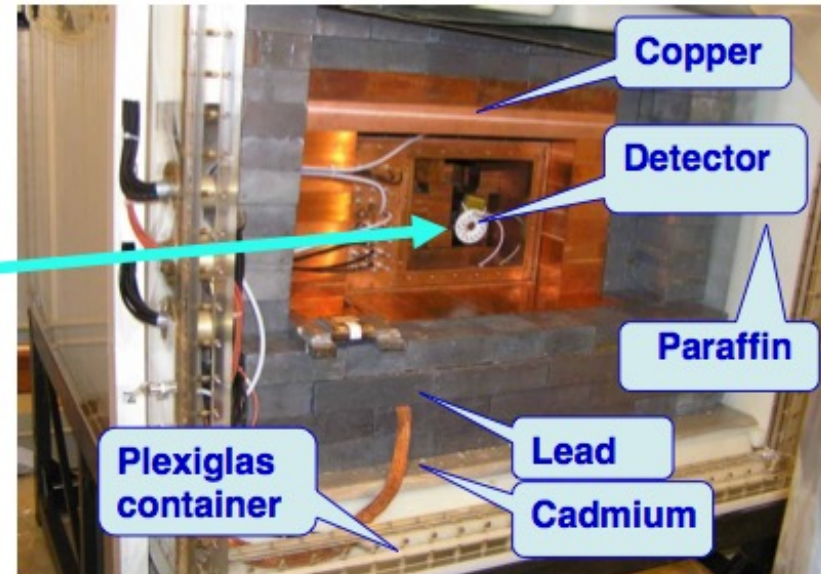
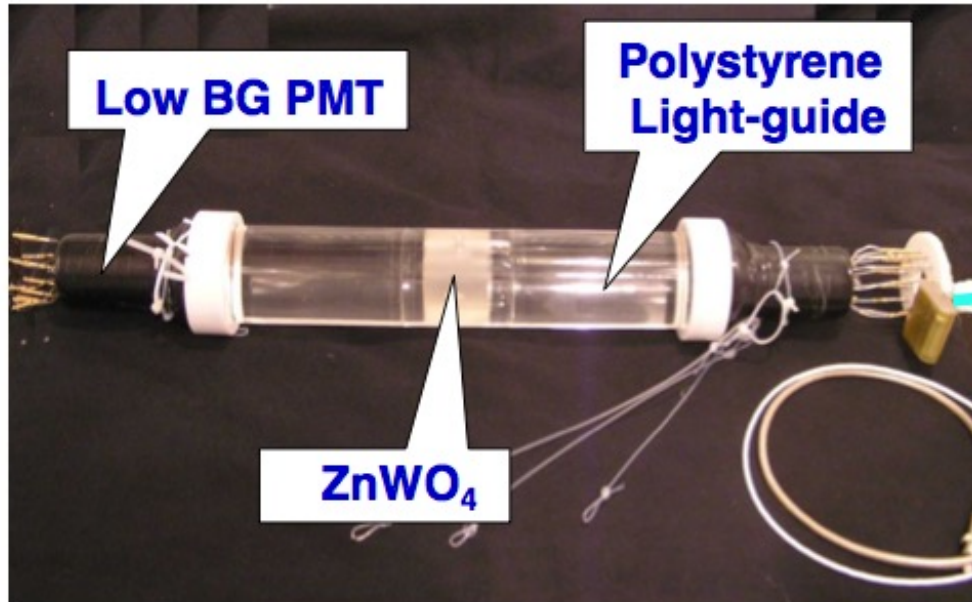
- 18120 h of measurements, 8 events are present in the coincidence spectrum, the measured half life is:

$$T_{1/2} = 6.9_{-0.8}^{+1.0} (stat.) \pm 0.7 (syst.) \times 10^{20} \text{ yr}$$

for  $^{100}\text{Mo} \rightarrow ^{100}\text{Ru}^* 2\beta 2\nu$  decay, in agreement with previous results, while the old limit  $T_{1/2} > 1.2 \times 10^{21}$  yr is not confirmed.

# $2\beta$ decay of $^{64}\text{Zn}$ , $^{70}\text{Zn}$ , $^{180}\text{W}$ , $^{186}\text{W}$ with $\text{ZnWO}_4$ scintillators

DAMA R&D, Gran Sasso



**Total exposure 193.5 kg × days**

# DAMA/Ge and STELLA facility ongoing

## GeMulti (4 HPGe ~220 cm<sup>3</sup> each)

Highly purified Nd<sub>2</sub>O<sub>3</sub> (2.38 kg) **in measurement (so far 16375 h)** to investigate the 2β decay of <sup>150</sup>Nd to the first 0<sup>+</sup> 740.5 keV excited level of <sup>150</sup>Sm:

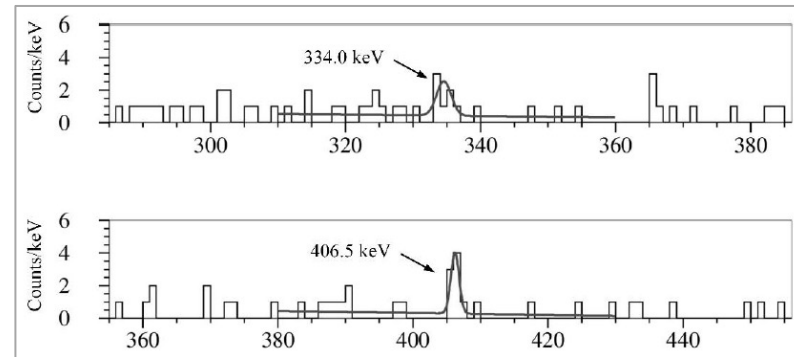
⇒ γ with energies **334.0 keV** and **406.5 keV** in coincidences in two HPGe **observed**;

⇒  $T_{1/2} = [4.8^{+4.1}_{-1.9}(\text{stat}) \pm 0.5(\text{syst.})] \times 10^{19} \text{ yr}$

The experiment **will run at least one more year**.

Preliminary results published.

**PRELIMINARY**



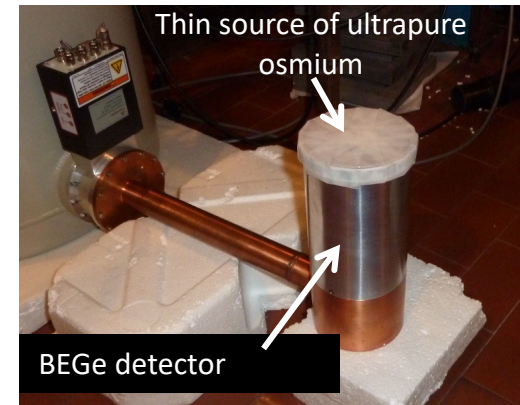
## BEGe

118 g of ultra-pure Os **in measurement** to study rare α and ββ decays of Os isotopes.

⇒ New limits on α decay of <sup>184</sup>Os and <sup>186</sup>Osm to the excited levels of <sup>180,182</sup>W:

$T_{1/2} \sim$  theoretical expect.)

⇒ Further plans: installation of the Os sample inside BEGe cryostat, to improve the detection efficiency



## GeCris

326 g of deeply purified Er<sub>2</sub>O<sub>3</sub>, measured over 1934 h to search for the first time for 2ε and εβ<sup>+</sup> of <sup>162</sup>Er to the ground state and to several excited levels of <sup>162</sup>Dy:

⇒ No effect was observed:  $T_{1/2}$  **limits on the level of ~ 10<sup>17</sup> yr at 90% C.L.**

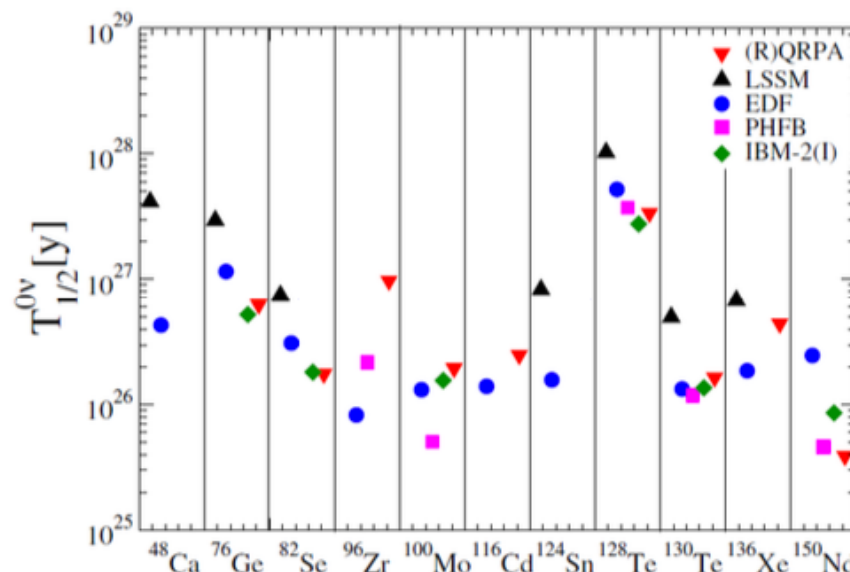
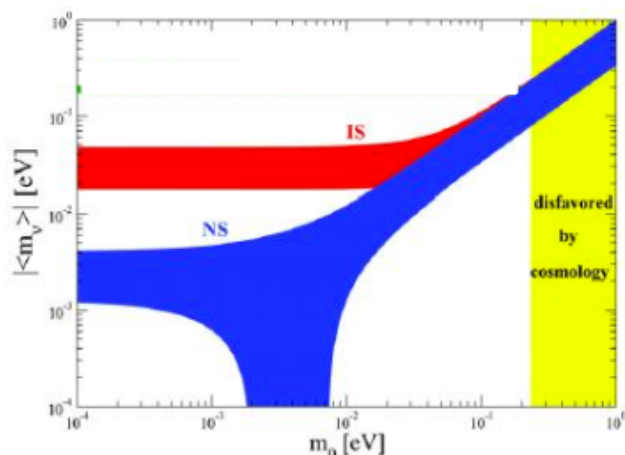
⇒ Improved limit on ββ decay of <sup>170</sup>Er to 83.4 keV excited level of <sup>170</sup>Yb:  $T_{1/2} > 4.1 \times 10^{17} \text{ yr}$ . Paper published.

**Future plans:** First Experiments to **search for ββ decays of <sup>144</sup>Sm, <sup>168</sup>Yb, <sup>154</sup>Sm and <sup>176</sup>Yb** to the excited levels of daughter nuclei are **in progress** with highly purified samples installed on HPGe detectors of the STELLA facility, and more

# The next generation experiments

should test the inverted hierarchy of the neutrino mass:  $\langle m_\nu \rangle \sim 0.05$  eV

## Theoretical calculations $T_{1/2}$ for $\langle m_\nu \rangle \sim 0.05$ eV [1]



Different nuclear models give rather different predictions

- To test the inverted scheme of the neutrino mass we need sensitivity on the level of:  $\langle m_\nu \rangle \sim 0.05$  eV  $\rightarrow T_{1/2} \sim 10^{26} - 10^{27}$  yr
- The possible quenching of the axial vector coupling constant ( $g_A$ ) could amount to a multiplication of the half-lives by an order of magnitude [2]  $\rightarrow T_{1/2} \sim 10^{27} - 10^{28}$  yr

[1] J.D.Vergados, H.Ejiri, F.Simkovic, Theory of neutrinoless double-beta decay, Rep. Prog. Phys. 75 (2012) 106301

[2] J. Barea, J. Kotila, F. Iachello, Limits on Neutrino Masses from Neutrinoless Double- $\beta$  Decay, Phys. Rev. Lett. 109 (2012) 042501

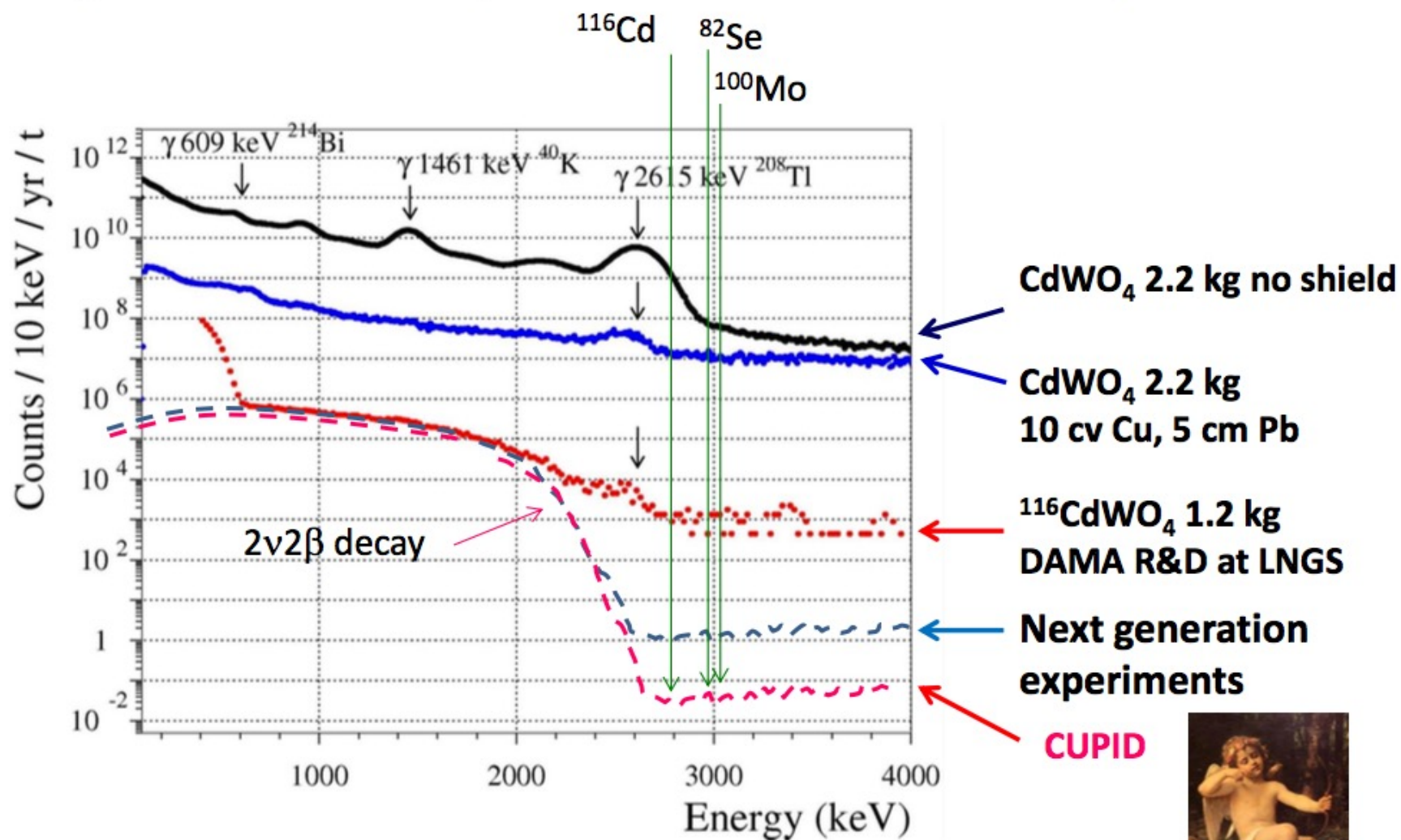
What does it mean  $T_{1/2} \sim 10^{27} - 10^{28}$  yr ?

Nucleus	$T_{1/2}$ to reach $\langle m_\nu \rangle = 0.02$ eV [1]	Detector	Number of $2\beta$ nuclei in 1 ton detector	Number of decays over 5 years
$^{48}\text{Ca}$	$(3 - 28) \times 10^{27}$ yr	$^{48}\text{CaF}_2$ (20%)	$1.4 \times 10^{27}$	0.2 – 1.9
$^{76}\text{Ge}$	$(3 - 17) \times 10^{27}$ yr	HP $^{76}\text{Ge}$	$7.9 \times 10^{27}$	1.6 – 9
$^{82}\text{Se}$	$(1 - 4) \times 10^{27}$ yr	$\text{Zn}^{82}\text{Se}$	$4.1 \times 10^{27}$	3 – 13
$^{100}\text{Mo}$	$(0.3 - 1.5) \times 10^{27}$ yr	$\text{Zn}^{100}\text{MoO}_4$	$2.6 \times 10^{27}$	6 – 30
		$^{40}\text{Ca}^{100}\text{MoO}_4$	$3.0 \times 10^{27}$	4 – 34
		$\text{Li}_2^{100}\text{MoO}_4$	$3.4 \times 10^{27}$	8 – 39
$^{116}\text{Cd}$	$(0.8 - 1.3) \times 10^{27}$ yr	$^{116}\text{CdWO}_4$	$1.7 \times 10^{27}$	4 – 7
$^{130}\text{Te}$	$(0.7 - 3) \times 10^{27}$ yr	$^{130}\text{TeO}_2$	$3.8 \times 10^{27}$	4 – 18
$^{136}\text{Xe}$	$(1 - 4) \times 10^{27}$ yr	$^{136}\text{Xe}$	$4.4 \times 10^{27}$	4 – 14

[Nessun titolo]

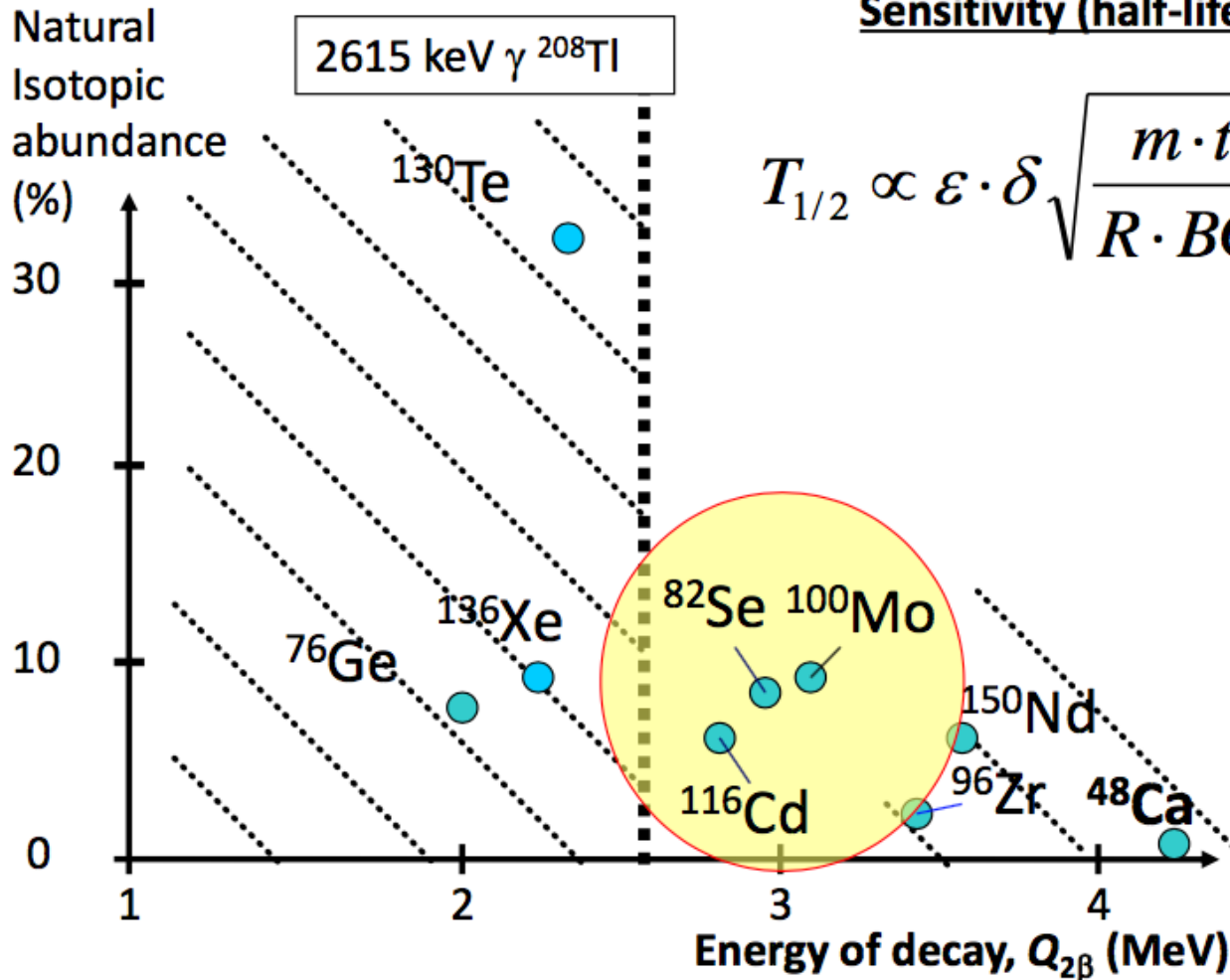
[1] Table 3 in J.D.Vergados, H.Ejiri, F.Simkovic, Rep. Prog. Phys. 75 (2012) 106301

# Significant background reduction is requested



• *Goals and prospects*

# The most “promising” $2\beta$ nuclei



Sensitivity (half-life  $T_{1/2}$ ) of  $2\beta$  experiments:

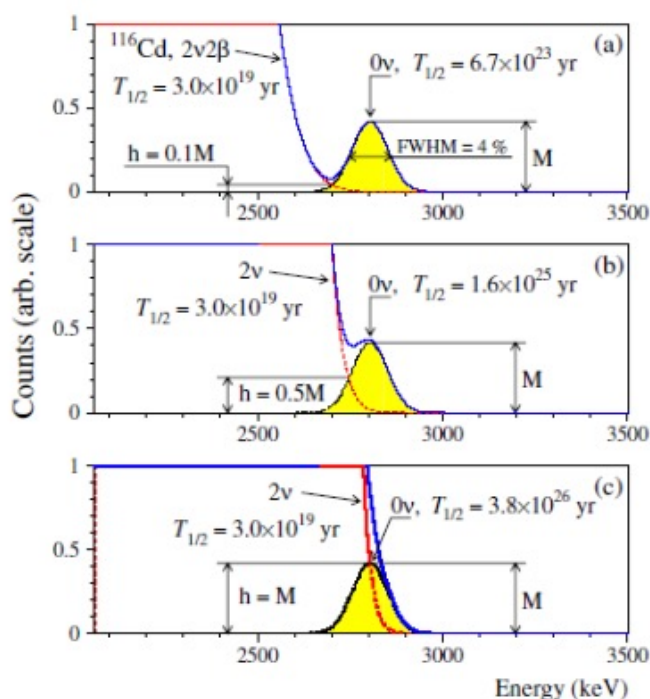
$$T_{1/2} \propto \varepsilon \cdot \delta \sqrt{\frac{m \cdot t}{R \cdot BG}}$$

- $\varepsilon$  – detection efficiency
- $\delta$  – concentration of  $2\beta$  isotope
- $m$  – mass of detector
- $t$  – time of measurements
- $R$  – energy resolution
- $BG$  – background

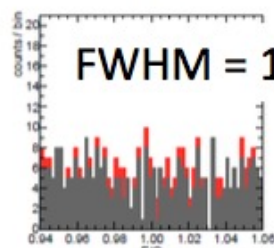
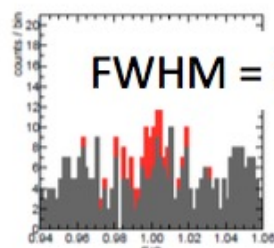
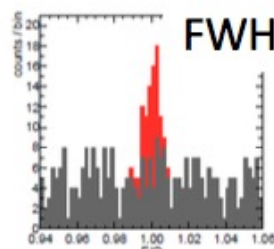
- Large  $Q_{2\beta} > 2615$  keV
- Enrichment  $\sim 10^2 - 10^3$  kg
- High detection efficiency
- Low background
- High energy resolution

- Goals and prospects

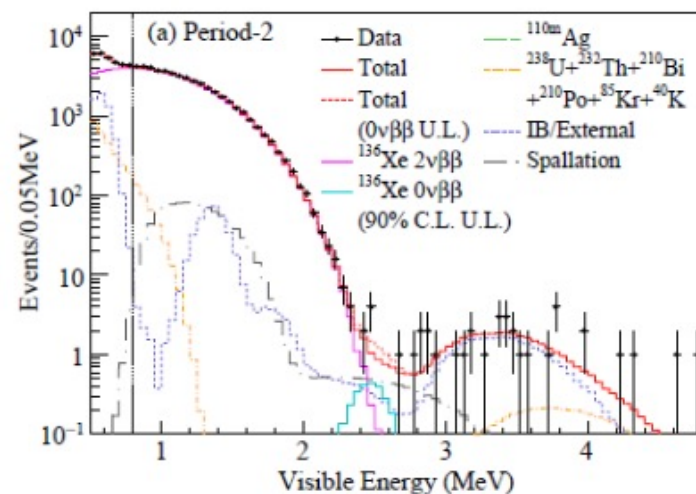
# Energy resolution in $0\nu 2\beta$ experiments



Background in the ROI due to  $2\nu 2\beta$  decay [1]



Visibility of  $2\nu 2\beta$  peak [2]



KamLAND-Zen 2016

$$\text{FWHM} \approx 17\% / \sqrt{E(\text{MeV})}$$

**A poor energy resolution is acceptable if one give a *limit* on  $0\nu 2\beta$  decay, while it is not a case if one claim *detection* of the process**

[1] Yu.G. Zdesenko, F.A. Danevich, V.I. Tretyak, Sensitivity and discovery potential of the future  $2\beta$  decay experiments, J. Phys. G: Nucl. Part. Phys. 30 (2004) 971

[2] J.J. Gómez-Cadenas and J. Martín-Albo, Phenomenology of Neutrinoless Double Beta Decay, PoS (GSSI14) 004

# Conclusions

Exciting times for neutrino masses:

- **degeneracy** will be deeply probed
- discovery potential in case of **inverted hierarchy**

